Massive Neutrinos and Lepton Mixing, Searches for

SEARCHES FOR MASSIVE NEUTRINOS

Revised April 2000 by D.E. Groom (LBNL).

Searches for massive neutral leptons and the effects of nonzero neutrino masses are listed here. These results are divided into the following main sections:

- A. Heavy neutral lepton mass limits;
- B. Sum of neutrino masses;
- C. Searches for neutrinoless double- β decay (see the note by P. Vogel on "Searches for neutrinoless double- β decay" preceding this section);
- D. Other bounds from nuclear and particle decays;
- E. Solar ν experiments (see the note on "Solar Neutrinos" by K. Nakamura preceding this section);
- F. Astrophysical neutrino observations;
- G. Reactor $\overline{\nu}_e$ disappearance experiments;
- H. Accelerator neutrino appearance experiments;
- I. Disappearance experiments with accelerator and radioactive source neutrinos.

Direct searches for masses of dominantly coupled neutrinos are listed in the appropriate sections on ν_e , ν_μ , or ν_τ , where it is assumed that the mass eigenstates ν_1 , ν_2 , and ν_3 predominately couple to ν_e , ν_μ , and ν_τ , respectively. Note that the assumptions made in these Listings, that ν_2 predominately couples to ν_μ and ν_3 to ν_τ , may not be true. Searches for massive charged leptons are listed elsewhere, and searches for the mixing of (μ^-e^+) and (μ^+e^-) are given in the muon Listings.

Discussion of the current neutrino mass limits and the theory of mixing are given in the note on "Neutrino Mass" by Boris Kayser just before the ν_e Listings.

In many of the following Listings (e.g. neutrino disappearance and appearance experiments), results are presented assuming that mixing occurs only between two neutrino species, such as $\nu_{\tau} \leftrightarrow \nu_{e}$. This assumption is also made for lepton-number violating mixing between two states, such as $\nu_{e} \leftrightarrow \overline{\nu}_{\mu}$ or $\nu_{\mu} \leftrightarrow \overline{\nu}_{\mu}$. As discussed in Kayser's review, the assumption of mixing between only two states is valid if (a) all mixing angles are small or (b) there is a mass hierarchy such that one ΔM_{ij}^{2} , e.g. $\Delta M_{21}^{2} = M_{\nu_{2}}^{2} - M_{\nu_{1}}^{2}$, is small compared with the others, so that there is a region in L/E (the ratio of the distance L that the neutrino travels to its energy E) where $\Delta M_{21}^{2}L/E$ is negligible, but $\Delta M_{32}^{2}L/E$ is not.

In this case limits or results can be shown as allowed regions on a plot of $|\Delta M^2|$ as a function of $\sin^2 2\theta$. The simplest situation occurs in an "appearance" experiment, where one searches for interactions by neutrinos of a variety not expected in the beam. An example is the search for ν_e interactions in a detector in a ν_{μ} beam. For oscillation between two states, the probability that the "wrong" state will appear is given by Eq. 11 in Kayser's review, which may be written as

$$P = \sin^2 2\theta \, \sin^2(1.27\Delta M^2 L/E) \,\,, \tag{1}$$

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where $|\Delta M^2|$ is in eV² and L/E is in km/GeV or m/MeV. In a real experiment L and E have some spread, so that one must average P over the distribution of L/E. As an example, let us make the somewhat unrealistic assumption that $b \equiv 1.27L/E$

has a Gaussian distribution with standard deviation σ_b about a central value b_0 . Then:

$$\langle P \rangle = \frac{1}{2} \sin^2 2\theta [1 - \cos(2b_0 \Delta M^2) \exp(-2\sigma_b^2 (\Delta M^2)^2)]$$
 (2)

The value of $\langle P \rangle$ is set by the experiment. For example, if 230 interactions of the expected flavor are detected and none of the wrong flavor are seen, then P=0.010 at the 90% CL.* We can then solve the above expression for $\sin^2 2\theta$ as a function of $|\Delta M^2|$. This function is shown in Fig. 1.[†] Note that:

- (a) since the fast oscillations are completely washed out by the resolution for large $|\Delta M^2|$, $\sin^2 2\theta = 2 \langle P \rangle$ in this region (If b is taken as much smaller than experimental resolution, Eq. (2) can be used in Monte Carlo calculations to avoid the pathology if Eq. (1) at large Δm^2);
- (b) the maximum excursion of the curve to the left is to $\sin^2 2\theta = \langle P \rangle$ with good resolution, with smaller excursion for worse resolution. This "bump" occurs at $|\Delta M^2| = \pi/2b_0 \text{ eV}^2$;
- (c) for large $\sin^2 2\theta$, $\Delta M^2 \approx (\langle P \rangle / \sin^2 2\theta)^{1/2}/b_0$; and, consequently,
- (d) the intercept at $\sin^2 2\theta = 1$ is at $\Delta M^2 = \sqrt{\langle P \rangle}/b_0$.

The intercept for large $|\Delta M^2|$ is a measure of running time and backgrounds, while the intercept at $\sin^2 2\theta = 1$ depends also on the mean value of L/E. The wiggles depend on experimental features such as the size of the source, the neutrino energy distribution, and detector and analysis features. Aside from such details, the two intercepts completely describe the exclusion region: For large $|\Delta M^2|$, $\sin^2 2\theta$ is constant and equal to $2\langle P\rangle$, and for large $\sin^2 2\theta$ the slope is known from the intercept. For these reasons, it is (nearly) sufficient to summarize the results of an experiment by stating the two intercepts, as is done in the

following tables. The reader is referred to the original papers for the two-dimensional plots expressing the actual limits.

If a positive effect is claimed, then the excluded region is replaced by an allowed band or allowed regions. This is the case for the LSND experiment [2] and the SuperKamiokande analysis of $R(\mu/e)$ for atmospheric neutrinos [3].

In a "disappearance" experiment, one looks for the attenuation of the beam neutrinos (for example, ν_k) by mixing with at least one other neutrino eigenstate. (We label such experiments as $\nu_k \not\rightarrow \nu_k$.) The probability that a neutrino remains the same neutrino from the production point to detector is given by

$$P(\nu_k \to \nu_k) = 1 - P(\nu_k \to \nu_j) , \qquad (3)$$

where mixing occurs between the kth and jth species with $P(\nu_k \to \nu_j)$ given by Eq. (1) or Eq. (2).

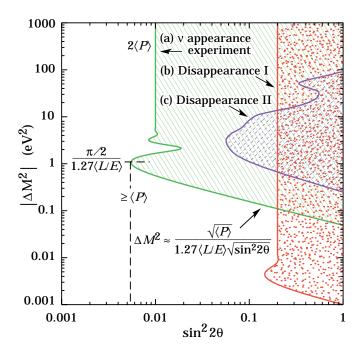


Figure 1: Neutrino oscillation parameter ranges excluded by two hypothetical experiments

(a and b) described by Eq. (2) and one real one (c). Parameters for the first two cases are given in the footnotes. In case (a) one searches for the appearance of neutrinos not expected in the beam. The probability of appearance, in this case 0.5% at some specified CL, is set by the number of right-flavor events observed and/or information about the flux and cross sections. Case (b) represents a disappearance experiment in which the flux is known in the absence of mixing. In case (c), the information comes from measured fluxes at two distances from the target [4].

In contrast to the detection of even a few "wrong-flavor" neutrinos establishing mixing in an appearance experiment, the disappearance of a few "right-flavor" neutrinos in a disappearance experiment goes unobserved because of statistical fluctuations. For this reason, disappearance experiments usually cannot establish small-probability (small $\sin^2 2\theta$) mixing.

Disappearance experiments fall into two general classes:

- I. Those in which the beam neutrino flux is known, from theory or from other measurements. Examples are reactor $\overline{\nu}_e$ experiments and certain accelerator experiments. Although such experiments cannot establish very small- $\sin^2 2\theta$ mixing, they can establish small limits on ΔM^2 for large $\sin^2 2\theta$ because L/E can be very large. An example, based on the Chooz reactor measurements [5], is labeled "Disappearance I" in Fig. 1.[‡]
- II. Those in which attenuation or oscillation of the beam neutrino flux is measured in the apparatus itself (two detectors, or a "long" detector). Above some minimum $|\Delta M^2|$ the equilibrium is established upstream, and there is no change in intensity over the length of the apparatus. As a result, sensitivity is lost at high $|\Delta M^2|$, as can be seen by the curve labeled "Disappearance II" in Fig. 1 [4]. Such experiments have not been competitive for a long time. However, a new generation of long-baseline experiments with a "near" detector and a "far" detector with very large L, e.g., MINOS, will be able to use this strategy to advantage.

Finally, there are more complicated cases, such as analyses of solar neutrino data in terms of the MSW parameters [6]. For a variety of physical reasons, an irregular region in the $|\Delta M^2|$ vs $\sin^2 2\theta$ plane is allowed. It is difficult to represent these graphical data adequately within the strictures of our tables.

Experimental two-neutrino mixing limits and positive signals are shown on the following page.

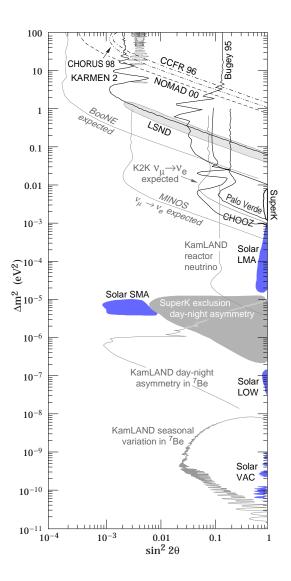
Footnotes and References

- * A superior statistical analysis of confidence limits in the $\sin^2 2\theta |\Delta M^2|$ plane is given in Ref. 1.
- [†] Curve generated with $\langle P \rangle = 0.005$, $\langle L/E \rangle = 1.11$, and $\sigma_b/b_0 = 0.08$.
- [‡] Curve parameters $\langle P \rangle = 0.1$, $\langle L/E \rangle = 237$, and $\sigma_b/b_0 = 0.5$. For the actual Chooz experiment [5], $\langle L/E \rangle \approx 300$ and the limit on $\langle P \rangle$ is 0.09.
- 1. G.J. Feldman and R.D. Cousins, Phys. Rev. **D3873** (1998).
- 2. C. Athanassopoulos *et al.*, Phys. Rev. **C54** (1996).
- 3. Y. Fukuda et al., eprint hep-ex/9803005.
- 4. F. Dydak *et al.*, Phys. Lett. **134B** (1984).
- 5. M. Apollonio *et al.*, Phys. Lett. **B420**, 397 (1998).
- 6. N. Hata and P. Langacker, Phys. Rev. **D56**, 6107 (1997).

Citation: D.E. Groom et al. (Particle Data Group), Eur. Phys. Jour. C15, 1 (2000) (URL: http://pdg.lbl.gov)

TWO-FLAVOR OSCILLATION PARAMETERS AND LIMITS

Written April 2000 by H. Murayama (LBNL).



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Citation: D.E. Groom et al. (Particle Data Group), Eur. Phys. Jour. C15, 1 (2000) (URL: http://pdg.lbl.gov)

Figure 1: The most important exclusion limits as well as preferred parameter regions from neutrino oscillation experiments in the context of two-flavor oscillations. Beware that the plot shows oscillation modes on different pairs of neutrinos at the same time. All of them are 90% confidence limits unless otherwise noted. From the top,

- CCFR 96 limit is on ν_{μ} to ν_{e} oscillation from ROMOSAN 97
- KARMEN 2 excluded region and LSND preferred region are for $\bar{\nu}_e$ appearance from $\bar{\nu}_{\mu}$ taken from Klaus Eitel, New J. Phys. 2, 1 (2000), Fig. 12
- Bugey 95 limit is on $\bar{\nu}_e$ disappearance from ACHKAR 95
- CHOOZ limit is on $\bar{\nu}_e$ disappearance from APOLLONIO 99, Fig. 9
- Palo Verde limit is on $\bar{\nu}_e$ disappearance from BOEHM 00, Fig. 3, curve (b)
- SuperKamiokande preferred region is on $(\overline{\nu}_{\mu})$ disappearance from FUKUDA 98C
- Solar neutrino preferred regions (solar LMA, solar SMA, solar LOW, and solar VAC) are on ν_e disappearance from J.N. Bahcall, P.I. Krastev, and A.Yu. Smirnov, Phys. Rev. **D58**, 096016 (1998) based on solar neutrino rates only at 99% CL
- SuperKamiokande exclusion is based on the absence of daynight asymmetry in the neutrino rate from FUKUDA 99, Fig. 2, at 99% CL
- Some projected improvements by near-future experiments on ν_e oscillations are shown in grey

Note that the plot shows only half of the parameter space $\Delta m^2 \cos 2\theta > 0$, while the other half $\Delta m^2 \cos 2\theta < 0$ should show different regions excluded/preferred, especially for solar neutrino oscillations (de Gouvêa et al., hep-ph/0002064) once experiments report their data. References in upper-case letters are given at the end of the Listings for "Massive Neutrinos and Lepton Mixing."

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(A) Heavy neutral leptons

Stable Neutral Heavy Lepton MASS LIMITS

Note that LEP results in combination with REUSSER 91 exclude a fourth stable neutrino with m< 2400 GeV.

| VALUE (GeV) | CL% | DOCUMENT ID | TECN | COMMENT |
|-------------|-----|--------------------|----------|-----------------|
| >45.0 | 95 | ABREU | 92B DLPH | Dirac |
| >39.5 | 95 | ABREU | 92B DLPH | Majorana |
| >44.1 | 95 | ALEXANDER | 91F OPAL | Dirac |
| >37.2 | 95 | ALEXANDER | 91F OPAL | Majorana |
| none 3-100 | 90 | SATO | 91 KAM2 | ? Kamiokande II |
| >42.8 | 95 | ¹ ADEVA | 90s L3 | Dirac |
| >34.8 | 95 | ¹ ADEVA | 90s L3 | Majorana |
| >42.7 | 95 | DECAMP | 90F ALEP | Dirac |

 $^{^1}$ ADEVA 90S limits for the heavy neutrino apply if the mixing with the charged leptons satisfies $|U_{1\,j}|^2+|U_{2\,j}|^2+|U_{3\,j}|^2>~6.2\times10^{-8}$ at $m_{L^0}=$ 20 GeV and $>~5.1\times10^{-10}$ for $m_{L^0}=$ 40 GeV.

- Neutral Heavy Lepton MASS LIMITS —

Limits apply only to heavy lepton type given in comment at right of data Listings. See review above for description of types.

See the "Quark and Lepton Compositeness, Searches for" Listings for limits on radiatively decaying excited neutral leptons, i.e. $\nu^* \to \nu \gamma$.

| VALUE (GeV) | CL% | DOCUMENT ID | | TECN | COMMENT |
|-------------|-----|-------------------------|-------------|------|-----------------------------|
| >76.5 | 95 | ABREU | 990 | DLPH | Dirac coupling to e |
| >79.5 | 95 | ABREU | 990 | DLPH | Dirac coupling to μ |
| >60.5 | 95 | ABREU | 990 | DLPH | Dirac coupling to $	au$ |
| >92.4 | 95 | ACCIARRI | 99L | L3 | Dirac coupling to e |
| >81.8 | 95 | ACCIARRI | 99L | L3 | Majorana coupling to e |
| >93.3 | 95 | ACCIARRI | 99L | L3 | Dirac coupling to μ |
| >84.1 | 95 | ACCIARRI | 99L | L3 | Majorana coupling to μ |
| > 83.3 | 95 | ACCIARRI | 99L | L3 | Dirac coupling to $	au$ |
| > 73.5 | 95 | ACCIARRI | 99L | - | Majorana coupling to $	au$ |
| >69.8 | 95 | ² ACKERSTAFF | 98 C | OPAL | Majorana, coupling to e |
| >79.1 | 95 | ² ACKERSTAFF | | | Dirac, coupling to e |
| >68.7 | 95 | ² ACKERSTAFF | 98 C | OPAL | Majorana, coupling to μ |
| >78.5 | 95 | ² ACKERSTAFF | | | Dirac, coupling to μ |
| >54.4 | 95 | ² ACKERSTAFF | | OPAL | Majorana, coupling to $	au$ |
| >69.0 | 95 | ² ACKERSTAFF | 98 C | OPAL | Dirac, coupling to $	au$ |
| >63 | 95 | ^{3,4} BUSKULIC | 96 S | ALEP | Dirac |
| >54.3 | 95 | ^{3,5} BUSKULIC | 96 S | ALEP | Majorana |

 $^{^2}$ The decay length of the heavy lepton is assumed to be $<1\,\mathrm{cm}$, limiting the square of the mixing angle $|U_{\ell j}|^2$ to 10^{-12} .

 $^{^3}$ BUSKULIC 96S requires the decay length of the heavy lepton to be < 1 cm, limiting the square of the mixing angle $|U_{\ell j}|^2$ to 10^{-10} .

⁴BUSKULIC 96S limit for mixing with τ . Mass is > 63.6 GeV for mixing with e or μ .

⁵ BUSKULIC 96S limit for mixing with τ . Mass is > 55.2 GeV for mixing with e or μ .

Astrophysical Limits on Neutrino MASS for $m_{\nu} > 1$ GeV -

| VALUE (GeV) | CL% | DOCUMENT ID | | TECN | COMMENT |
|------------------------|-----------|-----------------------|-------------|-----------|-------------------|
| • • • We do not use th | e followi | ing data for averages | , fits | , limits, | etc. • • • |
| none 60-115 | | ⁶ FARGION | 95 | ASTR | Dirac |
| none 9.2-2000 | | ⁷ GARCIA | 95 | COSM | Nucleosynthesis |
| none 26-4700 | | ⁷ BECK | 94 | COSM | Dirac |
| none 6 – hundreds | | ^{8,9} MORI | 92 B | KAM2 | Dirac neutrino |
| none 24 – hundreds | | ^{8,9} MORI | 92 B | KAM2 | Majorana neutrino |
| none 10-2400 | 90 | ¹⁰ REUSSER | 91 | CNTR | HPGe search |
| none 3–100 | 90 | SATO | 91 | KAM2 | Kamiokande II |
| | | 11 ENQVIST | 89 | COSM | |
| none 12-1400 | | ⁷ CALDWELL | 88 | COSM | Dirac ν |
| none 4–16 | 90 | ^{7,8} OLIVE | 88 | COSM | Dirac ν |
| none 4–35 | 90 | OLIVE | 88 | COSM | Majorana $ u$ |
| >4.2 to 4.7 | | SREDNICKI | 88 | COSM | Dirac ν |
| >5.3 to 7.4 | | SREDNICKI | 88 | COSM | Majorana $ u$ |
| none 20-1000 | 95 | ⁷ AHLEN | 87 | COSM | Dirac ν |
| >4.1 | | GRIEST | 87 | COSM | Dirac $ u$ |

 $^{^6}$ FARGION 95 bound is sensitive to assumed ν concentration in the Galaxy. See also KONOPLICH 94.

(B) Sum of neutrino masses

Revised April 1998 by K.A. Olive (University of Minnesota).

The limits on low mass $(m_{\nu} \lesssim 1 \text{ MeV})$ neutrinos apply to m_{tot} given by

$$m_{\rm tot} = \sum_{\nu} (g_{\nu}/2) m_{\nu} ,$$

where g_{ν} is the number of spin degrees of freedom for ν plus $\overline{\nu}$: $g_{\nu} = 4$ for neutrinos with Dirac masses; $g_{\nu} = 2$ for Majorana neutrinos. Stable neutrinos in this mass range make

⁷These results assume that neutrinos make up dark matter in the galactic halo.

⁸Limits based on annihilations in the sun and are due to an absence of high energy neutrinos detected in underground experiments.

⁹ MORI 92B results assume that neutrinos make up dark matter in the galactic halo. Limits based on annihilations in earth are also given.

 $^{^{10}}$ REUSSER 91 uses existing $\beta\beta$ detector (see FISHER 89) to search for CDM Dirac neutrinos

 $^{^{11}}$ ENQVIST 89 argue that there is no cosmological upper bound on heavy neutrinos.

a contribution to the total energy density of the Universe which is given by

$$\rho_{\nu} = m_{\text{tot}} n_{\nu} = m_{\text{tot}} (3/11) n_{\gamma} ,$$

where the factor 3/11 is the ratio of (light) neutrinos to photons. Writing $\Omega_{\nu} = \rho_{\nu}/\rho_c$, where ρ_c is the critical energy density of the Universe, and using $n_{\gamma} = 412 \text{ cm}^{-3}$, we have

$$\Omega_{\nu}h^2 = m_{\rm tot}/(94 \text{ eV}) .$$

Therefore, a limit on $\Omega_{\nu}h^2$ such as $\Omega_{\nu}h^2 < 0.25$ gives the limit

$$m_{\rm tot} < 24 \, {\rm eV}$$
.

The limits on high mass $(m_{\nu} > 1 \text{ MeV})$ neutrinos apply separately to each neutrino type.

Limit on Total ν MASS, $m_{\rm tot}$

(Defined in the above note), of effectively stable neutrinos (i.e., those with mean lives greater than or equal to the age of the universe). These papers assumed Dirac neutrinos. When necessary, we have generalized the results reported so they apply to $m_{\rm tot}$. For other limits, see SZALAY 76, VYSOTSKY 77, BERNSTEIN 81, FREESE 84, SCHRAMM 84, and COWSIK 85.

| VALUE (eV) | DOCUMENT ID | | TECN | COMMENT | |
|-----------------------------------------|----------------------------|---------|-----------|------------------------|--|
| ullet $ullet$ $ullet$ We do not use the | following data for average | s, fits | , limits, | etc. • • • | |
| < 5.5 | ¹² CROFT | 99 | ASTR | Ly α power spec | |
| <180 | SZALAY | 74 | COSM | | |
| <132 | COWSIK | 72 | COSM | | |
| <280 | MARX | 72 | COSM | | |
| <400 | GERSHTEIN | 66 | COSM | | |
| 12 | | | | | |

 $^{^{12}}$ CROFT 99 result based on the power spectrum of the Ly α forest. If $\Omega_{\rm matter} <$ 0.5, the limit is improved to $m_{\nu} <$ 2.4 ($\Omega_{\rm matter}/0.17$ –1) eV.

Limits on MASSES of Light Stable Right-Handed ν (with necessarily suppressed interaction strengths)

| VALUE (eV) | DOCUMENT II | <u> </u> | TECN | COMMENT | |
|--------------------------------|---------------------|-----------|-----------|---------------|--|
| • • • We do not use the follow | ing data for averag | ges, fits | , limits, | etc. • • • | |
| <100-200 | ¹³ OLIVE | 82 | COSM | Dirac $ u$ | |
| <200-2000 | ¹³ OLIVE | 82 | COSM | Majorana $ u$ | |

 $^{^{13}}$ Depending on interaction strength $\,G_R\,$ where $\,G_R\,$ $\,<\!G_F\,$.

Limits on MASSES of Heavy Stable Right-Handed ν (with necessarily suppressed interaction strengths)

| ullet • • We do not use the following data for averages, fits, limits, etc. • • • > 10 | <i>VALUE</i> (GeV) | DOCUMENT ID | 1 | TECN | COMMENT | |
|-----------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------|----------|-------------|---------------|---------------|
| $>$ 100 \sim 14 OLIVE 82 COSM $G_R/G_F < 0.01$ | • • • We do not use the follo | wing data for averag | es, fits | , limits, | etc. • • • | |
| 52.00 SR/ 5F | > 10 | | 82 | COSM | $G_R/G_F <$ | 0.1 |
| 14 These visualty apply to beauty Majarana noutrines and are summarized by the equation. | >100 | ¹⁴ OLIVE | 82 | COSM | $G_R/G_F <$ | 0.01 |
| These results apply to heavy Majorana neutrinos and are summarized by the equation. | ¹⁴ These results apply to hea | avy Majorana neutrin | os and | are sur | nmarized by | the equation: |
| $m_{ u} >$ 1.2 GeV (G_F/G_R) . The bound saturates, and if G_R is too small no mass range is allowed. | $m_{ u}^{}>1.2~{ m GeV}~(G_F/G_R).$ | . The bound saturate | es, and | if G_R is | s too small r | no mass range |

(C) Searches for neutrinoless double- β decay LIMITS FROM NEUTRINOLESS $\beta\beta$ DECAY

Revised September 1999 by P. Vogel (Caltech).

Neutrinoless double beta decay, if observed, would signal violation of the total lepton number conservation. The process can be mediated by an exchange of light Majorana neutrino, or by an exchange of other particles. As long as only a limit on its lifetime is available, limits on the effective Majorana neutrino mass, and on the lepton-number violating right-handed current admixture can be obtained, independently on the actual mechanism. These are considered in the following three tables.

The derived quantities are nuclear model-dependent, so the half-life measurements are given first. Where possible, we list the references for the nuclear matrix elements used in the subsequent analysis. Since rates for the more conventional $2\nu\beta\beta$ decay serve to calibrate the theory, results for this process are also given. As an indication of the spread among different ways of evaluating the matrix elements, we show in Fig. 1 some representative examples for the most popular nuclei.

To define the limits on lepton-number violating right-handed current admixtures, we display the relevant part of a phenomenological current-current weak interaction Hamiltonian:

$$H_W = (G_F/\sqrt{2})$$

$$\times (J_L \cdot j_L^{\dagger} + \kappa J_R \cdot j_L^{\dagger} + \eta J_L \cdot j_R^{\dagger} + \lambda J_R \cdot j_R^{\dagger}) + \text{h.c.} \qquad (1)$$
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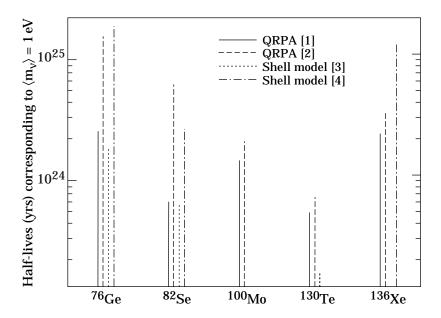


Figure 1: Half-lives (in years) calculated for $\langle m_{\nu} \rangle = 1$ eV by various representative methods and different authors for the most popular double-beta decay candidate nuclei. Solid lines are QRPA from [1], dashed lines are QRPA from [2] (recalculated for $g_A = 1.25$ and $\alpha' = -390$ MeV fm³, dotted lines are shell model [3], and dot-and-dashed lines are shell model [4].

where $j_L^{\mu} = \bar{e}_L \gamma^{\mu} \nu_{eL}$, $j_R^{\mu} = \bar{e}_R \gamma^{\mu} \nu_{eR}$, and J_L^{μ} and J_R^{μ} are left-handed and right-handed hadronic weak currents. Experiments are not sensitive to κ , but quote limits on quantities proportional to η and λ .* In analogy to $\langle m_{\nu} \rangle$ (see Eq. 17 in the "Neutrino mass" at the beginning of the Neutrino Particle Listings), the quantities extracted from experiments are $\langle \eta \rangle = \eta \sum U_{1j} V_{1j}$ and $\langle \lambda \rangle = \lambda \sum U_{1j} V_{1j}$, where V_{ij} is a matrix

analogous to U_{ij} (see Eq. 2 in the "Neutrino mass"), but describing the mixing among right-handed neutrinos. The quantities $\langle \eta \rangle$ and $\langle \lambda \rangle$ therefore vanish for massless or unmixed neutrinos. Also, as in the case of $\langle m_{\nu} \rangle$, cancellations are possible in $\langle \eta \rangle$ and $\langle \lambda \rangle$. The limits on $\langle \eta \rangle$ are of order 10^{-8} while the limits on $\langle \lambda \rangle$ are of order 10^{-6} . The reader is warned that a number of earlier experiments did not distinguish between η and λ . Because of evolving reporting conventions and matrix element calculations, we have not tabulated the admixture parameters for experiments published earlier than 1989.

See the section on Majoron searches for additional limits set by these experiments.

Footnotes and References

- * We have previously used a less accepted but more explicit notation in which $\eta_{RL} \equiv \kappa$, $\eta_{LR} \equiv \eta$, and $\eta_{RR} \equiv \lambda$.
- 1. A. Staudt, K. Muto, and H.V. Klapdor-Kleingrothaus, Europhys. Lett. **13**, 31 (1990).
- 2. J. Engel, P. Vogel, and M.R. Zirnbauer, Phys. Rev. C37, 731 (1988).
- 3. W.C. Haxton and G.J. Stephenson Jr., Prog. in Part. Nucl. Phys. **12**, 409 (1984).
- 4. E. Caurier, F. Nowacki, A. Poves, and J. Retamosa Phys. Rev. Lett. **77**, 1954 (1996).

Half-life Measurements and Limits for Double β Decay

In all cases of double beta decay, $(Z,A) \rightarrow (Z+2,A) + 2e^- + (0 \text{ or } 2)\overline{\nu}_e$. In the following Listings, only best or comparable limits or lifetimes for each isotope are reported.

| $t_{1/2}(10^{21} \text{ yr})$ | CL% | SOTOP | ET | RANSITION | METHOD | DOCUMENT ID | | | |
|-------------------------------------------------------------------------------|----------------------|--------------------------------------|----------------------|---------------|--------------------------------------------------|----------------------------------------------------|-----------------------|--|--|
| • • • We do not use the following data for averages, fits, limits, etc. • • • | | | | | | | | | |
| > 8000 $0.021^{+0.008}_{-0.004} \pm 0$ | 90 .002 | ⁷⁶ Ge ⁹⁶ Zr | 0ν 2ν | | Enriched HPGe NEMO-2 | ¹⁵ AALSETH ¹⁶ ARNOLD | 99 99 | | |
| > 1.0 > 0.39 > 16000(57000) > 56 | 90 90 90 90 | 96 Zr 96 Zr 76 Ge 130 Te | 0ν 0ν 0ν 0ν | $0^0 \to 2^+$ | NEMO-2 NEMO-2 Enriched HPGe Cryog. det. | 16 ARNOLD 16 ARNOLD 17 BAUDIS 18 ALESSAND | 99 99 99B 98 | | |

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| > | 16 | 90 | $^{130}\mathrm{Te}$ | 0ν | $0^+ \rightarrow 2^+$ | Cryog. det. | 18 | ALESSAND | 98 |
|-------------------|-----------------------------|-------|---------------------|--------------------------------|------------------------------------|-------------------------------------------|-----------------|-----------|----|
| > | 17 | 90 | ¹²⁸ Te | 0ν | | Cryog. det. | 18 | ALESSAND | 98 |
| > 4 | 40 | 90 | 136 _{Xe} | 0ν | | Xe TPC | 19 | LUESCHER | 98 |
| > | 0.36 | 90 | ¹³⁶ Xe | 2ν | | Xe TPC | 20 | LUESCHER | 98 |
| (7.6 ⁺ | (2.2)E-3 | | $^{100}\mathrm{Mo}$ | 2ν | | Si(Li) | 21 | ALSTON | 97 |
| > | 0.19 | 90 | ⁹² Mo | $0\nu+2\nu$ | $0^+ \rightarrow 0^+$ | γ in HPGe | 22 | BARABASH | 97 |
| > | 0.81 | 90 | ⁹² Mo | $0\nu+2\nu$ | $0^+ \to 0_1^+$ | γ in HPGe | 22 | BARABASH | 97 |
| > | 0.89 | 90 | ⁹² Mo | $0\nu+2\nu$ | $0^+ \to 2_1^+$ | γ in HPGe | 22 | BARABASH | 97 |
| >110 | 00 | 90 | $^{76}\mathrm{Ge}$ | 0ν | $0^+ \to 0^+$ | Enriched HPGe | 23 | BAUDIS | 97 |
| (6.82 | $^{+0.38}_{-0.53}\pm 0.68$ |)E-3 | 100_{Mo} | 2ν | | TPC | | DESILVA | 97 |
| | $^{+0.37}_{-0.42}\pm 0.68$ | | | | | TPC | 25 | DESILVA | 97 |
| > | -0.42 1.2 | 90 | $^{150}\mathrm{Nd}$ | 0ν | | TPC | | DESILVA | 97 |
| 1.77 | $\pm 0.01^{+0.13}_{-0.11}$ | | $^{76}\mathrm{Ge}$ | 2ν | | Enriched HPGe | | GUENTHER | 97 |
| (3.75 | $\pm 0.35 \pm 0.2$ | 1)E-2 | 2^{116} Cd | 2ν | $0^+ \rightarrow 0^+$ | NEMO 2 | | ARNOLD | 96 |
| 0.043 | $^{+0.024}_{-0.011}\pm$ 0.0 |)14 | ⁴⁸ Ca | 2ν | | TPC | | BALYSH | 96 |
| > | 52 | 68 | 100_{Mo} | $0\nu,\langle m_{\nu}\rangle$ | $0^{+} \rightarrow 0^{+}$ | ELEGANT V | | EJIRI | 96 |
| > | 39 | 68 | $100 \mathrm{Mo}$ | $0 u$, $\langle\lambda angle$ | $0^{+} \rightarrow 0^{+}$ | ELEGANT V | 30 | EJIRI | 96 |
| > | 51 | 68 | $100 \mathrm{Mo}$ | 0ν , $\langle\eta\rangle$ | $0^+ \rightarrow 0^+$ | ELEGANT V | 30 | EJIRI | 96 |
| 0.79 | ± 0.10 | | ¹³⁰ Te | $0\nu+2\nu$ | | Geochem | 31 | TAKAOKA | 96 |
| 0.61 | -0.18 0.11 | | 100_{Mo} | $0\nu+2\nu$ | $0^+ \rightarrow 0^+_1$ | γ in HPGe | 32 | BARABASH | 95 |
| > | 0.00013 | 99 | 160 Gd | 2ν | $0^+ \to 0^+$ | Gd ₂ SiO ₅ :Ce scin | | | 95 |
| > | 0.00012 | 99 | $^{160}\mathrm{Gd}$ | 2ν | $0^+ \rightarrow 2^+$ | | _t 33 | BURACHAS | 95 |
| > | 0.014 | 90 | 160 Gd | 0ν | | Gd ₂ SiO ₅ :Ce scin | _t 33 | BURACHAS | 95 |
| > | 0.013 | 90 | 160 Gd | 0ν | $0^+ \rightarrow 2^+$ | Gd ₂ SiO ₅ :Ce scin | _t 33 | BURACHAS | 95 |
| (9.5 = | = 0.4 ± 0.9)E | 18 | $100_{\mbox{Mo}}$ | 2ν | | NEMO 2 | | DASSIE | 95 |
| > | 0.6 | 90 | $^{100}\mathrm{Mo}$ | 0ν | $0^+ \rightarrow 0_1^+$ | NEMO 2 | | DASSIE | 95 |
| 0.026 | $+0.009 \\ -0.005$ | | $^{116}\mathrm{Cd}$ | 2ν | $0^+ \rightarrow 0^+$ | ELEGANT IV | | EJIRI | 95 |
| > | 0.003 29 | 90 | $^{116}\mathrm{Cd}$ | 0ν | $0^+ \rightarrow 0^+$ | 116 CdWO ₄ scint | 34 | GEORGADZE | 95 |
| > | 0.3 | 68 | $^{160}\mathrm{Gd}$ | 0ν | | Gd ₂ SiO ₅ : Te sci | | | 95 |
| > | 2.37 | 90 | 116 Cd | $0\nu+2\nu$ | $0^+ \rightarrow 2^+$ | γ in HPGe | | PIEPKE | 94 |
| > | 2.05 | 90 | $^{116}\mathrm{Cd}$ | $0\nu+2\nu$ | $0^+ \to 0_1^+$ | γ in HPGe | 35 | PIEPKE | 94 |
| > | 2.05 | 90 | ^{116}Cd | $0\nu+2\nu$ | $0^+ \to 0^+_2$ | γ in HPGe | 35 | PIEPKE | 94 |
| 0.017 | $^{+0.010}_{-0.005}\pm 0.0$ | 035 | $^{150}\mathrm{Nd}$ | 2ν | $0^+ \rightarrow 0^{\overline{+}}$ | TPC | | ARTEMEV | 93 |
| | \pm 0.009 | | ⁹⁶ Zr | $0\nu+2\nu$ | | Geochem | | KAWASHIMA | 93 |
| > 4 | -30 | 90 | $^{76}\mathrm{Ge}$ | 0ν | $0^+ \rightarrow 2^+$ | Enriched HPGe | | BALYSH | 92 |
| $2.7 \pm$ | 0.1 | | $^{130}\mathrm{Te}$ | | | Geochem | | BERNATOW | |
| 7200 | \pm 400 | | ¹²⁸ Te | | | Geochem | 36 | BERNATOW | 92 |
| > | 27 | 68 | 82 Se | 0ν | $0^+ \rightarrow 0^+$ | TPC | | ELLIOTT | 92 |
| 0.108 | +0.026 -0. <u>0</u> 06 | | 82 Se | 2ν | $0^+ \rightarrow 0^+$ | TPC | | ELLIOTT | 92 |
| 0.02+ | - 0.07 - 0.04 | | $^{76}\mathrm{Ge}$ | 2ν | $0^+ \rightarrow 0^+$ | Enriched HPGe | 37 | AVIGNONE | 91 |
| > | 3.3 | 95 | 136 Xe | 0ν | $0^+ \rightarrow 2^+$ | Prop cntr | 38 | BELLOTTI | 91 |
| > | 0.16 | 95 | 136 Xe | 2ν | | Prop cntr | | BELLOTTI | 91 |
| | | | | | | | | | |

| 2.0 ± 0.6 | 238 _U | | Radiochem | ³⁹ TURKEVICH | 91 |
|-------------------------------|----------------------------|-----------------------|-------------------------|-------------------------|----|
| > 9.5 76 | 6 48 Ca $^{0} u$ | | CaF ₂ scint. | YOU | 91 |
| $1.12^{igoplus 0.48}_{-0.26}$ | 76 Ge $^{2\nu}$ | $0^+ \rightarrow 0^+$ | HPGe | ⁴⁰ MILEY | 90 |
| 0.9 ± 0.1 | 76 Ge $^{2\nu}$ | | Enriched Ge(Li) | VASENKO | 90 |
| > 4.7 68 | | $0^+ \rightarrow 2^+$ | Ge(Li) | ³³ BELLOTTI | 87 |
| > 4.5 68 | | $0^+ \rightarrow 2^+$ | Ge(Li) | ³³ BELLOTTI | 87 |
| > 800 95 | | | Geochem | ⁴¹ KIRSTEN | 83 |
| 2.60 ± 0.28 | ¹³⁰ Te | | Geochem | ⁴¹ KIRSTEN | 83 |

- ¹⁵ AALSETH 99 limit is based on 74.84 active mol-yr of data using enriched Ge detectors at several locations. It is not competive with BAUDIS 99B.
- 16 ARNOLD 99 measure directly the 2ν decay of Zr for the first time, using the NEMO-2 tracking detector and an isotopically enriched source. The lifetime is more accurate than the geochemical result of KAWASHIMA 93.
- ¹⁷ BAUDIS 99B is a continuation of the work of BAUDIS 97. The limit is based on a subset of data using a pulse shape event selection. The exposure time is 24.2 kg-yr. The more stringent limit, in parentheses, results from unphysical data (measured rate significantly below expected background), while the smaller value is the experimental sensitivity as defined by FELDMAN 98. This work supersedes BAUDIS 97 as the most stringent result.
- $^{18}\,\text{ALESSANDRELLO}$ 98 report limits using an array of 20 cryogenic detectors of 340 grams of TeO $_2$ each. Supersedes ALESSANDRELLO 96B.
- 19 LUESCHER 98 report a limit for the 0ν decay of 136 Xe TPC. Supersedes VUILLEUMIER 93.
- 20 LUESCHER 98 report a limit for the 2ν decay of 136 Xe using Xe TPC. Supersedes VUILLEUMIER 93.
- 21 ALSTON-GARNJOST 97 report evidence for 2ν decay of 100 Mo. This decay has been also observed by EJIRI 91, DASSIE 95, and DESILVA 97.
- ²² BARABASH 97 measure limits for β^+ , EC, and ECEC decay of ⁹²Mo to the ground and excited states of ⁹²Ru, respectively. Limits are not competive compared to $\beta^-\beta^-$ searches as far as sensitivity to $\langle m_{\nu} \rangle$ or RHC admixtures is concerned.
- 23 BAUDIS 97 limit for 0ν decay of enriched $^{76}{\rm Ge}$ using Ge calorimeters supersedes GUENTHER 97.
- 24 DESILVA 97 result for 2ν decay of 100 Mo is in agreement with ALSTON-GARNJOST 97 and DASSIE 95. This measurement has the smallest errors.
- 25 DESILVA 97 result for 2ν decay of 150 Nd is in marginal agreement with ARTEMEV 93. It has smaller errors.
- 26 DESILVA 97 do not explain whether their efficiency for 0ν decay of 150 Nd was calculated under the assumption of a $\langle m_{\nu} \rangle, \, \langle \lambda \rangle,$ or $\langle \eta \rangle$ driven decay.
- $^{27}\,\text{GUENTHER}$ 97 half-life for the 2ν decay of ^{76}Ge is not in good agreement with the previous measurements of BALYSH 94, AVIGNONE 91, and MILEY 90.
- 28 ARNOLD 96 measure the 2ν decay of 116 Cd. This result is in agreement with EJIRI 95, but has smaller errors. Supersedes ARNOLD 95.
- $^{29}\, \rm BALYSH$ 96 measure the 2ν decay of $^{48}\rm Ca$, using a passive source of enriched $^{48}\rm Ca$ in a TPC.
- 30 EJIRI 96 use energy and angular correlations of the $^{2}\beta$ -rays in efficiency estimate to give limits for the $^{0}\nu$ decay modes associated with $\langle m_{\nu} \rangle$, $\langle \lambda \rangle$, and $\langle \eta \rangle$, respectively. Enriched 100 Mo source is used in tracking calorimeter. These are the best limits for 100 Mo. Limit is more stringent than ALSTON-GARNJOST 97.
- 31 TAKAOKA 96 measure the geochemical half-life of 130 Te. Their value is in disagreemnt with the quoted values of BERNATOWICZ 92 and KIRSTEN 83; but agrees with several other unquoted determinations, e.g., MANUEL 91.
- 32 BARABASH 95 cannot distinguish 0ν and 2ν , but it is inferred indirectly that the 0ν mode accounts for less than 0.026% of their event sample. They also note that their result disagrees with the previous experiment by the NEMO group (BLUM 92).

- ³³ BELLOTTI 87 searches for γ rays for 2^+ state decays in corresponding Xe isotopes. Limit for ¹³⁰Te case argues for dominant $0^+ \rightarrow 0^+$ transition in known decay of this isotope.
- 34 GEORGADZE 95 result for this and other modes are also give in DANEVICH 95. Result for 2ν decay omitted because of authors' caveats.
- 35 In PIEPKE 94, the studied excited states of 116 Sn have energies above the ground state of 1.2935 MeV for the $^{+}$ state, 1.7568 MeV for the $^{+}$ state, and 2.0273 for the $^{+}$ state.
- 36 BERNATOWICZ 92 finds 128 Te/ 130 Te activity ratio from slope of 128 Xe/ 132 Xe vs 130 Xe/ 132 Xe ratios during extraction, and normalizes to lead-dated ages for the 130 Te lifetime. The authors state that their results imply that "(a) the double beta decay of 128 Te has been firmly established and its half-life has been determined . . . without any ambiguity due to trapped Xe interferences. . . (b) Theoretical calculations . . . underestimate the [long half-lives of 128 Te 130 Te] by 1 or 2 orders of magnitude, pointing to a real supression in the $^{2\nu}$ decay rate of these isotopes. (c) Despite [this], most $^{\beta}$ -models predict a ratio of $^{2\nu}$ decay widths . . . in fair agreement with observation." Further details of the experiment are given in BERNATOWICZ 93. Our listed half-life has been revised downward from the published value by the authors, on the basis of reevaluated cosmic-ray 128 Xe production corrections.
- ³⁷ AVIGNONE 91 reports confirmation of the MILEY 90 and VASENKO 90 observations of $2\nu\beta\beta$ decay of ⁷⁶Ge. Error is 2σ .
- 38 BELLOTTI 91 uses difference between natural and enriched 136 Xe runs to obtain $\beta\beta0\nu$ limits, leading to "less stringent, but safer limits."
- ³⁹ TURKEVICH 91 observes activity in old U sample. The authors compare their results with theoretical calculations. They state "Using the phase-space factors of Boehm and Vogel (BOEHM 87) leads to matrix element values for the ²³⁸U transition in the same range as deduced for ¹³⁰Te and ⁷⁶Ge. On the other hand, the latest theoretical estimates (STAUDT 90) give an upper limit that is 10 times lower. This large discrepancy implies either a defect in the calculations or the presence of a faster path than the standard two-neutrino mode in this case." See BOEHM 87 and STAUDT 90.
- 40 MILEY 90 claims only "suggestive evidence" for the decay. Error is 2σ .
- ⁴¹ KIRSTEN 83 reports " 2σ " error. References are given to earlier determinations of the 130Te lifetime.

$\langle m_{\nu} \rangle$, The Effective Weighted Sum of Majorana Neutrino Masses Contributing to Neutrinoless Double β Decay

 $\langle m_{\nu} \rangle = |\Sigma \ U_{1\,j}^2 m_{\nu_j}|$, where the sum goes from 1 to n and where n= number of neutrino generations, and ν_j is a Majorana neutrino. Note that $U_{e\,j}^2$, not $|U_{e\,j}|^2$, occurs in the sum. The possibility of cancellations has been stressed. In the following Listings, only best or comparable limits or lifetimes for each isotope are reported.

| VALUE (eV) | CL% | SOTOPE_ | TRANSITION | METHOD | DOCUMENT ID | |
|------------------|----------|--------------------|----------------|-----------------------|-----------------------|-----|
| • • • We do not | t use th | ne following | data for avera | ges, fits, limits, et | C. ● ● ● | |
| < 0.5–1.5 | 90 | $^{76}\mathrm{Ge}$ | | Enriched HPGe | ⁴² AALSETH | 99 |
| <23 | 90 | ⁹⁶ Zr | | NEMO-2 | ⁴³ ARNOLD | 99 |
| < 0.4(0.2)-1.0(0 | 0.6) 90 | 76_{Ge} | | Enriched HPGe | ⁴⁴ BAUDIS | 99B |

| < 2.4-2.7 | 90 | 136 Xe 0 | | Xe TPC | ⁴⁵ LUESCHER | 98 |
|-------------|----|------------------------|-----------------|----------------------------------------|--------------------------|------|
| < 9.3 | 68 | 100 Mo $^{\circ}$ |)ν | Si(Li) | ⁴⁶ ALSTON | 97 |
| < 0.46 | | | | → 0 ⁺ Enriched HPC | | 97 |
| <2.2 | 68 | 100 Mo $^{\circ}$ | $) u$ 0 $^+$ - | \rightarrow 0 ⁺ ELEGANT V | ⁴⁸ EJIRI | 96 |
| <4.1 | | ¹¹⁶ Cd 0 | | 116 CdWO $_4$ s | cint 49 DANEVICH | 95 |
| < 2.8-4.3 | 90 | 136 Xe 0 | 0ν 0 $^+$ - | → 0 ⁺ TPC | ⁵⁰ VUILLEUMIE | R 93 |
| < 1.1 – 1.5 | | ¹²⁸ Te | | Geochem | ⁵¹ BERNATOW | 92 |
| <5 | 68 | ⁸² Se | | TPC | ⁵² ELLIOTT | 92 |
| <8.3 | 76 | |)ν | CaF ₂ scint. | YOU | 91 |
| < 5.6 | 95 | ¹²⁸ Te | | Geochem | KIRSTEN | 83 |

⁴² In AALSETH 99, the range given in the limit reflects the spread of the corresponding nuclear matrix elements. This limit is not competive with BAUDIS 99B.

43 ARNOLD 99 limit based on the nuclear matrix elements of STAUDT 90.

⁴⁵LUESCHER 98 limit for $\langle m_{\nu} \rangle$ is based on the matrix elements of ENGEL 88.

⁴⁹ DANEVICH 95 is identical to GEORGADZE 95.

⁵² ELLIOTT 92 uses the matrix elements of HAXTON 84.

Limits on Lepton-Number Violating (V+A) Current Admixture

For reasons given in the discussion at the beginning of this section, we list only results from 1989 and later. $\langle \lambda \rangle = \lambda \sum U_{ej} \, V_{ej}$ and $\langle \eta \rangle = \eta \sum U_{ej} \, V_{ej}$, where the sum is over the number of neutrino generations. This sum vanishes for massless or unmixed neutrinos. In the following Listings, only best or comparable limits or lifetimes for each isotope are reported.

| $\langle \lambda \rangle$ (10 | ⁻⁶) <u>CL</u> % | $\left\langle \eta ight angle$ (10 $^{-}$ | ⁻⁸) <u>CL%</u> | ISOTOPE | METHOD | DOCUMENT ID | |
|-------------------------------|-----------------------------|--------------------------------------------|----------------------------|---------------------|----------------------------------------|---------------------------|------|
| • • • V | Ve do no | t use the | followin | g data for | averages, fits, limit | s, etc. • • • | |
| <1.1 | 90 | < 0.64 | 90 | 76 Ge | Enriched HPGe | ⁵³ GUENTHER | 97 |
| < 3.7 | 68 | < 2.5 | 68 | $^{100}\mathrm{Mo}$ | Elegant V | ⁵⁴ EJIRI | 96 |
| < 5.3 | 90 | < 5.9 | 90 | 116 Cd | ¹¹⁶ CdWO ₄ scint | ⁵⁵ DANEVICH | 95 |
| <4.4 | 90 | < 2.3 | 90 | 136_{Xe} | TPC | ⁵⁶ VUILLEUMIEI | R 93 |
| | | < 5.3 | | ¹²⁸ Te | Geochem | ⁵⁷ BERNATOW. | 92 |

⁵³ GUENTHER 97 limits use the matrix elements of STAUDT 90. Supersedes BALYSH 95 and BALYSH 92.

 $^{^{44}}$ BAUDIS 99B derive a limit for $\langle m_{\nu} \rangle$ using the matrix elements of STAUDT 90. The uncertainty given for $\langle m_{\nu} \rangle$ reflects theoretical uncertainty in the matrix element calculations. The less restrictive limit is based on the quoted experimental sensitivity while the lower value in parentheses makes use of measured rates significantly below background.

 $^{^{46}}$ ALSTON-GARNJOST 97 obtain the limit for $\langle m_{\nu} \rangle$ using the matrix elements of ENGEL 88. The limit supersedes ALSTON-GARNJOST 93.

 $^{^{47}}$ BAUDIS 97 limit for $\langle m_{\nu} \rangle$ is based on the matrix elements of STAUDT 90. This is the most stringent bound on $\langle m_{\nu} \rangle$. It supersedes the limit of GUENTHER 97.

⁴⁸ EJIRI 96 obtain the limit for $\langle m_{
u} \rangle$ using the matrix elements of TOMODA 91.

 $^{^{50}}$ VUILLEUMIER 93 mass range from parameter range in the Caltech calculations (ENGEL 88). On the basis of these calculations, the BALYSH 92 mass range would be <2.2–4.4 eV.

 $^{^{51}}$ BERNATOWICZ 92 finds these majoron mass limits assuming that the measured geochemical decay width is a limit on the 0ν decay width. The range is the range found using matrix elements from HAXTON 84, TOMODA 87, and SUHONEN 91. Further details of the experiment are given in BERNATOWICZ 93.

⁵⁴ EJIRI 96 obtain limits for $\langle \lambda \rangle$ and $\langle \eta \rangle$ using the matrix elements of TOMODA 91.

(D) Other bounds from nuclear and particle decays

——— Limits on $|U_{ex}|^2$ as Function of m_{ν_x} ———

Peak and kink search tests

Limits on $|U_{ex}|^2$ as function of m_{ν_i}

| VALU | E | CL% | DOCUMENT ID | | TECN | COMMENT |
|------|---------------------------------------|-------------|-------------------|-------------|-----------|--------------------------------|
| <1 | × 10 ⁻⁷ | 90 58 | BRITTON | 92 B | CNTR | 50 MeV $< m_{ u_{\nu}} < 130$ |
| | | | | | | MeV |
| • • | We do not use the | following o | lata for averages | , fits | , limits, | etc. • • • |
| | $\times 10^{-6}$ | 90 | DELEENER | 91 | | $m_{ u_{ m x}}$ =20 MeV |
| | \times 10 ⁻⁷ | 90 | DELEENER | 91 | | $m_{\nu_{x}}^{2}$ =40 MeV |
| | \times 10 ⁻⁷ | 90 | DELEENER | 91 | | m_{ν_x} =60 MeV |
| | $\times 10^{-6}$ | 90 | DELEENER | 91 | | $m_{\nu_{x}} = 80 \text{ MeV}$ |
| <1 | \times 10 ⁻⁶ | 90 | DELEENER | 91 | | m_{ν_x} =100 MeV |

$$<$$
 \times 10^{-7} $\,$ 90 AZUELOS 86 CNTR m_{ν_χ} =60 MeV $<$ 2 \times 10^{-7} 90 AZUELOS 86 CNTR m_{ν_χ} =80 MeV

$$<$$
3 \times 10⁻⁷ 90 AZUELOS 86 CNTR $m_{\nu_{\chi}} = 100 \text{ MeV}$

$$<1 imes 10^{-6}$$
 90 AZUELOS 86 CNTR $m_{\nu_\chi} = 120 \text{ MeV}$
 $<2 imes 10^{-7}$ 90 AZUELOS 86 CNTR $m_{\nu_\chi} = 130 \text{ MeV}$

$$<2 \times 10^{-7}$$
 90 AZUELOS 86 CNTR $m_{\nu_{\chi}} = 130 \text{ MeV}$
 $<1 \times 10^{-4}$ 90 S9 BRYMAN 83B CNTR $m_{\nu_{\chi}} = 5 \text{ MeV}$

$$<$$
 1 \times 10⁻⁴ 90 ⁵⁹ BRYMAN 83B CNTR $m_{\nu_{\chi}}$ =5 MeV $<$ 1.5 \times 10⁻⁶ 90 BRYMAN 83B CNTR $m_{\nu_{\tau}}$ =53 MeV

$$<$$
1 \times 10⁻⁵ 90 BRYMAN 83B CNTR $m_{\nu_{\chi}}$ =70 MeV

$$<$$
1 \times 10⁻⁴ 90 BRYMAN 83B CNTR $m_{\nu_{\chi}}$ =130 MeV

$$<1 \times 10^{-4}$$
 68 60 SHROCK 81 THEO $m_{\nu_{\chi}} = 10$ MeV

$$<$$
5 \times 10⁻⁶ 68 60 SHROCK 81 THEO m_{ν_χ} =60 MeV

$$<1 imes 10^{-5}$$
 68 61 SHROCK 80 THEO $m_{\nu_\chi}^{-\chi}=$ 80 MeV

 $^{^{55}}$ DANEVICH 95 is identical to GEORGADZE 95.

⁵⁶ VUILLEUMIER 93 uses the matrix elements of MUTO 89.

 $^{^{57}}$ BERNATOWICZ 92 takes the measured geochemical decay width as a limit on the 0ν width, and uses the SUHONEN 91 coefficients to obtain the least restrictive limit on η . Further details of the experiment are given in BERNATOWICZ 93.

 $<3 \times 10^{-6}$ 68 61 SHROCK 80 THEO $m_{\nu_{\chi}} = 160$ MeV

⁵⁸ BRITTON 92B is from a search for additional peaks in the e⁺ spectrum from $\pi^+ \rightarrow e^+ \nu_e$ decay at TRIUMF. See also BRITTON 92. ⁵⁹ BRYMAN 83B obtain upper limits from both direct peak search and analysis of B($\pi \rightarrow$

⁵⁹ BRYMAN 83B obtain upper limits from both direct peak search and analysis of B($\pi \to e\nu$)/B($\pi \to \mu\nu$). Latter limits are not listed, except for this entry (i.e. — we list the most stringent limits for given mass).

⁶⁰ Analysis of $(\pi^+ \to e^+ \nu_e)/(\pi^+ \to \mu^+ \nu_\mu)$ and $(K^+ \to e^+ \nu_e)/(K^+ \to \mu^+ \nu_\mu)$ decay ratios.

⁶¹ Analysis of $(K^+ \rightarrow e^+ \nu_e)$ spectrum.

Kink search in nuclear β decay

High-sensitivity follow-up experiments show that indications for a neutrino with mass 17 keV (Simpson, Hime, and others) were not valid. Accordingly, we no longer list the experiments by these authors and some others which made positive claims of 17 keV neutrino emission. Complete listings are given in the 1994 edition (Physical Review **D50** 1173 (1994)) and in the 1998 edition (The European Physical Journal **C3** 1 (1998)). We list below only the best limits on $|U_{ex}|^2$ for each m_{ν_χ} . See WIETFELDT 96 for a comprehensive review.

| VAL (unit | <i>UE</i> es 10 ⁻³) | CL% | m_{ν_i} (keV) | ISOTOPE | METHOD | DOCUMENT ID | |
|--------------|------------------------------------|----------|---------------------|-------------------|------------------------|---------------------------|----|
| • • | • We do not | t use th | ne following data f | or average | es, fits, limits, etc. | • • | |
| < | 4 | 95 | 14–17 | 241 _{Pu} | Electrostatic spec | | 99 |
| < | 1 | 95 | 4-30 | 63 _{Ni} | Mag spect | ⁶³ HOLZSCHUH | 99 |
| 10- | 40 | 90 | 370-640 | 37_{Ar} | EC ion recoil | ⁶⁴ HINDI | 98 |
| < 1 | .0 | 95 | 1 | ^{3}H | SPEC | ⁶⁵ HIDDEMANN | 95 |
| < 6 | | 95 | 2 | ^{3}H | SPEC | ⁶⁵ HIDDEMANN | 95 |
| < 2 | ! | 95 | 3 | ^{3}H | SPEC | ⁶⁵ HIDDEMANN | 95 |
| < | 0.7 | 99 | 16.3-16.6 | ³ H | Prop chamber | ⁶⁶ KALBFLEISCH | 93 |
| < | 2 | 95 | 13-40 | 35 _S | Si(Li) | ⁶⁷ MORTARA | 93 |
| < | 0.73 | 95 | 17 | ⁶³ Ni | Mag spect | OHSHIMA | 93 |
| < | 1.0 | 95 | 10-24 | ⁶³ Ni | Mag spect | KAWAKAMI | 92 |
| < | 8 | 90 | 80 | 35_{S} | Mag spect | ⁶⁸ APALIKOV | 85 |
| < | 1.5 | 90 | 60 | 35_{S} | Mag spect | APALIKOV | 85 |
| < | 3.0 | 90 | 5-50 | | Mag spect | MARKEY | 85 |
| < | 0.62 | 90 | 48 | 35_{S} | Si(Li) | OHI | 85 |
| < | 0.90 | 90 | 30 | 35_{S} | Si(Li) | OHI | 85 |
| < | 4 | 90 | 140 | ⁶⁴ Cu | Mag spect | ⁶⁹ SCHRECK | 83 |
| < | 8 | 90 | 440 | ⁶⁴ Cu | Mag spect | ⁶⁹ SCHRECK | 83 |
| <1 | 00 | 90 | 0.1-3000 | | THEO | ⁷⁰ SHROCK | 80 |
| < | 0.1 | 68 | 80 | | THEO | ⁷¹ SHROCK | 80 |

 $^{^{62}}$ DRAGOUN 99 analyze the β decay spectrum of 241 Pu in the energy range 0.2–9.2 keV to derive limits for the admixture of heavy neutrinos. It is not competive with HOLZSCHUH 99.

 $^{^{63}}$ HOLZSCHUH 99 use an iron-free β spectrometer to measure the 63 Ni β decay spectrum. An analysis of the spectrum in the energy rage 33–67.8 keV is used to derive limits for the admixture of heavy neutrinos.

⁶⁴ HINDI 98 obtain a limit on heavy neutrino admixture from EC decay of 37 Ar by measuring the time-of-flight distribution of the recoiling ions in coincidence with x-rays or Auger electrons. The authors report upper limit for $|U_{ex}|^2$ of $\approx 3\%$ for m_{ν_x} =500 keV, 1% for m_{ν_x} =550 keV, 2% for m_{ν_x} =600 keV, and 4% for m_x =650 keV. Their reported limits for m_{ν_x} \leq 450 keV are inferior to the limits of SCHRECKENBACH 83.

⁶⁵ In the beta spectrum from tritium β decay nonvanishing or mixed $m_{\overline{\nu}_1}$ state in the mass region 0.01–4 keV. For $m_{\nu_{\nu}}$ <1 keV, their upper limit on $|U_{\rm ex}|^2$ becomes less

⁶⁶ KALBFLEISCH 93 extends the 17 keV neutrino search of BAHRAN 92, using an improved proportional chamber to which a small amount of ³H is added. Systematics are significantly reduced, allowing for an improved upper limit. The authors give a 99% confidence limit on $|U_{ex}|^2$ as a function of m_{ν_x} in the range from 13.5 keV to 17.5 keV. See also the related papers BAHRAN 93, BAHRAN 93B, and BAHRAN 95 on theoretical aspects of beta spectra and fitting methods for heavy neutrinos.

68 This limit was taken from the figure 3 of APALIKOV 85; the text gives a more restrictive limit of 1.7×10^{-3} at CL = 90%. 69 SCHRECKENBACH 83 is a combined measurement of the β^+ and β^- spectrum.

Searches for Decays of Massive ν

Limits on $|U_{e_x}|^2$ as function of m_{ν}

| VALUE | CL% | <u>DOCUMENT ID</u> | | ECN | COMMENT |
|-------------------------|----------|-----------------------|------------|----------|-----------------------------------------------------------------------|
| • • • We do not use the | followin | g data for averages | , fits, li | imits, e | tc. • • • |
| $<4 \times 10^{-3}$ | 95 | ACCIARRI | 99K L3 | 3 | $m_{\nu_{\star}}$ =80 MeV |
| $< 5 \times 10^{-2}$ | 95 | ACCIARRI | 99K L3 | 3 | $m_{ u_{x}} = 175 \; GeV$ |
| $< 2 \times 10^{-5}$ | 95 | ⁷² ABREU | 97ı D | | $m_{\nu_{x}} = 6 \text{ GeV}$ |
| $< 3 \times 10^{-5}$ | 95 | ⁷² ABREU | 97ı D | | $m_{\nu_{v}} = 50 \; GeV$ |
| $< 1.8 \times 10^{-3}$ | 90 | ⁷³ HAGNER | | | $m_{ u_h}^{\;}=1.5\;MeV$ |
| $< 2.5 \times 10^{-4}$ | 90 | ⁷³ HAGNER | | | $m_{ u_h}^{''}=$ 4 MeV |
| $< 4.2 \times 10^{-3}$ | 90 | ⁷³ HAGNER | | | $m_{ u_h}^{"}=9~{ m MeV}$ |
| $< 1 \times 10^{-5}$ | 90 | ⁷⁴ BARANOV | 93 | | $m_{ u_{ m v}}^{"}$ =100 MeV |
| $< 1 \times 10^{-6}$ | 90 | ⁷⁴ BARANOV | 93 | | $m_{ u_{\searrow}}$ = 200 MeV |
| $< 3 \times 10^{-7}$ | 90 | ⁷⁴ BARANOV | 93 | | $m_{\nu_x}^{\stackrel{\wedge}{=}} 300 \text{ MeV}$ |
| $< 2 \times 10^{-7}$ | 90 | ⁷⁴ BARANOV | 93 | | $m_{\nu_{v}}^{2}$ =400 MeV |
| $< 6.2 \times 10^{-8}$ | 95 | ADEVA | 90s L3 | | $m_{\nu_{v}}$ =20 MeV |
| $< 5.1 \times 10^{-10}$ | 95 | ADEVA | 90s L3 | 3 | $m_{\nu_{\downarrow}}$ =40 MeV |
| all values ruled out | 95 | ⁷⁵ BURCHAT | 90 M | IRK2 | $m_{ u_{	imes}}$ $<$ 19.6 GeV |
| $< 1 \times 10^{-10}$ | 95 | ⁷⁵ BURCHAT | 90 M | IRK2 | $m_{ u_{x}}^{}=$ 22 GeV |
| $< 1 \times 10^{-11}$ | 95 | ⁷⁵ BURCHAT | 90 M | | $m_{ u_{ m v}}^{}=$ 41 GeV |
| all values ruled out | 95 | DECAMP | 90F A | | $m_{\nu_{y}}^{} = 25.0 - 42.7 \text{ GeV}$ |
| $< 1 \times 10^{-13}$ | 95 | DECAMP | 90F A | LEP | $m_{\nu_{x}} = 42.7 - 45.7 \text{ GeV}$ |
| $< 5 \times 10^{-3}$ | 90 | AKERLOF | 88 H | IRS | $m_{\nu_{v}}$ =1.8 GeV |
| $< 2 \times 10^{-5}$ | 90 | AKERLOF | 88 H | IRS | $m_{\nu_{x}}^{}=4 \text{ GeV}$ |
| $< 3 \times 10^{-6}$ | 90 | AKERLOF | 88 H | | $m_{\nu_{\downarrow}}$ =6 GeV |
| $< 1.2 \times 10^{-7}$ | 90 | BERNARDI | 88 C | | $m_{ u_{\scriptscriptstyle X}}^{\stackrel{\wedge}{=}}=100\;{\sf MeV}$ |
| $< 1 \times 10^{-8}$ | 90 | BERNARDI | 88 C | | $m_{\nu_{v}}^{}=200~MeV$ |
| $< 2.4 \times 10^{-9}$ | 90 | BERNARDI | | | $m_{ u_{\chi}}^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{$ |

⁶⁷ MORTARA 93 limit is from study using a high-resolution solid-state detector with a superconducting solenoid. The authors note that "The sensitivity to neutrino mass is verified by measurement with a mixed source of ³⁵S and ¹⁴C, which artificially produces a distortion in the beta spectrum similar to that expected from the massive neutrino."

 $^{^{70}}$ SHROCK 80 was a retroactive analysis of data on several superallowed β decays to search for kinks in the Kurie plot.

 $^{^{71}}$ Application of test to search for kinks in eta decay Kurie plots.

| <21 | $\times 10^{-9}$ | 90 | BERNARDI | 88 | CNTR | $m_{ u_{\nu}}$ =400 MeV |
|-----|---------------------------|----|-----------------------|-------------|------|-------------------------------------------|
| <2 | \times 10 ⁻² | 68 | 76 OBERAUER | | | $m_{\nu_{\chi}}$ =1.5 MeV |
| <8 | × 10 ⁻⁴ | 68 | 76 OBERAUER | 87 | | $m_{\nu_{\chi}}$ =4.0 MeV |
| | | | | | CNTD | ^ |
| <8 | \times 10 ⁻³ | 90 | BADIER | 86 | CNTR | $m_{ u_\chi}$ =400 MeV |
| <8 | $\times 10^{-5}$ | 90 | BADIER | 86 | CNTR | $m_{\nu_{\star}} = 1.7 \text{ GeV}$ |
| <8 | $\times 10^{-8}$ | 90 | BERNARDI | 86 | CNTR | $m_{\nu_{\star}}$ =100 MeV |
| <4 | $\times 10^{-8}$ | 90 | BERNARDI | 86 | CNTR | $m_{\nu_x}^{}$ =200 MeV |
| <6 | $\times 10^{-9}$ | 90 | BERNARDI | 86 | | $m_{\nu_{\star}}$ =400 MeV |
| <3 | $\times 10^{-5}$ | 90 | DORENBOS | 86 | CNTR | m_{ν_x} =150 MeV |
| <1 | $\times 10^{-6}$ | 90 | DORENBOS | 86 | | $m_{\nu_x}^{}=500 \text{ MeV}$ |
| <1 | \times 10 ⁻⁷ | 90 | DORENBOS | | | $m_{\nu_{\star}}$ =1.6 GeV |
| <7 | $\times 10^{-7}$ | 90 | ⁷⁷ COOPER | 85 | HLBC | $m_{\nu_{\star}} = 0.4 \text{ GeV}$ |
| <8 | $\times 10^{-8}$ | 90 | ⁷⁷ COOPER | 85 | HLBC | $m_{\nu_{\star}}$ =1.5 GeV |
| <1 | \times 10 ⁻² | 90 | ⁷⁸ BERGSMA | 83 B | CNTR | $m_{\nu_{\star}}$ =10 MeV |
| <1 | $\times 10^{-5}$ | 90 | ⁷⁸ BERGSMA | 83 B | CNTR | $m_{\nu_{\star}}$ =110 MeV |
| <6 | \times 10 ⁻⁷ | 90 | ⁷⁸ BERGSMA | 83 B | CNTR | $m_{\nu_{\star}}$ =410 MeV |
| <1 | $\times 10^{-5}$ | 90 | GRONAU | 83 | | $m_{\nu_{\scriptscriptstyle Y}}$ =160 MeV |
| <1 | \times 10 ⁻⁶ | 90 | GRONAU | 83 | | $m_{\nu_{\chi}}$ =480 MeV |
| | | | | | | |

 $^{^{72} \}rm ABREU$ 971 long-lived ν_{χ} analysis. Short-lived analysis extends limit to lower masses with decreasing sensitivity except at 3.5 GeV, where the limit is the same as at 6 GeV.

– Limits on Coupling of μ to $u_{m{x}}$ as Function of $m_{m{ u}_{m{x}}}$ –

 $^{^{73}}$ HAGNER 95 obtain limits on heavy neutrino admixture from the decay $\nu_h \rightarrow \nu_e \, e^+ \, e^-$ at a nuclear reactor for the ν_h mass range 2–9 MeV.

 $^{^{74}}$ BARANOV 93 is a search for neutrino decays into $e^+\,e^-\,\nu_e$ using a beam dump experiment at the 70 GeV Serpukhov proton synchrotron. The limits are not as good as those achieved earlier by BERGSMA 83 and BERNARDI 86, BERNARDI 88.

⁷⁵BURCHAT 90 includes the analyses reported in JUNG 90, ABRAMS 89C, and WENDT 87

⁷⁶ OBERAUER 87 bounds from search for $\nu \to \nu' e e$ decay mode using reactor (anti)neutrinos.

 $^{^{77}}$ COOPER-SARKAR 85 also give limits based on model-dependent assumptions for ν_{τ} flux. We do not list these. Note that for this bound to be nontrivial, x is not equal to 3, i.e. ν_{χ} cannot be the dominant mass eigenstate in ν_{τ} since $m_{\nu_{3}}$ <70 MeV (ALBRECHT 85I). Also, of course, x is not equal to 1 or 2, so a fourth generation would be required for this bound to be nontrivial.

 $^{^{78}}$ BERGSMA 83B also quote limits on $|U_{e3}|^2$ where the index 3 refers to the mass eigenstate dominantly coupled to the $\tau.$ Those limits were based on assumptions about the D_s mass and $D_s \rightarrow ~\tau \nu_{\tau}$ branching ratio which are no longer valid. See COOPERSARKAR 85

Peak search test

Limits on B(π (or K) $\rightarrow \mu \nu_{\chi}$).

| <u>VALUE</u> | | <u>CL%</u> | ^ | DOCUMENT ID | | TECN | COMMENT |
|------------------|---------------------------|------------|-----|------------------|-------------|-----------|-------------------------------------------------------|
| • • • \ | We do not use the | followin | g d | ata for averages | , fits | , limits, | etc. • • • |
| < 0.22 | | 90 | 79 | ASSAMAGAN | 98 | SILI | $m_{\nu_{\mathbf{v}}} = 0.53 \text{ MeV}$ |
| < 0.029 | 9 | 90 | 79 | ASSAMAGAN | 98 | SILI | $m_{\nu_{\scriptscriptstyle X}} = 0.75 \text{ MeV}$ |
| < 0.016 | õ | 90 | 79 | ASSAMAGAN | 98 | SILI | $m_{\nu_{\scriptscriptstyle Y}} = 1.0 \; MeV$ |
| | \times 10 ⁻⁵ | | 80 | BRYMAN | 96 | CNTR | $m_{\nu_{_{Y}}} = 30-33.91 \text{ MeV}$ |
| $\sim 1 	imes 1$ | | | | ARMBRUSTER | R95 | KARM | $m_{\nu_{\scriptscriptstyle Y}} = 33.9 \; \text{MeV}$ |
| <4 | \times 10 ⁻⁷ | 95 | 82 | BILGER | 95 | LEPS | $m_{\overline{\nu}_x} = 33.9 \text{ MeV}$ |
| <7 | × 10 ⁻⁸ | 95 | | BILGER | 95 | LEPS | $m_{\nu_{_{Y}}} = 33.9 \text{ MeV}$ |
| < 2.6 | $\times 10^{-8}$ | 95 | 82 | DAUM | 95 B | TOF | $m_{\nu_{\scriptscriptstyle Y}} = 33.9 \; \text{MeV}$ |
| <2 | \times 10 ⁻² | 90 | | DAUM | 87 | | $m_{\nu_{_{X}}} = 1 \text{ MeV}$ |
| <1 | $\times 10^{-3}$ | 90 | | DAUM | 87 | | $m_{\nu_{\rm x}} = 2 \text{ MeV}$ |
| <6 | $\times 10^{-5}$ | 90 | | DAUM | 87 | | 3 MeV $< m_{ u_{\chi}} < 19.5$ |
| <3 | \times 10 ⁻² | 90 | 83 | MINEHART | 84 | | $m_{\nu_{\nu}} = 2 \text{ MeV}$ |
| <1 | $\times 10^{-3}$ | 90 | | MINEHART | 84 | | $m_{\nu_{\chi}}^{\nu_{\chi}}$ =4 MeV |
| <3 | × 10 ⁻⁴ | 90 | | MINEHART | 84 | | $m_{\nu_{\times}}^{\nu_{\times}}=10 \text{ GeV}$ |
| <5 | \times 10 ⁻⁶ | 90 | 84 | HAYANO | 82 | | $m_{\nu_{\chi}}^{2}$ =330 MeV |
| <1 | × 10 ⁻⁴ | 90 | 84 | HAYANO | 82 | | $m_{\nu_{\nu}}^{2}$ =70 MeV |
| <9 | \times 10 ⁻⁷ | 90 | 84 | HAYANO | 82 | | $m_{\nu_{\star}}^{2}$ =250 MeV |
| <1 | \times 10 ⁻¹ | 90 | 83 | ABELA | 81 | | $m_{\nu_{v}}^{x}$ =4 MeV |
| <7 | \times 10 ⁻⁵ | 90 | 83 | ABELA | 81 | | $m_{\nu_{\times}}^{\ \ \ \ \ } = 10.5 \text{ MeV}$ |
| <2 | \times 10 ⁻⁴ | 90 | 83 | ABELA | 81 | | $m_{\nu_{\downarrow}}^{2}=11.5 \text{ MeV}$ |
| <2 | \times 10 ⁻⁵ | 90 | 83 | ABELA | 81 | | $m_{\nu_{\chi}}^{\ \ \ \ \ } = 16-30 \ { m MeV}$ |
| | | | | | | | |

 $^{^{79}}$ ASSAMAGAN 98 obtain a limit on heavy neutrino admixture from π^+ decay essentially at rest, by measuring with good resolution the momentum distribution of the muons. However, the search uses an ad hoc shape correction. The authors report upper limit for $|U_{\mu \rm X}|^2$ of 0.22 for $m_{\nu}=$ 0.53 MeV, 0.029 for $m_{\nu}=$ 0.75 MeV, and 0.016 for $m_{\nu}=$ 1.0 MeV at 90%CL. 80 BRYMAN 96 search for massive unconventional neutrinos of mass $m_{\nu_{\rm X}}$ in π^+ decay.

 $^{^{81}}$ ARMBRUSTER 95 study the reactions 12 C(ν_e , e -) 12 N and 12 C(ν_ν) $^{\hat{}12}$ C* induced by neutrinos from π^+ and μ^+ decay at the ISIS neutron spallation source at the Rutherford-Appleton laboratory. An anomaly in the time distribution can be interpreted as the decay $\pi^+ \to \mu^+ \nu_X$, where ν_X is a neutral weakly interacting particle with mass ≈ 33.9 MeV and spin 1/2. The lower limit to the branching ratio is a function of the lifetime of the new massive neutral particle, and reaches a minimum of a few $\, imes 10^{-16}\,$ for $au_{_X} \sim$ 5 s.

⁸² From experiments of π^+ and π^- decay in flight at PSI, to check the claim of the KARMEN Collaboration quoted above (ARMBRUSTER 95).

 $^{83\,\}pi^+
ightarrow \; \mu^+\,
u_\mu$ peak search experiment.

 $^{^{84}}K^+ \rightarrow \mu^+ \nu_{\mu}$ peak search experiment.

Peak search test

Limits on $|U_{\mu_X}|^2$ as function of m_{ν_X}

| VALUE | <u>CL%</u> | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------------|------------|-------------------------|--------|-----------|-----------------------------------------------------------------------|
| \bullet \bullet We do not use the | followin | g data for averages | , fits | , limits, | etc. • • • |
| $< 1 10 \times 10^{-4}$ | | ⁸⁵ BRYMAN | 96 | CNTR | $m_{\nu_{\nu}} = 3033.91 \text{ MeV}$ |
| $< 2 \times 10^{-5}$ | 95 | ⁸⁶ ASANO | 81 | | $m_{\nu_{\star}}$ =70 MeV |
| $< 3 \times 10^{-6}$ | 95 | ⁸⁶ ASANO | 81 | | $m_{\nu_{\star}}$ =210 MeV |
| $< 3 \times 10^{-6}$ | 95 | ⁸⁶ ASANO | 81 | | $m_{\nu_{\star}}^{2}$ =230 MeV |
| $< 6 \times 10^{-6}$ | 95 | ⁸⁷ ASANO | 81 | | $m_{\nu_{\scriptscriptstyle X}}^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^$ |
| $< 5 \times 10^{-7}$ | 95 | ⁸⁷ ASANO | 81 | | $m_{\nu_{\downarrow}}^{2}$ =280 MeV |
| $< 6 \times 10^{-6}$ | 95 | ⁸⁷ ASANO | 81 | | $m_{\nu_{\star}}^{^{\times}}$ =300 MeV |
| $<1 \times 10^{-2}$ | 95 | CALAPRICE | 81 | | $m_{\nu_{\nu}}^{^{\lambda}}$ =7 MeV |
| $< 3 \times 10^{-3}$ | 95 | ⁸⁸ CALAPRICE | 81 | | $m_{\nu_{\downarrow}}^{3}$ =33 MeV |
| $< 1 \times 10^{-4}$ | 68 | ⁸⁹ SHROCK | 81 | THEO | $m_{\nu_{\nu}}^{}=13$ MeV |
| $< 3 \times 10^{-5}$ | 68 | ⁸⁹ SHROCK | 81 | THEO | $m_{\nu_{\nu}}^{\lambda}$ =33 MeV |
| $< 6 \times 10^{-3}$ | 68 | ⁹⁰ SHROCK | 81 | THEO | $m_{\nu_{\nu}}^{^{\times}}$ =80 MeV |
| $< 5 \times 10^{-3}$ | 68 | ⁹⁰ SHROCK | 81 | | $m_{\nu_{\chi}}^{2}$ =120 MeV |

 $^{^{85}}$ BRYMAN 96 search for massive unconventional neutrinos of mass $m_{\nu_{\chi}}$ in π^+ decay. They interpret the result as an upper limit for the admixture of a heavy sterile or otherwise 86 K $^+$ \rightarrow $~\mu^+$ ν_{μ} peak search experiment.

Peak Search in Muon Capture

Limits on $|U_{\mu,x}|^2$ as function of $m_{\nu,x}$

| VALUE | DOCUMENT ID | СОМІ | MENT | |
|---------------------------------|---------------------|----------------------|----------------|--|
| • • • We do not use the followi | ng data for average | , fits, limit | ts, etc. • • • | |
| $<1 \times 10^{-1}$ | DEUTSCH | 83 $m_{\nu_{\star}}$ | =45 MeV | |
| $< 7 \times 10^{-3}$ | DEUTSCH | 83 $m_{\nu_{\star}}$ | =70 MeV | |
| $< 1 \times 10^{-1}$ | DEUTSCH | 83 m_{ij} | =85 MeV | |

Searches for Decays of Massive ν Limits on $|U_{\mu_X}|^2$ as function of m_{ν_x}

| VALUE | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------|-----------|-------------------------|------|---------|-------------------------------------------------------|
| ullet $ullet$ We do not use the | following | data for averages, | fits | limits, | etc. • • • |
| $< 5 \times 10^{-7}$ | 90 | ⁹¹ VAITAITIS | 99 | CCFR | $m_{\nu} = 0.28 \text{ GeV}$ |
| $< 8 \times 10^{-8}$ | | | | | $m_{\nu_{\gamma}}^{2}=0.37 \text{ GeV}$ |
| $< 5 \times 10^{-7}$ | 90 | ~ - | | | $m_{\nu_{\nu}}^{\ \ \ \ \ \ \ \ } = 0.50 \text{ GeV}$ |

⁸⁷ Analysis of experiment on $K^+ \to \mu^+ \nu_\mu \nu_\chi \overline{\nu}_\chi$ decay.

 $^{^{88}\}pi^+$ $_{ o}$ $~\mu^+\,\nu_{\mu}$ peak search experiment.

Analysis of magnetic spectrometer experiment, bubble chamber experiment, and emulsion experiment on $\pi^+ \to \mu^+ \nu_\mu$ decay.

 $^{^{90}}$ Analysis of magnetic spectrometer experiment on $K
ightarrow \ \mu$, u_{μ} decay.

| $< 6 \times 10^{-8}$ | 90 | 91 VAITAITIS 99 CCFR $m_{\nu_{\chi}} = 1.50 \text{ GeV}$ | |
|-------------------------|----|---------------------------------------------------------------------------------|----|
| $< 2 \times 10^{-5}$ | 95 | ⁹² ABREU 971 DLPH $m_{\nu_{x}}$ =6 GeV | |
| $< 3 \times 10^{-5}$ | 95 | 92 ABREU 971 DLPH $m_{\nu_{\nu}} = 50$ GeV | |
| $< 3 \times 10^{-6}$ | 90 | GALLAS 95 CNTR $m_{ u_{\chi}}^{} = 1 \text{ GeV}$ | |
| $< 3 \times 10^{-5}$ | 90 | 93 VILAIN 95C CHM2 $m_{\nu_x} = 2 \text{ GeV}$ | |
| $<6.2 \times 10^{-8}$ | 95 | ADEVA 90s L3 $m_{\nu_{\chi}}^{2}=20$ MeV | |
| $< 5.1 \times 10^{-10}$ | 95 | ADEVA 90s L3 $m_{\nu} = 40 \text{ MeV}$ | |
| all values ruled out | 95 | 94 BURCHAT 90 MRK2 $m_{\nu_{\chi}}^{\nu_{\chi}} < 19.6 \text{ GeV}$ | |
| $< 1 \times 10^{-10}$ | 95 | 94 BURCHAT 90 MRK2 $m_{\nu_X}^{2} = 22 \text{ GeV}$ | |
| $< 1 \times 10^{-11}$ | 95 | ⁹⁴ BURCHAT 90 MRK2 $m_{\nu_X}^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{$ | |
| all values ruled out | 95 | DECAMP 90F ALEP $m_{\nu_{\nu}} = 25.0-42.7 \text{ Ge}$ | ٠V |
| $< 1 \times 10^{-13}$ | 95 | DECAMP 90F ALEP $m_{\nu_{\nu}} = 42.7-45.7 \text{ Ge}$ | ٠V |
| $< 5 \times 10^{-3}$ | 90 | AKERLOF 88 HRS $m_{ u_{_{X}}}$ =1.8 GeV | |
| $< 2 \times 10^{-5}$ | 90 | AKERLOF 88 HRS $m_{ u_{_{ m X}}}^{}=4$ GeV | |
| $< 3 \times 10^{-6}$ | 90 | AKERLOF 88 HRS $m_{\nu_{\chi}}^{}=6$ GeV | |
| $< 1 \times 10^{-7}$ | 90 | BERNARDI 88 CNTR $m_{\nu_{_{\mathbf{Y}}}}^{}=200~\mathrm{MeV}$ | |
| $< 3 \times 10^{-9}$ | 90 | BERNARDI 88 CNTR $m_{\nu_{_{ m X}}}^{}=300~{ m MeV}$ | |
| $< 4 \times 10^{-4}$ | 90 | ⁹⁵ MISHRA 87 CNTR $m_{\nu_{\nu}}$ =1.5 GeV | |
| $< 4 \times 10^{-3}$ | 90 | 95 MISHRA 87 CNTR $m_{\nu_X}^{2}$ = 2.5 GeV | |
| $< 0.9 \times 10^{-2}$ | 90 | ⁹⁵ MISHRA 87 CNTR $m_{\nu_x}^{^{^{^{^{^{^{^{}}}}}}}}$ =5 GeV | |
| < 0.1 | 90 | ⁹⁵ MISHRA 87 CNTR $m_{\nu_x}^{^{^{^{^{^{^{^{}}}}}}}}=10$ GeV | |
| $< 8 \times 10^{-4}$ | 90 | BADIER 86 CNTR $m_{\nu_{x}} = 600 \text{ MeV}$ | |
| $< 1.2 \times 10^{-5}$ | 90 | BADIER 86 CNTR $m_{\nu_{_X}}$ =1.7 GeV | |
| $< 3 \times 10^{-8}$ | 90 | BERNARDI 86 CNTR $m_{\nu_{_{\mathbf{X}}}}^{}=200~\mathrm{MeV}$ | |
| $< 6 \times 10^{-9}$ | 90 | BERNARDI 86 CNTR $m_{\nu_{_{ m X}}}^{}=350~{ m MeV}$ | |
| $< 1 \times 10^{-6}$ | 90 | DORENBOS 86 CNTR $m_{\nu_{x}} = 500 \text{ MeV}$ | |
| $< 1 \times 10^{-7}$ | 90 | DORENBOS 86 CNTR $m_{\nu_{\chi}} = 1600 \text{ MeV}$ | |
| $< 0.8 \times 10^{-5}$ | 90 | 96 COOPER 85 HLBC $m_{\nu_{\chi}} = 0.4$ GeV | |
| $< 1.0 \times 10^{-7}$ | 90 | ⁹⁶ COOPER 85 HLBC $m_{\nu_{\chi}} = 1.5 \text{ GeV}$ | |
| | | | |

 $^{^{91}}$ VAITAITIS 99 search for $L_{\mu}^{0}
ightarrow ~\mu X.$ See paper for rather complicated limit as function

 $^{^{92}\,\}mathrm{ABREU}$ 971 long-lived ν_{X} analysis. Short-lived analysis extends limit to lower masses with decreasing sensitivity except at 3.5 GeV, where the limit is the same as at 6 GeV.

 $^{^{93}\,\}mathrm{VILAIN}$ 95C is a search for the decays of heavy isosinglet neutrinos produced by neutral current neutrino interactions. Limits were quoted for masses in the range from 0.3 to 24 GeV. The best limit is listed above.

⁹⁴ BURCHAT 90 includes the analyses reported in JUNG 90, ABRAMS 89C, and WENDT 87. 95 See also limits on $|U_{3x}|$ from WENDT 87.

 $^{^{96}}$ COOPER-SARKAR 85 also give limits based on model-dependent assumptions for $\nu_{ au}$ flux. We do not list these. Note that for this bound to be nontrivial, x is not equal to 3, i.e. ν_{χ} cannot be the dominant mass eigenstate in $\nu_{ au}$ since $m_{
u_3}$ <70 MeV (ALBRECHT 851). Also, of course, x is not equal to 1 or 2, so a fourth generation would be required for this bound to be nontrivial.

Limits on $|U_{\tau x}|^2$ as a Function of m_{ν_x}

| VALUE | CL% | DOCUMENT ID | | TECN | COMMENT |
|------------------------------------|------------|-----------------------|-------------|-----------|------------------------------------------------------|
| • • • We do not use the | e followin | g data for averages | , fits | , limits, | etc. • • • |
| $< 2 \times 10^{-5}$ | 95 | ⁹⁷ ABREU | 971 | DLPH | $m_{\nu_{\star}} = 6 \text{ GeV}$ |
| $<3 \times 10^{-5}$ | 95 | ⁹⁷ ABREU | 971 | DLPH | $m_{\nu_{y}} = 50 \text{ GeV}$ |
| $< 6.2 \times 10^{-8}$ | 95 | ADEVA | 90 S | L3 | $m_{\nu_{\star}}^{}=20 \text{ MeV}$ |
| $<$ 5.1 \times 10 ⁻¹⁰ | 95 | ADEVA | 90s | L3 | $m_{\nu_{\downarrow}}^{}$ =40 MeV |
| all values ruled out | 95 | ⁹⁸ BURCHAT | 90 | MRK2 | $m_{ u_{\star}}^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{^{$ |
| $< 1 \times 10^{-10}$ | 95 | ⁹⁸ BURCHAT | 90 | MRK2 | $m_{\nu_{\mathbf{y}}}^{\lambda} = 22 \text{ GeV}$ |
| $< 1 \times 10^{-11}$ | 95 | ⁹⁸ BURCHAT | 90 | MRK2 | $m_{ u_{\star}}^{}=41~{\sf GeV}$ |
| all values ruled out | 95 | DECAMP | 90F | ALEP | $m_{\nu_{x}}^{} = 25.0-42.7 \text{ GeV}$ |
| $< 1 \times 10^{-13}$ | 95 | DECAMP | 90F | ALEP | $m_{\nu_{\star}}^{} = 42.7 - 45.7 \text{ GeV}$ |
| $< 5 \times 10^{-2}$ | 80 | AKERLOF | 88 | HRS | $m_{\nu_{\mathbf{y}}}^{}=2.5 \text{ GeV}$ |
| $< 9 \times 10^{-5}$ | 80 | AKERLOF | 88 | HRS | $m_{\nu_{\nu}}^{}=4.5$ GeV |
| | | | | | Α |

 $^{^{97}}$ ABREU 97I long-lived ν_{χ} analysis. Short-lived analysis extends limit to lower masses with decreasing sensitivity. 98 BURCHAT 90 includes the analyses reported in JUNG 90, ABRAMS 89C, and WENDT 97

Limits on $|U_{a_X}|^2$ Where $a=e, \mu$ from ρ parameter in μ decay.

| VA | ALUE | CL% | DOCUMENT ID | | TECN | COMMENT |
|----|---------------------------------------|-------------|------------------|-------------|---------|----------------------------------------------------|
| • | \bullet \bullet We do not use the | following d | ata for averages | , fits, | limits, | etc. • • • |
| < | (1×10^{-2}) | 68 | SHROCK | 81 B | THEO | $m_{ u_{\scriptscriptstyle Y}} = 10 \; \text{GeV}$ |
| < | (2×10^{-3}) | 68 | SHROCK | 81 B | THEO | m_{ν_x} =40 MeV |
| < | (4×10^{-2}) | 68 | SHROCK | 81 B | THEO | $m_{\nu} = 70 \text{ MeV}$ |

Limits on $\left|U_{1j}\! imes\!U_{2j}\right|$ as Function of $m_{ u_j}$

| VALUE | CL% | DOCUMENT ID | | TECN | COMMENT |
|-------------------------|----------|-----------------------|--------|-----------|-------------------------------|
| • | | | · · · | | |
| • • • We do not use the | tollowin | | , fits | , limits, | etc. • • • |
| $< 3 \times 10^{-5}$ | 90 | ⁹⁹ BARANOV | 93 | | $m_{ u_i}^{}=$ 80 MeV |
| $< 3 \times 10^{-6}$ | 90 | ⁹⁹ BARANOV | 93 | | $m_{ u_i} = 160 \text{ MeV}$ |
| $< 6 \times 10^{-7}$ | 90 | ⁹⁹ BARANOV | 93 | | $m_{\nu_i} = 240 \text{ MeV}$ |
| $< 2 \times 10^{-7}$ | 90 | ⁹⁹ BARANOV | 93 | | $m_{\nu_i} = 320 \text{ MeV}$ |
| $< 9 \times 10^{-5}$ | 90 | BERNARDI | 86 | CNTR | $m_{\nu_i}^{J}$ =25 MeV |
| $< 3.6 \times 10^{-7}$ | 90 | BERNARDI | 86 | | $m_{\nu_i}^{J}$ =100 MeV |
| $< 3 \times 10^{-8}$ | 90 | BERNARDI | | | $m_{\nu_i}^{J}$ =200 MeV |
| $< 6 \times 10^{-9}$ | 90 | BERNARDI | | | $m_{\nu_i}^{J}$ =350 MeV |
| $< 1 \times 10^{-2}$ | 90 | BERGSMA | | | $m_{ u_i}^{\ \ j}$ =10 MeV |
| $<1 \times 10^{-5}$ | 90 | BERGSMA | | | $m_{\nu_i}^{J}$ =140 MeV |
| $< 7 \times 10^{-7}$ | 90 | BERGSMA | | | $m_{\nu_i} = 370 \text{ MeV}$ |
| 0.0 | | | | | J |

 $^{^{99}}$ BARANOV 93 is a search for neutrino decays into $\mathrm{e^+\,e^-}\,\nu_\mathrm{e}$ using a beam dump experiment at the 70 GeV Serpukhov proton synchrotron.

(E) Solar ν Experiments

SOLAR NEUTRINOS

Revised January 2000 by K. Nakamura (KEK, High Energy Accelerator Research Organization, Japan).

The Sun is a main-sequence star at a stage of stable hydrogen burning. It produces an intense flux of electron neutrinos as a consequence of nuclear fusion reactions which generate solar energy, and whose combined effect is

$$4p + 2e^- \rightarrow {}^{4}\text{He} + 2\nu_e + 26.73 \text{ MeV} - E_{\nu} ,$$
 (1)

where E_{ν} represents the energy taken away by neutrinos, with an average value being $\langle E_{\nu} \rangle \sim 0.6$ MeV. Each neutrinoproducing reaction, the resulting flux, and contributions to the event rates in chlorine and gallium solar-neutrino experiments predicted by the recent Bahcall, Basu, and Pinsonneault standard solar model (SSM) calculation [1] are listed in Table 1. This SSM is regarded as the best with helium and heavy-element diffusion. Figure 1 shows the energy spectra of solar neutrinos from these reactions quoted from Ref. 1. Recently, the SSM has been shown to predict accurately the helioseismological sound velocities with a precision of 0.1% rms throughout essentially the entire Sun, greatly strengthening confidence in the solar model [1,2].

Observation of solar neutrinos directly addresses the SSM and, more generally, the theory of stellar structure and evolution which is the basis of the SSM. The Sun as a well-defined neutrino source also provides extremely important opportunities to investigate nontrivial neutrino properties such as nonzero mass and mixing, because of the wide range of matter density and the very long distance from the Sun to the Earth. In fact,

Table 1: Neutrino-producing reactions in the Sun (the first column) and their abbreviations (second column). The neutrino fluxes and event rates in chlorine and gallium solar-neutrino experiments predicted by Bahcall, Basu, and Pinsonneault [1] are listed in the third, fourth, and fifth columns respectively.

| | | BAHCALL 98C [1] | | | |
|--------------------------------------------------------------|-------------------|---------------------------------------------|---------------------|-----------------|--|
| Reaction | Abbr. | Flux (cm $^{-2}$ s $^{-1}$) | Cl (SNU*) | Ga (SNU*) | |
| $pp \to d e^+ \nu$ | pp | $5.94(1.00^{+0.01}_{-0.01}) \times 10^{10}$ | _ | 69.6 | |
| $pe^-p \to d\nu$ | pep | $1.39(1.00^{+0.01}_{-0.01}) \times 10^8$ | 0.2 | 2.8 | |
| ${}^{3}\mathrm{He}\;p^{4}\mathrm{He}\;e^{+}\nu$ | hep | 2.10×10^3 | 0.0 | 0.0 | |
| $^7 \mathrm{Be} \; e^- \to ^7 \mathrm{Li} \; \nu + (\gamma)$ | $^7\mathrm{Be}$ | $4.80(1.00^{+0.09}_{-0.09}) \times 10^9$ | 1.15 | 34.4 | |
| $^8\mathrm{B} \to {}^8\mathrm{Be^*} \; e^+\nu$ | $^8\mathrm{B}$ | $5.15(1.00^{+0.19}_{-0.14}) \times 10^6$ | 5.9 | 12.4 | |
| $^{13}{ m N} \to ^{13}{ m C} \; e^{+} \nu$ | $^{13}\mathrm{N}$ | $6.05(1.00^{+0.19}_{-0.13}) \times 10^8$ | 0.1 | 3.7 | |
| $^{15}{\rm O} \to ^{15}{\rm N}\; e^+ \nu$ | $^{15}\mathrm{O}$ | $5.32(1.00^{+0.22}_{-0.15}) \times 10^8$ | 0.4 | 6.0 | |
| $^{17}\mathrm{F} \rightarrow ^{17}\mathrm{O}~e^+\nu$ | $^{17}\mathrm{F}$ | $6.48(1.00^{+0.12}_{-0.11}) \times 10^6$ | 0.0 | 0.1 | |
| Total | | | $7.7_{-1.0}^{+1.2}$ | 129^{+8}_{-6} | |

^{* 1} SNU (Solar Neutrino Unit) = 10^{-36} captures per atom per second.

the currently available solar-neutrino data seem to require such neutrino properties, if one tries to understand them consistently.

So far, five solar-neutrino experiments have published results. Three of them are radiochemical experiments using ^{37}Cl (Homestake in USA) or ^{71}Ga (GALLEX at Gran Sasso in Italy and SAGE at Baksan in Russia) to capture neutrinos: ^{37}Cl $\nu_e \rightarrow ^{37}\text{Ar}$ e^- (threshold 814 keV) or ^{71}Ga $\nu_e \rightarrow ^{71}\text{Ge}$ e^- (threshold 233 keV). The produced ^{37}Ar and ^{71}Ge are both radioactive nuclei, with half lives ($\tau_{1/2}$) of 34.8 days and 11.43 days, respectively. After an exposure of the detector for two to three times $\tau_{1/2}$, the reaction products are extracted and introduced into a low-background proportional counter, and are counted for a sufficiently long period to determine the exponentially decaying

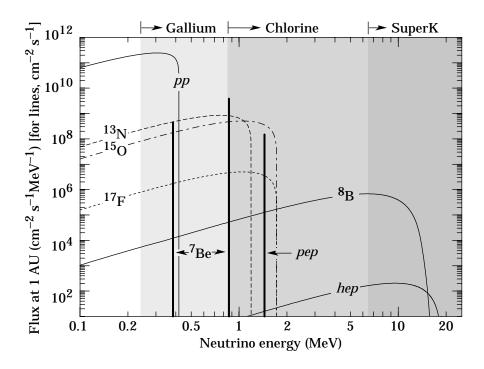


Figure 1: The solar neutrino spectrum predicted by the standard solar model. The neutrino fluxes from continuum sources are given in units of number cm⁻²s⁻¹MeV⁻¹ at one astronomical unit, and the line fluxes are given in number cm⁻²s⁻¹. Spectra for the *pp* chain, shown by the solid curves, are courtesy of J.N. Bahcall (1999), and reflect updates in BAHCALL 98C. Spectra for the CNO chain are shown by the dotted curves, and are courtesty of J.N. Bahcall (1995).

signal and a constant background. In the chlorine experiment, the dominant contribution comes from $^8\mathrm{B}$ neutrinos, but $^7\mathrm{Be}$, pep, $^{13}\mathrm{N}$, and $^{15}\mathrm{O}$ neutrinos also contribute. At present, the most abundant pp neutrinos can be detected only in gallium

experiments. Even so, almost half of the capture rate in the gallium experiments is due to other solar neutrinos.

The other experiments are real-time experiments utilizing νe scattering in a large water-Čerenkov detector (Kamiokande and Super-Kamiokande in Japan). These experiments take advantage of the directional correlation between the incoming neutrino and the recoil electron. This feature greatly helps the clear separation of the solar-neutrino signal from the background. Due to the high thresholds (7 MeV in Kamiokande and 5.5 MeV at present in Super-Kamiokande) the experiments observe pure ⁸B solar neutrinos because hep neutrinos contribute negligibly according to the SSM. (However, the recent Super-Kamiokande results on the recoil-electron energy spectrum at > 13 MeV raised some discussion on the possibility of an enhanced hep neutrino contribution [3,4].)

In May, 1999, a new realtime solar-neutrino experiment, SNO (Sudbury Neutrino Observatory) started observation. This experiment uses 1000 tons of heavy water (D₂O) to measure solar neutrinos through both inverse β decay ($\nu_e d \rightarrow e^- pp$) and neutral-current interactions ($\nu_x d \rightarrow \nu_x pn$). In addition, ν_e scattering events will be measured.

Solar neutrinos were first observed in the Homestake chlorine experiment in the late 1960's. From the very beginning, it was recognized that the observed capture rate was significantly smaller than the SSM prediction provided nothing happens to the electron neutrinos after they are created in the solar interior. This deficit has been called "the solar-neutrino problem."

The Kamiokande-II Collaboration started observing the 8B solar neutrinos at the beginning of 1987. Because of the strong directional correlation of νe scattering, this result gave the first direct evidence that the Sun emits neutrinos (no directional information is available in radiochemical solar-neutrino

experiments.) The observed solar-neutrino flux was also significantly less than the SSM prediction. In addition, Kamiokande-II obtained the energy spectrum of recoil electrons and the fluxes separately measured in the daytime and nighttime. The Kamiokande-II experiment came to an end at the beginning of 1995.

GALLEX presented the first evidence of pp solar-neutrino observation in 1992. Here also, the observed capture rate is significantly less than the SSM prediction. SAGE, after the initial confusion which is ascribed to statistics by the group, observed a similar capture rate to that of GALLEX. Both GALLEX and SAGE groups tested the overall detector response with intense man-made 51 Cr neutrino sources, and observed good agreement between the measured 71 Ge production rate and that predicted from the source activity, demonstrating the reliability of these experiments. The GALLEX Collaboration formally finished observations in early 1997. Since April, 1998, a newly defined collaboration, GNO (Gallium Neutrino Observatory) resumed the observations.

Super-Kamiokande is a 50-kton second-generation solar-neutrino detector, which is characterized by a significantly larger counting rate than the first-generation experiments. This experiment started observation in April 1996. The average solar-neutrino flux is smaller than, but consistent with, the Kamiokande-II result. However, the flux measured in the night-time shows an excess over that measured in the daytime [5,6], though the significance is not yet high. Super-Kamiokande also observed the recoil-electron energy spectrum [7]. Its shape showed an excess at the high-energy end (> 13 MeV) compared to the SSM expectation, though its statistical significance is not very high. More recent results indicate that the high-energy excess is reduced with the accumulation of statistics.

The most recent published results on the average capture rates or flux from solar-neutrino experiments are listed in Table 2 and compared to the results from SSM calculations which are taken from "Lepton Particle Listings (E) Solar ν Experiments" in this edition of "Review of Particle Physics." In these calculations, BAHCALL 98C [1], BRUN 98 [12], BAH-CALL 95B [14], and DAR 96 [13] take into account helium and heavy-element diffusion, but other calculations do not. SSM calculations give essentially the same results for the same input parameters and physics. This statement applies to the most recent BAHCALL 98C [1] and BRUN 98 [12] models. The BAHCALL 98C model [1] differs from the BAHCALL 95B model [14] in that BAHCALL 98C [1] uses the nuclear fusion rates systematically reevaluated and recommended by Adelberger et al. [24], and other best available input data. The ${}^{7}\text{Be}(p,\gamma){}^{8}\text{B}$ cross section adopted by Adelberger et al. [24] is 15% lower than the value used by BAHCALL 95B [14]. This is the principal reason why the ⁸B neutrino flux and the ³⁷Cl and ⁷¹Ga capture rates calculated by the BAHCALL 98C model [1] are lower than those calculated by the BAH-CALL 95B model [14]. The BAHCALL 95B [14] model and the TURCK-CHIEZE 93B [15] model differ primarily in that BAH-CALL 95B [14] includes element diffusion. The DAR 96 [13] model differs significantly from the BAHCALL 95B [14] model mostly due to the use of nonstandard reaction rates, different treatments of diffusion, and the equation of state.

All results from the present solar-neutrino experiments indicate significantly less flux than expected from SSM calculations except those of DAR 96 [13]. The DAR 96 [13] model predicts the ⁸B solar-neutrino flux which is consistent with the Kamiokande-II and Super-Kamiokande results, but even this

Table 2: Recent results from the five solar-neutrino experiments and a comparison with theoretical solar-model predictions. Solar model calculations are also presented. The evolution of these results over the years gives some feeling for their robustness as the models have become more sophisticated and complete.

| | $^{37}\mathrm{Cl} \rightarrow ^{37}\mathrm{Ar}$ | $^{71}\mathrm{Ga} \rightarrow ^{71}\mathrm{Ge}$ | $^{8}\mathrm{B}~\nu~\mathrm{flux}$ |
|-----------------------|-------------------------------------------------|-------------------------------------------------|---------------------------------------|
| | (SNU) | (SNU) | $(10^6 \text{cm}^{-2} \text{s}^{-1})$ |
| Homestake | | | |
| (CLEVELAND 98)[8] | $2.56 \pm 0.16 \pm 0.1$ | 6 — | |
| GALLEX | | | |
| (HAMPEL 99)[9] | | $77.5 \pm 6.2_{-4}^{+4}$ | .3 .7 |
| SAGE | | | |
| (ABDURASHI99B)[10] | | $67.2^{+7.2+3.5}_{-7.0-3.0}$ | <u> </u> |
| Kamiokande | | ,,,, | |
| (FUKUKDA 96)[11] | | | $2.80 \pm 0.19 \pm 0.3$ |
| Super-Kamiokande | | | |
| (FUKUKDA 99)[5] | _ | | $2.436^{+0.053+0.085}_{-0.047-0.071}$ |
| (BAHCALL 98C)[1] | $7.7^{+1.2}_{-1.0}$ | 129^{+8}_{-6} | $5.15(1.00^{+0.19}_{-0.14})$ |
| (BRUN 98)[12] | 7.18 | 127.2 | 4.82 |
| (DAR 96)[13] | 4.1 ± 1.2 | 115 ± 6 | 2.49 |
| (BAHCALL 95B)[14] | $9.3^{+1.2}_{-1.4}$ | 137^{+8}_{-7} | $6.6(1.00^{+0.14}_{-0.17})$ |
| (TURCK-CHIEZE 93B)[15 | 6.4 ± 1.4 | 123 ± 7 | 4.4 ± 1.1 |
| (BAHCALL 92)[16] | $8.0 \pm 3.0^{\dagger}$ | $132^{+21\dagger}_{-17}$ | $5.69(1.00 \pm 0.43)$ |
| (BAHCALL 88)[17] | $7.9\pm2.6^{\dagger}$ | $132^{+20\dagger}_{-17}$ | $5.8(1.00 \pm 0.37)^{\dagger}$ |
| (TURCK-CHIEZE 88)[18] | 5.8 ± 1.3 | 125 ± 5 | $3.8(1.00 \pm 0.29)$ |
| (FILIPPONE 83)[19] | 5.6 | | _ |
| (BAHCALL 82)[20] | $7.6\pm3.3^{\dagger}$ | $106^{+13\dagger}_{-8}$ | 5.6 |
| (FILIPPONE 82)[21] | 7.0 ± 3.0 | 111 ± 13 | 4.8 |
| (FOWLER 82)[22] | 6.9 ± 1.0 | _ | |
| (BAHCALL 80)[23] | 7.3 | _ | |

^{* 1} SNU (Solar Neutrino Unit) = 10^{-36} captures per atom per second.

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[†] " 3σ " errors.

model predicts ³⁷Cl and ⁷¹Ga capture rates significantly larger than the Homestake, GALLEX, and SAGE results.

Is there any possible consistent explanation of all the results of solar-neutrino observations in the framework of the standard solar model? This is difficult because the Homestake result and the Kamiokande result, taken at face value, are mutually inconsistent if one assumes standard neutrino spectra. That is, with the reduction factor of the ⁸B solar-neutrino flux as determined from the Kamiokande result, the Homestake ³⁷Cl capture rate would be oversaturated, and there would be no room to accommodate the ⁷Be solar neutrinos. This makes astrophysical solutions untenable because ⁸B nuclei are produced from ⁷Be nuclei in the Sun.

Several authors made more elaborate analyses using the constraint of observed solar luminosity, and found (see for example, Refs. 25–28)

- that both the comparison of the Kamiokande and gallium results and the comparison of the gallium and chlorine results also indicate strong suppression of the ⁷Be solar-neutrino flux, and
- that not only the SSM but also nonstandard solar models are incompatible with the observed data.

In view of the above situation, it is attractive to invoke nontrivial neutrino properties. Neutrino oscillation in matter (MSW mechanism) is particularly attractive in explaining all the experimental data on the average solar-neutrino flux consistently, without any a priori assumptions or fine tuning. Several authors made extensive MSW analyses using all the available data and ended up with similar results. For example, Bahcall, Krastev, and Smirnov [28] analyzed the solar-neutrino data as of 1998 in terms of two-flavor oscillations. In addition, they analyzed the case of vacuum oscillations. They obtained the following solutions for the BAHCALL 98C [1] SSM: Using only the total event rates in the five solar-neutrino experiments, there are three MSW solutions and one vacuum-oscillation solution at the 99% confidence level for oscillations into active neutrinos $(\nu_{\mu} \text{ or } \nu_{\tau})$.

- Small mixing-angle (SMA) solution: $\Delta m^2 = 5.4 \times 10^{-6} \text{ eV}^2, \sin^2 2\theta = 6.0 \times 10^{-3}$
- Large mixing-angle (LMA) solution: $\Delta m^2 = 1.8 \times 10^{-5} \text{ eV}^2, \sin^2 2\theta = 0.76$
- LOW (low probability or low mass) solution: $\Delta m^2 = 7.9 \times 10^{-8} \text{ eV}^2$, $\sin^2 2\theta = 0.96$
- Vacuum (VAC) solution: $\Delta m^2 = 8.0 \times 10^{-11} \text{ eV}^2, \sin^2 2\theta = 0.75.$

In the case of oscillations into sterile neutrinos, only the SMA and VAC solutions are allowed at the 99% confidence level with the best-fit parameters similar to the ones given above.

Bahcall, Krastev, and Smirnov [28] also made global analyses using all of the available solar-neutrino data, *i.e.*, total event rates plus the Super-Kamiokande recoil-electron energy spectrum and day-night asymmetry. At the 99% confidence level, acceptable solutions are found to be SMA (oscillations into both active and sterile neutrinos) and VAC. The LMA and LOW solutions are marginally ruled out.

Assuming that the solution to the solar-neutrino problem will really be provided by neutrino oscillations, how can one discriminate various solutions? The MSW SMA solution causes an energy-spectrum distortion. In the Super-Kamiokande and SNO observations, the flux will be more suppressed at lower energies. The MSW LMA solution predicts the day-night flux difference, a hint of which is seen in the recent Super-Kamiokande results [6]. However, the LMA solution gives almost no spectrum distortion. Thus, should LMA be a correct solution, one needs to explain the high-energy excess in the recoil-electron spectrum observed by Super-Kamiokande [7], if it turns out to be a real effect, due to a very large contribution from hep neutrinos or from other possibilities [4]. The VAC solution is characterized by seasonal variation of the flux, which is different from the trivial variation due to the eccentricity of Earth's orbit [29,30]. Also, the VAC solution can explain the high-energy excess of the recoil-electron spectrum observed by Super-Kamiokande [30].

SNO's observations of solar-neutrino flux by neutral-current reactions will give decisive evidence for neutrino oscillations into active neutrinos, if that flux is consistent with the SSM prediction and larger than the flux measured by charged-current reactions. On the other hand, the signal for oscillations into sterile neutrinos will be the same amount of reduction of the fluxes measured by neutral- and charged-current reactions.

An important task of the second-generation solar neutrino experiments is the measurement of monochromatic $^7\mathrm{Be}$ solar neutrinos. If the VAC solution is correct, the flux of $^7\mathrm{Be}$ neutrinos shows larger seasonal variations than the flux of $^8\mathrm{B}$ neutrinos. The $^7\mathrm{Be}$ neutrino flux will be measured by a new experiment, Borexino, at Gran Sasso via~ve scattering in 300 tons of ultra-pure liquid scintillator with a detection threshold

as low as 250 keV. The Borexino detector is expected to be completed in 2001.

KamLAND, which is under construction at Kamioka and will be completed in 2001, is a multi-purpose neutrino experiment with 1000 tons of ultra-pure liquid scintillator. This experiment will also observe ⁷Be neutrinos if the detection threshold can be lowered to a level similar to that of Borexino. However, one of the primary purposes of this experiment is the observation of oscillations of neutrinos produced by power reactors. The sensitivity region of KamLAND includes the MSW LMA solution. Thus, the LMA solution may be proved or excluded by KamLAND.

The second-generation solar-neutrino experiments, Super-Kamiokande, SNO, and Borexino, as well as KamLAND, will provide a variety of data with high statistical accuracy. It is hoped that these experiments will solve the long-standing solar-neutrino problem in coming years.

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1 SNU (Solar Neutrino Unit) = 10^{-36} captures per atom per second.

| VALUE | DOCUMENT ID | | TECN | COMMENT |
|------------------------------------------------------------------------------|--------------------------|---------------|------|----------------------------------------------|
| 67.2 ^{+7.2} +3.5 SNU | ¹⁰⁰ ABDURASHI | . 99 в | SAGE | $^{71}\text{Ga} \rightarrow ~^{71}\text{Ge}$ |
| $(2.44 \pm 0.05 ^{+0.09}_{-0.07}) \times 10^6 \text{ cm}^{-2} \text{s}^{-1}$ | ¹⁰¹ FUKUDA | 99 | SKAM | 8 B ν flux (all) |
| $(2.37 \pm 0.07) 	imes 10^6 \ { m cm}^{-2} { m s}^{-1}$ | ¹⁰¹ FUKUDA | 99 | SKAM | 8 B ν flux (day) |
| $(2.48^{+0.07}_{-0.06}) \times 10^6 \text{ cm}^{-2}\text{s}^{-1}$ | ¹⁰¹ FUKUDA | 99 | SKAM | 8 B ν flux (night) |
| | ¹⁰² FUKUDA | 99 B | SKAM | Recoil e spectrum |
| $77.5 \pm 6.2 ^{+4.3}_{-4.7} \text{ SNU}$ | ¹⁰³ HAMPEL | 99 | GALX | $^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$ |
| $2.56 \pm 0.16 \pm 0.16$ SNU | ¹⁰⁴ CLEVELAND | 98 | HOME | ³⁷ Cl radiochem. |
| $(2.80 \pm 0.19 \pm 0.33) \times 10^6 \text{cm}^{-2} \text{s}^{-1}$ | ¹⁰⁵ FUKUDA | 96 | KAMI | 8 B $_{ u}$ flux |
| $(2.70\pm0.27)\times10^{6}$ cm $^{-2}$ s $^{-1}$ | ¹⁰⁵ FUKUDA | 96 | KAMI | 8 B ν flux (day) |
| $(2.87^{+0.27}_{-0.26}) \times 10^6 \text{cm}^{-2} \text{s}^{-1}$ | ¹⁰⁵ FUKUDA | 96 | KAMI | 8 B ν flux (night) |

- 100 ABDURASHITOV 99B is a detailed report of the SAGE solar-neutrino experiment during the period January 1990 through December 1997, and updates the ABDURASHITOV 94 result. However the data in the period November 1993 through June 1994 were not used in determining the neutrino capture rate due to some uncertainty with respect to experimental control. A total of 211 71 Ge events were observed.
- 101 FUKUDA 99 results are for a total of 503.8 live days with Super-Kamiokande between 31 May 1996 and 25 March 1998, with threshold $E_e>6.5$ MeV, and replace FUKUDA 98B results. The day-night solar-neutrino flux asymmetry is given as $\rm N/D-1{=}0.047\pm0.042\pm0.008$. The results are also given for night fluxes subdivided into five data sets according to nadir of the Sun at the time of the neutrino event. FUKUDA 99 set an absolute flux-independent exclusion region in the two-neutrino oscillation parameter space from the absence of a significant day-night variation. Except for +0.6%/-0.5%, the systematic errors are common to day and night fluxes.
- ¹⁰² FUKUDA 99B reports the energy spectrum of recoil electrons from elastic scattering of solar neutrinos for a total of 503.8 live days of Super-Kamiokande observation. A comparison of the observed spectrum with the expectation is in poor agreement at the 4.6% confidence level.
- 103 HAMPEL 99 report the combined result for GALLEX I+II+III+IV (65 runs in total), which update the HAMPEL 96 result. The GALLEX IV result (12 runs) is $118.4\pm17.8\pm6.6$ SNU. (HAMPEL 99 discuss the consistency of partial results with the mean.) The GALLEX experimental program has been completed with these runs. The total run data cover the period 14 May 1991 through 23 January 1997. A total of 300 $^{71}{\rm Ge}$ events were observed.
- 104 CLEVELAND 98 is a detailed report of the 37 Cl experiment at the Homestake Mine. The average solar neutrino-induced 37 Ar production rate from 108 runs between 1970 and 1994 updates the DAVIS 89 result.
- 105 FUKUDA 96 results are for a total of 2079 live days with Kamiokande II and III from January 1987 through February 1995, covering the entire solar cycle 22, with threshold $\rm E_e>9.3~MeV$ (first 449 days), >7.5~MeV (middle 794 days), and >7.0~MeV (last 836 days). These results update the HIRATA 90 result for the average $^8\rm B$ solar-neutrino flux and HIRATA 91 result for the day-night variation in the $^8\rm B$ solar-neutrino flux. The total data sample was also analyzed for short-term variations: within experimental errors, no strong correlation of the solar-neutrino flux with the sunspot numbers was found.

(F) Astrophysical neutrino observations

Neutrinos and antineutrinos produced in the atmosphere induce μ -like and e-like events in underground detectors. The ratio of the numbers of the two kinds of events is defined as μ/e . It has the advantage that systematic effects, such as flux uncertainty, tend to cancel, for both experimental and theoretical values of the ratio. The "ratio of the ratios" of experimental to theoretical μ/e , $R(\mu/e)$, or that of experimental to theoretical $\mu/total$, $R(\mu/total)$ with total total is reported below. If the actual value is not unity, the value obtained in a given experiment may depend on the experimental conditions.

 $R(\mu/e) = (Measured Ratio \mu/e) / (Expected Ratio \mu/e)$

| VALUE | DOCUMENT ID | | TECN | COMMENT |
|----------------------------------------|--------------------------|-------------|-----------|----------------|
| • • • We do not use the following | ing data for averages | , fits | , limits, | etc. • • • |
| $0.64 \pm 0.11 \pm 0.06$ | 106 ALLISON | 99 | SOU2 | Calorimeter |
| $0.61 \pm 0.03 \pm 0.05$ | ¹⁰⁷ FUKUDA | 98 | SKAM | sub-GeV |
| $0.66 \pm 0.06 \pm 0.08$ | ¹⁰⁸ FUKUDA | 98E | SKAM | multi-GeV |
| | ¹⁰⁹ FUKUDA | 96 B | KAMI | Water Cerenkov |
| $1.00 \pm 0.15 \pm 0.08$ | ¹¹⁰ DAUM | 95 | FREJ | Calorimeter |
| $0.60^{igoplus 0.06}_{-0.05} \pm 0.05$ | ¹¹¹ FUKUDA | 94 | KAMI | sub-GeV |
| $0.57^{+0.08}_{-0.07}\!\pm\!0.07$ | ¹¹² FUKUDA | 94 | KAMI | multi-Gev |
| | ¹¹³ BECKER-SZ | 92 B | IMB | Water Cerenkov |

- 106 ALLISON 99 result is based on an exposure of 3.9 kton yr, 2.6 times the exposure reported in ALLISON 97, and replaces that result.
- 107 FUKUDA 98 result is based on an exposure of 25.5 kton yr. The analyzed data sample consists of fully-contained e-like events with 0.1 GeV/ $c < p_e$ and μ -like events with 0.2 GeV/ $c < p_\mu$, both having a visible energy < 1.33 GeV. These criteria match the definition used by FUKUDA 94.
- 108 FUKUDA 98E result is based on an exposure of 25.5 kton yr. The analyzed data sample consists of fully-contained single-ring events with visible energy > 1.33 GeV and partially contained events. All partially contained events are classified as μ -like.
- 109 FUKUDA 96B studied neutron background in the atmospheric neutrino sample observed in the Kamiokande detector. No evidence for the background contamination was found.
- 110 DAUM 95 results are based on an exposure of 2.0 kton yr which includes the data used by BERGER 90B. This ratio is for the contained and semicontained events. DAUM 95 also report $R(\mu/e)=0.99\pm0.13\pm0.08$ for the total neutrino induced data sample which includes upward going stopping muons and horizontal muons in addition to the contained and semicontained events.
- FUKUDA 94 result is based on an exposure of 7.7 kton yr and updates the HIRATA 92 result. The analyzed data sample consists of fully-contained e-like events with 0.1 < $p_e < 1.33~{\rm GeV}/c$ and fully-contained μ -like events with 0.2 < $p_\mu < 1.5~{\rm GeV}/c$.
- ¹¹² FUKUDA 94 analyzed the data sample consisting of fully contained events with visible energy > 1.33 GeV and partially contained μ -like events.
- 113 BECKER-SZENDY 92B reports the fraction of nonshowering events (mostly muons from atomospheric neutrinos) as 0.36 \pm 0.02 \pm 0.02, as compared with expected fraction 0.51 \pm 0.01 \pm 0.05. After cutting the energy range to the Kamiokande limits, BEIER 92 finds $R(\mu/e)$ very close to the Kamiokande value.

 $\mathsf{R}(\nu_{\mu}) = (\mathsf{Measured} \; \mathsf{Flux} \; \mathsf{of} \; \nu_{\mu}) \; / \; (\mathsf{Expected} \; \mathsf{Flux} \; \mathsf{of} \; \nu_{\mu})$

TECN COMMENT • • • We do not use the following data for averages, fits, limits, etc. • • • ¹¹⁴ AMBROSIO $0.74 \pm 0.036 \pm 0.046$ 98 MCRO Streamer tubes ¹¹⁵ CASPER **IMB** Water Cherenkov ¹¹⁶ AGLIETTA 89 NUSX ¹¹⁷ BOLIEV 0.95 ± 0.22 81 Baksan 0.62 ± 0.17 **CROUCH** 78 Case Western/UCI

$R(\mu/total) = (Measured Ratio \mu/total) / (Expected Ratio \mu/total)$

VALUE <u>DOCUMENT ID</u> <u>TECN</u> <u>COMMENT</u>

ullet ullet We do not use the following data for averages, fits, limits, etc. ullet ullet

$$1.1^{+0.07}_{-0.12}\pm0.11$$
 118 CLARK 97 IMB multi-GeV

$N_{\rm up}(\mu)/N_{\rm down}(\mu)$

VALUE <u>DOCUMENT ID</u> <u>TECN</u> <u>COMMENT</u>

• • We do not use the following data for averages, fits, limits, etc. • •

$$0.52^{+0.07}_{-0.06}\pm0.01$$
 119 FUKUDA 98E SKAM multi-GeV

AMBROSIO 98 result is for all nadir angles and updates AHLEN 95 result. The lower cutoff on the muon energy is 1 GeV. In addition to the statistical and systematic errors, there is a Monte Carlo flux error (theoretical error) of ± 0.13 . With a neutrino oscillation hypothesis, the fit either to the flux or zenith distribution independently yields $\sin^2\!2\theta\!=\!1.0$ and $\Delta(m^2)\sim a$ few times 10^{-3} eV². However, the fit to the observed zenith distribution gives a maximum probability for χ^2 of only 5% for the best oscillation hypothesis.

 $^{^{115}}$ CASPER 91 correlates showering/nonshowering signature of single-ring events with parent atmospheric-neutrino flavor. They find nonshowering ($\approx \nu_{\mu}$ induced) fraction is 0.41 \pm 0.03 \pm 0.02, as compared with expected 0.51 \pm 0.05 (syst).

^{^{116}} AGLIETTA 89 finds no evidence for any anomaly in the neutrino flux. They define $\rho=(\text{measured number of }\nu_e\text{'s})/(\text{measured number of }\nu_\mu\text{'s}).$ They report $\rho(\text{measured})=\rho(\text{expected})=0.96^{+0.32}_{-0.28}.$

¹¹⁷ From this data BOLIEV 81 obtain the limit $\Delta(m^2) \leq 6 \times 10^{-3} \text{ eV}^2$ for maximal mixing, $\nu_{\mu} \not\rightarrow \nu_{\mu}$ type oscillation.

 $^{^{118}}$ CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy > 0.95 GeV.

 $^{^{119}}$ FUKUDA 98E result is based on an exposure of 25.5 kton yr. The analyzed data sample consists of fully-contained single-ring μ -like events with visible energy > 1.33 GeV and partially contained events. All partially contained events are classified as μ -like. Upwardgoing events are those with $-1<\cos$ (zenith angle) <-0.2 and downward-going events with those with 0.2 $<\!\cos$ (zenith angle) <1. FUKUDA 98E result strongly deviates from an expected value of 0.98 \pm 0.03 \pm 0.02.

$N_{\rm up}(e)/N_{\rm down}(e)$

DOCUMENT ID TECN COMMENT

We do not use the following data for averages, fits, limits, etc.

 $0.84 ^{\,+\, 0.14}_{\,-\, 0.12} \!\pm\! 0.02$

¹²⁰ FUKUDA

98E SKAM multi-GeV

 $^{120}\,\mathrm{FUKUDA}$ 98E result is based on an exposure of 25.5 kton yr. The analyzed data sample consists of fully-contained single-ring e-like events with visible energy > 1.33 GeV. Upward-going events are those with $-1 < \cos$ (zenith angle) < -0.2 and downward-going events are those with $0.2 < \cos$ (zenith angle) < 1. FUKUDA 98E result is conpared to an expected value of 1.01 \pm 0.06 \pm 0.03.

$\sin^2(2\theta)$ for given $\Delta(m^2)$ ($\nu_e \leftrightarrow \nu_\mu$)

For a review see BAHCALL 89.

| VALUE | <u>CL%</u> | <u>DOCUMENT ID</u> | TECN | COMMENT | |
|----------------|--------------|------------------------|-------------|--------------------|--|
| • • • We do no | ot use the f | following data for ave | erages fits | limits, etc. • • • | |

00 121 000 08 KAMI $\Lambda(m^2) > 0.1 \text{ av}^2$

| < 0.0 | 90 | OYAMA | 98 KAIVII | $\Delta(m^{-}) > 0.1 \text{ eV}^{-}$ |
|--------|----|-----------------------|-----------|-------------------------------------------|
| < 0.5 | | ¹²² CLARK | | $\Delta(m^2) > 0.1 \text{ eV}^2$ |
| >0.55 | 90 | ¹²³ FUKUDA | 94 KAMI | $\Delta(m^2) = 0.007 - 0.08 \text{ eV}^2$ |
| < 0.47 | 90 | ¹²⁴ BERGER | | $\Delta(m^2) > 1 \; \mathrm{eV}^2$ |
| < 0.14 | 90 | LOSECCO | 87 IMB | $\Delta(m^2) = 0.00011 \text{ eV}^2$ |

¹²¹OYAMA 98 obtained this result by an analysis of upward-going muons in Kamiokande. The data sample used is essentially the same as that used by HATAKEYAMA 98.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1 (\nu_e \leftrightarrow \nu_\mu)$

VALUE (10^{-5} eV^2) CL% DOCUMENT ID TECN

• • • We do not use the following data for averages, fits, limits, etc. • • •

| < 560 | 90 | 125 OYAMA | 98 | KAMI |
|----------------------------|----|-----------------------|-------------|------|
| <980 | | ¹²⁶ CLARK | 97 | IMB |
| $700 < \Delta(m^2) < 7000$ | 90 | ¹²⁷ FUKUDA | 94 | KAMI |
| <150 | 90 | ¹²⁸ BERGER | 90 B | FREJ |

 $^{125\,\}mathrm{OYAMA}$ 98 obtained this result by an analysis of upward-going muons in Kamiokande. The data sample used is essentially the same as that used by HATAKEYAMA 98.

 $^{^{122}\,\}text{CLARK}$ 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy > 0.95 GeV.

¹²³ FUKUDA 94 obtained this result by a combined analysis of sub- and multi-GeV atmospheric neutrino events in Kamiokande.

¹²⁴ BERGER 90B uses the Frejus detector to search for oscillations of atmospheric neutrinos. Bounds are for both neutrino and antinuetrino oscillations.

¹²⁶ CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy > 0.95 GeV.

¹²⁷ FUKUDA 94 obtained this result by a combined analysis of sub- and multi-GeV atmospheric neutrino events in Kamiokande.

¹²⁸ BERGER 90B uses the Frejus detector to search for oscillations of atmospheric neutrinos. Bounds are for both neutrino and antinuetrino oscillations.

$\sin^2(2\theta)$ for given $\Delta(m^2)$ $(\overline{\nu}_e \leftrightarrow \overline{\nu}_\mu)$

| <i>VALUE</i> (10 ⁻⁵ eV ²) | <u>CL%</u> | DOCUMENT ID | | TECN | COMMENT | |
|--------------------------------------------------|------------------|------------------------|----------|-----------|-------------------------------------|----|
| • • • We do not u | ise the followin | g data for average | es, fits | , limits, | etc. • • • | |
| < 0.9 | 99 | ¹²⁹ SMIRNOV | | | $\Delta(m^2) > 3 \times 10^{-4}$ | |
| < 0.7 | 99 | ¹²⁹ SMIRNOV | 94 | THEO | $\Delta(m^2) < 10^{-11} \text{ eV}$ | ,2 |

 $^{129}\,\text{SMIRNOV}$ 94 analyzed the data from SN 1987A using stellar-collapse models. They also give less stringent upper limits on $\sin^2\!2\theta$ for $10^{-11} < \Delta(m^2) < 3\times 10^{-7}\,\,\text{eV}^2$ and $10^{-5} < \Delta(m^2) < 3\times 10^{-4}\,\,\text{eV}^2$. The same results apply to $\overline{\nu}_e \leftrightarrow \overline{\nu}_\tau,\,\nu_\mu$, and ν_τ .

$\sin^2(2\theta)$ for given $\Delta(m^2)$ $(\nu_{\mu} \leftrightarrow \nu_{\tau})$

| VALUE | CL% | DOCUMENT ID | | TECN | COMMENT |
|-----------------|---------|--------------------------|-------------|------------|---------------------------------------------------|
| • • • We do not | use the | e following data for a | avera | ges, fits, | limits, etc. • • • |
| >0.4 | 90 | ¹³⁰ FUKUDA | | | $\Delta(m^2) = 0.001 - 0.1 \text{ eV}^2$ |
| >0.7 | 90 | ¹³¹ FUKUDA | | SKAM | $\Delta(m^2) = 0.0015 - 0.015 \text{ eV}^2$ |
| >0.82 | 90 | ¹³² AMBROSIO | 98 | MCRO | $\Delta(m^2) \sim 0.0025 \; {\rm eV}^2$ |
| >0.82 | 90 | ¹³³ FUKUDA | | | $\Delta(m^2) = 0.0005 - 0.006 \text{ eV}^2$ |
| >0.3 | 90 | | | | $\Delta(m^2) = 0.00055 - 0.14 \text{ eV}^2$ |
| >0.73 | 90 | | 98 | KAMI | $\Delta(m^2) = 0.004 - 0.025 \text{ eV}^2$ |
| < 0.7 | | ¹³⁶ CLARK | | IMB | $\Delta(m^2) > 0.1 \text{ eV}^2$ |
| >0.65 | 90 | ¹³⁷ FUKUDA | | | $\Delta(m^2) = 0.005 - 0.03 \text{ eV}^2$ |
| < 0.5 | 90 | ¹³⁸ BECKER-SZ | 92 | IMB | $\Delta(m^2) = 1 - 2 \times 10^{-4} \text{ eV}^2$ |
| < 0.6 | 90 | ¹³⁹ BERGER | 90 B | FREJ | $\Delta(m^2) > 1 \text{ eV}^2$ |

- 130 FUKUDA 99C obtained this result from a total of 537 live days of upward through-going muon data in Super-Kamiokande between April 1996 to January 1998. With a threshold of $E_{\mu} > 1.6$ GeV, the observed flux of upward through-going muons is $(1.74 \pm 0.07 \pm 0.02) \times 10^{-13}$ cm $^{-2}$ s $^{-1}$ sr $^{-1}$. The zenith-angle dependence of the flux does not agree with no-oscillation predictions. For the $\nu_{\mu} \rightarrow \nu_{\tau}$ hypothesis, FUKUDA 99C obtained the best fit at $\sin^2\!2\theta = 0.95$ and $\Delta(m^2) = 5.9 \times 10^{-3}$ eV 2 . FUKUDA 99C also reports 68% and 99% confidence-level allowed regions for the same hypotheis.
- 131 FUKUDA 99D obtained this result from a simultaneous fitting to zenith angle distributions of upward-stopping and through-going muons. The flux of upward-stopping muons of minimum energy of 1.6 GeV measured between April 1996 and January 1998 is $(0.39 \pm 0.04 \pm 0.02) \times 10^{-13}~{\rm cm}^{-2}~{\rm s}^{-1}$. This is compared to the expected flux of $(0.73 \pm 0.16~{\rm (theoretical~error)}) \times 10^{-13}~{\rm cm}^{-2}~{\rm s}^{-1}$. The flux of upward throughgoing muons is taken from FUKUDA 99C. For the $\nu_{\mu} \to \nu_{\tau}$ hypothesis, FUKUDA 99D obtained the best fit in the physical region at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 3.9 \times 10^{-3}~{\rm eV}^2$. FUKUDA 99D also reports 68% and 99% confidence-level allowed regions for the same hypothesis. FUKUDA 99D further reports the result of the oscillation analysis using the zenith-angle dependence of upward-stopping/through-going flux ratio. The best fit in the physical region is obtained at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 3.1 \times 10^{-3}~{\rm eV}^2$.
- 132 AMBROSIO 98 result is only 17% probable at maximum because of relatively low flux for $\cos\theta < -0.8$.
- 133 FUKUDA 98C obtained this result by an analysis of 33.0 kton yr atmospheric-neutrino data which include the 25.5 kton yr data used by FUKUDA 98 (sub-GeV) and FUKUDA 98E (multi-GeV). Inside the physical region, the best fit was obtained at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 2.2 \times 10^{-3} \ {\rm eV}^2$. In addition, FUKUDA 98C gave the 99% confidence interval, $\sin^2 2\theta > 0.73$ and $3 \times 10^{-4} < \Delta(m^2) < 8.5 \times 10^{-3} \ {\rm eV}^2$. FUKUDA 98C also tested the $\nu_\mu \to \nu_e$ hypothesis, and concluded that it is not favored.

- HATAKEYAMA 98 obtained this result from a total of 2456 live days of upward-going muon data in Kamiokande between December 1985 and May 1995. With a threshold of $E_{\mu} > 1.6$ GeV, the observed flux of upward through-going muon is $(1.94 \pm 0.10^{+0.07}_{-0.06}) \times 10^{-13}$ cm $^{-2}$ s $^{-1}$ sr $^{-1}$. This is compared to the expected flux of $(2.46 \pm 0.54$ (theoretical error)) $\times 10^{-13}$ cm $^{-2}$ s $^{-1}$ sr $^{-1}$. For the $\nu_{\mu} \rightarrow \nu_{\tau}$ hypothesis, the best fit inside the physical region was obtained at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 3.2 \times 10^{-3}$ eV 2 .
- ¹³⁵ HATAKEYAMA 98 obtained this result from a combined analysis of Kamiokande's contained events (FUKUDA 94) and upward-going muon events. The best fit was obtained at $\sin^2 2\theta = 0.95$ and $\Delta(m^2) = 1.3 \times 10^{-2} \text{ eV}^2$.
- 136 CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy > 0.95 GeV.
- 137 FUKUDA 94 obtained this result by a combined analysis of sub-and multi-GeV atmospheric neutrino events in Kamiokande.
- 138 BECKER-SZENDY 92 uses upward-going muons to search for atmospheric ν_{μ} oscillations. The fraction of muons which stop in the detector is used to search for deviations in the expected spectrum. No evidence for oscillations is found.
- 139 BERGER 90B uses the Frejus detector to search for oscillations of atmospheric neutrinos. Bounds are for both neutrino and antinuetrino oscillations.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1 (\nu_{\mu} \leftrightarrow \nu_{\tau})$

<u>VALUE (10⁻⁵ eV²)</u> <u>CL%</u> <u>DOCUMENT ID</u> <u>TECN</u>
• • • We do not use the following data for averages, fits, limits, etc. • •

```
<sup>140</sup> FUKUDA
100 < \Delta(m^2) < 5000
                                                              99c SKAM
150 < \Delta(m^2) < 1500
                                      <sup>141</sup> FUKUDA
                                                              99D SKAM
50 < \Delta(m^2) < 600
                                      <sup>142</sup> AMBROSIO
                                                              98 MCRO
                                      <sup>143</sup> FUKUDA
50 < \Delta(m^2) < 600
                                                              98c SKAM
55 < \Delta(m^2) < 5000
                                      <sup>144</sup> HATAKEYAMA 98 KAMI
                                      <sup>145</sup> HATAKEYAMA 98 KAMI
400 < \Delta(m^2) < 2300
                                      <sup>146</sup> CLARK
<1500
                                       <sup>147</sup> FUKUDA
500 < \Delta(m^2) < 2500
                                                              94 KAMI
                                      <sup>148</sup> BERGER
< 350
                                                              90B FREJ
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- 140 FUKUDA 99C obtained this result from a total of 537 live days of upward through-going muon data in Super-Kamiokande between April 1996 to January 1998. With a threshold of $E_{\mu} > 1.6$ GeV, the observed flux of upward through-going muon is (1.74 \pm 0.07 \pm 0.02) \times 10 $^{-13}$ cm $^{-2}$ s $^{-1}$ sr $^{-1}$. The zenith-angle dependence of the flux does not agree with no-oscillation predictions. For the $\nu_{\mu} \rightarrow \nu_{\tau}$ hypothesis, FUKUDA 99C obtained the best fit at $\sin^2\!2\theta = 0.95$ and $\Delta(m^2) = 5.9 \times 10^{-3}$ eV 2 . FUKUDA 99C also reports 68% and 99% confidence-level allowed regions for the same hypotheis.
- FUKUDA 99D obtained this result from a simultaneous fitting to zenith angle distributions of upward-stopping and through-going muons. The flux of upward-stopping muons of minimum energy of 1.6 GeV measured between April 1996 and January 1998 is $(0.39 \pm 0.04 \pm 0.02) \times 10^{-13} \ \mathrm{cm}^{-2} \ \mathrm{s}^{-1} \ \mathrm{sr}^{-1}$. This is compared to the expected flux of $(0.73 \pm 0.16 \ \mathrm{(theoretical\ error)}) \times 10^{-13} \ \mathrm{cm}^{-2} \ \mathrm{s}^{-1} \ \mathrm{sr}^{-1}$. The flux of upward throughgoing muons is taken from FUKUDA 99C. For the $\nu_{\mu} \to \nu_{\tau}$ hypothesis, FUKUDA 99D obtained the best fit in the physical region at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 3.9 \times 10^{-3} \ \mathrm{eV}^2$. FUKUDA 99D also reports 68% and 99% confidence-level allowed regions for the same hypothesis. FUKUDA 99D further reports the result of the oscillation analysis using the zenith-angle dependence of upward-stopping/through-going flux ratio. The best fit in the physical region is obtained at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 3.1 \times 10^{-3} \ \mathrm{eV}^2$.

- ¹⁴² AMBROSIO 98 result is only 17% probable at maximum because of relatively low flux for $\cos\theta < -0.8$.
- FUKUDA 98C obtained this result by an analysis of 33.0 kton yr atmospheric-neutrino data which include the 25.5 kton yr data used by FUKUDA 98 (sub-GeV) and FUKUDA 98E (multi-GeV). Inside the physical region, the best fit was obtained at $\sin^2\!2\theta\!=\!1.0$ and $\Delta(m^2)\!=\!2.2\!\times\!10^{-3}$ eV 2 . In addition, FUKUDA 98C gave the 99% confidence interval, $\sin^2\!2\theta>0.73$ and $3\!\times\!10^{-4}<\Delta(m^2)<8.5\!\times\!10^{-3}$ eV 2 . FUKUDA 98C also tested the $\nu_{\mu}\to\nu_{e}$ hypothesis, and concluded that it is not favored.
- HATAKEYAMA 98 obtained this result from a total of 2456 live days of upward-going muon data in Kamiokande between December 1985 and May 1995. With a threshold of $E_{\mu} > 1.6$ GeV, the observed flux of upward through-going muon is $(1.94 \pm 0.10^{+0.07}_{-0.06}) \times 10^{-13} \ \mathrm{cm}^{-2} \, \mathrm{s}^{-1} \, \mathrm{sr}^{-1}$. This is compared to the expected flux of $(2.46 \pm 0.54 \ \mathrm{(theoretical\ error)}) \times 10^{-13} \ \mathrm{cm}^{-2} \, \mathrm{s}^{-1} \, \mathrm{sr}^{-1}$. For the $\nu_{\mu} \to \nu_{\tau}$ hypothesis, the best fit inside the physical region was obtained at $\sin^2 2\theta = 1.0$ and $\Delta(m^2) = 3.2 \times 10^{-3} \, \mathrm{eV}^2$.
- ¹⁴⁵ HATAKEYAMA 98 obtained this result from a combined analysis of Kamiokande's contained events (FUKUDA 94) and upward-going muon events. The best fit was obtained at $\sin^2 2\theta = 0.95$ and $\Delta(m^2) = 1.3 \times 10^{-2} \text{ eV}^2$.
- 146 CLARK 97 obtained this result by an analysis of fully contained and partially contained events in the IMB water-Cerenkov detector with visible energy > 0.95 GeV.
- ¹⁴⁷ FUKUDA 94 obtained this result by a combined analysis of sub-and multi-GeV atmospheric neutrino events in Kamiokande.
- 148 BERGER 90B uses the Frejus detector to search for oscillations of atmospheric neutrinos. Bounds are for both neutrino and antinuetrino oscillations.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1 (\nu_{\mu} \rightarrow \nu_{s})$

 $\nu_{\rm S}$ means $\nu_{\rm T}$ or any sterile (noninteracting) ν .

| <i>VALUE</i> (10^{-5} eV^2) | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------------|-----------|----------------------|----------|-----------|----------------------------------------------------------|
| • • • We do not use the | ne follow | ing data for averag | es, fits | , limits, | etc. • • • |
| <3000 (or <550) | 90 | ¹⁴⁹ OYAMA | 89 | KAMI | Water Cerenkov |
| < 4.2 or > 54. | 90 | BIONTA | 88 | IMB | Flux has $ u_{\mu}$, $\overline{ u}_{\mu}$, $ u_{e}$, |
| | | | | | and ′ ′ |

 149 OYAMA 89 gives a range of limits, depending on assumptions in their analysis. They argue that the region $\Delta(m^2)=(100-1000)\times 10^{-5}~\text{eV}^2$ is not ruled out by any data for large mixing.

(G) Reactor $\overline{\nu}_e$ disappearance experiments

In most cases, the reaction $\overline{\nu}_e p \to e^+ n$ is observed at different distances from one or more reactors in a complex.

Events (Observed/Expected) from Reactor $\overline{\nu}_e$ Experiments

| <u>VALUE</u> | DOCUMENT ID | | TECN | COMMENT |
|-------------------------------------|----------------------------|--------|-----------|----------------------------------------|
| • • • We do not use the fo | ollowing data for averages | , fits | , limits, | etc. • • • |
| $1.01 \pm 0.028 \pm 0.027$ | ¹⁵⁰ APOLLONIO | 99 | CHOZ | Chooz reactors 1 km |
| $0.987 \pm 0.006 \pm 0.037$ | ¹⁵¹ GREENWOOD | 96 | | Savannah River, 18.2 m |
| $0.988 \pm 0.004 \pm 0.05$ | ACHKAR | 95 | CNTR | Bugey reactor, 15 m |
| $0.994 \pm 0.010 \pm 0.05$ | ACHKAR | 95 | CNTR | Bugey reactor, 40 m |
| $0.915 \!\pm\! 0.132 \!\pm\! 0.05$ | ACHKAR | 95 | CNTR | Bugey reactor, 95 m |
| $0.987 \pm 0.014 \pm 0.027$ | ¹⁵² DECLAIS | 94 | CNTR | Bugey reactor, 15 m |
| $0.985 \pm 0.018 \pm 0.034$ | KUVSHINN | 91 | CNTR | Rovno reactor |
| $1.05 \pm 0.02 \pm 0.05$ | VUILLEUMIER | 82 | | Gösgen reactor |
| $0.955 \!\pm\! 0.035 \!\pm\! 0.110$ | ¹⁵³ KWON | 81 | | $\overline{\nu}_e p \rightarrow e^+ n$ |
| 0.89 ± 0.15 | ¹⁵³ ВОЕНМ | 80 | | $\overline{\nu}_e p \rightarrow e^+ n$ |
| 0.38 ± 0.21 | 154,155 REINES | 80 | | |
| 0.40 ± 0.22 | ^{154,155} REINES | 80 | | |

¹⁵⁰ APOLLONIO 99, APOLLONIO 98 search for neutrino oscillations at 1.1 km fixed distance from Chooz reactors. They use $\overline{\nu}_e p \rightarrow e^+ n$ in Gd-loaded scintillator target. APOLLONIO 99 supersedes APOLLONIO 98.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| <i>VALUE</i> (eV ²) | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------|---------|--------------------------------------|-------|----------------|--------------------------------------------|
| $< 7 \times 10^{-4}$ | (CL = | = 90%) [<9 × 10 ⁻⁴ | 4 eV | 2 (CL $=$ | 90%) OUR 1998 BEST LIMIT] |
| < 0.0007 | 90 | ¹⁵⁶ APOLLONIO | 99 | CHOZ | Chooz reactors 1 km |
| \bullet \bullet We do not | use the | e following data for a | avera | ges, fits, | limits, etc. • • • |
| < 0.0011 | 90 | ¹⁵⁷ BOEHM | 00 | | Palo Verde react. 0.8 km |
| < 0.01 | 90 | ¹⁵⁸ ACHKAR | 95 | CNTR | Bugey reactor |
| < 0.0075 | 90 | ¹⁵⁹ VIDYAKIN | 94 | | Krasnoyark reactors |
| < 0.04 | 90 | ¹⁶⁰ AFONIN | 88 | CNTR | Rovno reactor |
| < 0.014 | 68 | ¹⁶¹ VIDYAKIN | 87 | | $\overline{\nu}_{e} p \rightarrow e^{+} n$ |
| < 0.019 | 90 | ¹⁶² ZACEK | 86 | | Gösgen reactor |

¹⁵⁶ APOLLONIO 99 search for neutrino oscillations at 1.1 km fixed distance from Chooz reactors. They use $\overline{\nu}_e \, p \to e^+ n$ in Gd-loaded scintillator target. APOLLONIO 99

¹⁵¹ GREENWOOD 96 search for neutrino oscillations at 18 m and 24 m from the reactor at Savannah River.

¹⁵² DECLAIS 94 result based on integral measurement of neutrons only. Result is ratio of measured cross section to that expected in standard *V-A* theory. Replaced by ACHKAR 95.

¹⁵³ KWON 81 represents an analysis of a larger set of data from the same experiment as BOEHM 80.

¹⁵⁴ REINES 80 involves comparison of neutral- and charged-current reactions $\overline{\nu}_e d \to n p \overline{\nu}_e$ and $\overline{\nu}_e d \to n n e^+$ respectively. Combined analysis of reactor $\overline{\nu}_e$ experiments was performed by SILVERMAN 81.

 $^{^{155}}$ The two REINES 80 values correspond to the calculated $\overline{\nu}_e$ fluxes of AVIGNONE 80 and DAVIS 79 respectively.

- supersedes APOLLONIO 98. This is the most sensitive search in terms of $\Delta(m^2)$ for $\overline{\nu}_e$ disappearance.
- ¹⁵⁷BOEHM 00 is a disappearance search for neutrino oscillations at 0.75 and 0.89 km distance from Palo Verde reactors. The detection reaction is $\overline{\nu}_e \, p \to e^+ \, n$ in a segmented Gd loaded scintillator target. Result is less restrictive than APOLLONIO 99.
- 158 ACHKAR 95 bound is for L=15, 40, and 95 m.
- 159 VIDYAKIN 94 bound is for L=57.0 m, 57.6 m, and 231.4 m. Supersedes VIDYAKIN 90.
- 160 AFONIN 86 and AFONIN 87 also give limits on $\sin^2(2\theta)$ for intermediate values of $\Delta(m^2)$. (See also KETOV 92). Supersedes AFONIN 87, AFONIN 86, AFONIN 85, AFONIN 83, and BELENKII 83.
- 161 VIDYAKIN 87 bound is for L = 32.8 and 92.3 m distance from two reactors.
- 162 This bound is from data for L=37.9 m, 45.9 m, and 64.7 m.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| VALUE | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------|---------|---------------------------|-------|-------------|-----------------------------------------------------|
| <0.02 | 90 | ¹⁶³ ACHKAR | 95 | CNTR | For $\Delta(m^2) = 0.6 \text{ eV}^2$ |
| ● ● We do not | use the | e following data for a | avera | iges, fits, | limits, etc. • • • |
| < 0.21 | 90 | | 00 | | Palo Verde react. 0.8 km |
| < 0.10 | 90 | ¹⁶⁵ APOLLONIO | 99 | CHOZ | Chooz reactors 1 km |
| < 0.24 | 90 | ¹⁶⁶ GREENWOOD | 96 | | |
| < 0.04 | 90 | ¹⁶⁶ GREENWOOD | 96 | | For $\Delta(m^2) = 1.0 \text{ eV}^2$ |
| < 0.087 | 68 | ¹⁶⁷ VYRODOV | 95 | CNTR | For $\Delta(m^2) > 2 \text{ eV}^2$ |
| < 0.15 | 90 | ¹⁶⁸ VIDYAKIN | 94 | | For $\Delta(m^2) > 5.0 \times 10^{-2} \text{ eV}^2$ |
| < 0.2 | 90 | ¹⁶⁹ AFONIN | 88 | CNTR | $\overline{\nu}_e p \rightarrow e^+ n$ |
| < 0.14 | 68 | ¹⁷⁰ VIDYAKIN | 87 | | $\overline{\nu}_e p \rightarrow e^+ n$ |
| < 0.21 | 90 | ¹⁷¹ ZACEK | 86 | | $\overline{\nu}_e p \rightarrow e^+ n$ |
| < 0.19 | 90 | ¹⁷² ZACEK | 85 | | Gösgen reactor |
| < 0.16 | 90 | ¹⁷³ GABATHULER | 84 | | $\overline{\nu}_e p \rightarrow e^+ n$ |

- 163 ACHKAR 95 bound is from data for L=15, 40, and 95 m distance from the Bugey reactor.
- ¹⁶⁴ BOEHM 00 search for neutrino oscillations at 0.75 and 0.89 km distance from Pao Verde reactors.
- ¹⁶⁵ APOLLONIO 99 search for neutrino oscillations at 1.1 km fixed distance from Chooz reactors.
- reactors. 166 GREENWOOD 96 search for neutrino oscillations at 18 m and 24 m from the reactor at Savannah River by observing $\overline{\nu}_e \, p \to e^+ \, n$ in a Gd loaded scintillator target. Their region of sensitivity in $\Delta(m^2)$ and $\sin^2 2\theta$ is already excluded by ACHKAR 95.
- 167 The VYRODOV 95 bound is from data for $L=15\,\mathrm{m}$ distance from the Bugey-5 reactor.
- 168 The VIDYAKIN 94 bound is from data for L=57.0 m, 57.6 m, and 231.4 m from three reactors in the Krasnoyark Reactor complex.
- ¹⁶⁹ Several different methods of data analysis are used in AFONIN 88. We quote the most stringent limits. Different upper limits on $\sin^2 2\theta$ apply at intermediate values of $\Delta(m^2)$. Supersedes AFONIN 87, AFONIN 85, and BELENKII 83.
- 170 VIDYAKIN 87 bound is for L = 32.8 and 92.3 m distance from two reactors.
- 171 This bound is from data for L=37.9 m, 45.9 m, and 64.7 m distance from Gosgen reactor.
- 172 ZACEK 85 gives two sets of bounds depending on what assumptions are used in the data analysis. The bounds in figure 3(a) of ZACEK 85 are progressively poorer for large $\Delta(m^2)$ whereas those of figure 3(b) approach a constant. We list the latter. Both sets of bounds use combination of data from 37.9, 45.9, and 64.7m distance from reactor. ZACEK 85 states "Our experiment excludes this area (the oscillation parameter region allowed by the Bugey data, CAVAIGNAC 84) almost completely, thus disproving the indications of neutrino oscillations of CAVAIGNAC 84 with a high degree of confidence."
- ¹⁷³ This bound comes from a combination of the VUILLEUMIER 82 data at distance 37.9m from Gosgen reactor and new data at 45.9m.

(H) Accelerator neutrino appearance experiments

-- $u_{m{e}}
ightarrow
u_{m{ au}}$ -

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| <i>VALUE</i> (eV ²) | CL% | DOCUMENT ID TECN COMMENT | |
|---------------------------------|---------------------|----------------------------------------------|--|
| < 0.77 (CL = 90%) | $[< 9 \text{ eV}^2$ | (CL = 90%) OUR 1998 BEST LIMIT] | |
| < 0.77 | 90 | ¹⁷⁴ ARMBRUSTER98 KARM | |
| • • • We do not use t | he followir | ng data for averages, fits, limits, etc. ● ● | |
| <17 | 90 | NAPLES 99 CCFR FNAL | |
| <44 | 90 | TALEBZADEH 87 HLBC BEBC | |
| < 9 | 90 | USHIDA 86C EMUL FNAL | |

 174 ARMBRUSTER 98 use KARMEN detector with ν_e from muon decay at rest and observe $^{12}{\rm C}(\nu_e,e^-)^{12}{\rm N}_{gs}$ essentially free from this background. The reported limits on the parameters of ν_e disappearance are not competitive. A three-flavor analysis is also

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| <u>VALUE</u> | CL% | DOCUMENT ID TECN | COMMENT |
|-----------------------|-------------|---------------------------------------|------------|
| < 0.21 (CL = 90%) | [<0.25 (0 | ${\sf CL}=90\%$) OUR 1998 BEST LIM | 1IT] |
| <0.21 | 90 | NAPLES 99 CCFR | FNAL |
| • • • We do not use t | he followin | ng data for averages, fits, limits, e | etc. • • • |
| < 0.338 | 90 | ¹⁷⁵ ARMBRUSTER98 KARM | |
| < 0.36 | 90 | TALEBZADEH 87 HLBC | BEBC |
| < 0.25 | 90 | 176 USHIDA 86c EMUL | FNAL |

¹⁷⁵ See foonote in preeding table (ARMBRUSTER 98) for further details, and see the paper

$\overline{ u}_{m{e}} ightarrow \, \overline{ u}_{m{ au}} \, - \,$

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| VALUE | CL% | DOCUMENT ID | | TECN | COMMENT |
|-------|-----|-----------------------|----|------|---------------|
| <0.7 | 90 | ¹⁷⁷ FRITZE | 80 | HYBR | BEBC CERN SPS |

 $^{^{177}}$ Authors give P($\nu_{e} \rightarrow \ \nu_{\tau})$ <0.35, equivalent to above limit.

$$---
u_{\mu} \rightarrow \nu_{e}$$
 $----$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| VALUE (eV ²) $CL%$ | | DOCUMENT ID | | TECN | COMMENT |
|--------------------------------|----|-------------|----|------|--------------|
| <0.09 | 90 | ANGELINI | 86 | HLBC | BEBC CERN PS |

for a plot showing allowed regions. A three-flavor analysis is also presented here. 176 USHIDA 86C published result is $\sin^2 2\theta < 0.12$. The quoted result is corrected for a numerical mistake incurred in calculating the expected number of ν_e CC events, normalized to the total number of neutrino interactions (3886) rather than to the total number of ν_{μ} CC events (1870).

• • • We do not use the following data for averages, fits, limits, etc. • •

| 0.03 to 0.3 | 95 | 178 | ATHANASSO | .98 | LSND | $ u_{\mu} ightarrow u_{e}$ |
|-------------|----|-----|------------|-------------|------|------------------------------|
| <2.3 | 90 | 179 | LOVERRE | 96 | | CHARM/CDHS |
| < 0.9 | 90 | | VILAIN | 94 C | CHM2 | CERN SPS |
| < 0.1 | 90 | | BLUMENFELD | 89 | CNTR | |
| <1.3 | 90 | | AMMOSOV | 88 | HLBC | SKAT at Serpukhov |
| < 0.19 | 90 | | BERGSMA | 88 | CHRM | |
| | | 180 | LOVERRE | 88 | RVUE | |
| < 2.4 | 90 | | AHRENS | 87 | CNTR | BNL AGS |
| <1.8 | 90 | | BOFILL | 87 | CNTR | FNAL |
| <2.2 | 90 | 181 | BRUCKER | 86 | HLBC | 15-ft FNAL |
| < 0.43 | 90 | | AHRENS | 85 | CNTR | BNL AGS E734 |
| < 0.20 | 90 | | BERGSMA | 84 | CHRM | |
| <1.7 | 90 | | ARMENISE | 81 | HLBC | GGM CERN PS |
| < 0.6 | 90 | | BAKER | 81 | HLBC | 15-ft FNAL |
| <1.7 | 90 | | ERRIQUEZ | 81 | HLBC | BEBC CERN PS |
| <1.2 | 95 | | BLIETSCHAU | 78 | HLBC | GGM CERN PS |
| <1.2 | 95 | | BELLOTTI | 76 | HLBC | GGM CERN PS |

 178 ATHANASSOPOULOS 98 is a search for the $\nu_{\mu} \rightarrow ~\nu_{e}$ oscillations using ν_{μ} from π^{+} decay in flight. The 40 observed beam-on electron events are consistent with ν_{e} C \rightarrow e^{-} X; the expected background is 21.9 ± 2.1 . Authors interpret this excess as evidence for an oscillation signal corresponding to oscillations with probability (0.26 \pm 0.10 \pm 0.05)%. Although the significance is only 2.3 σ , this measurement is an important and consistent cross check of ATHANASSOPOULOS 96 who reported evidence for $\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}$ oscillations from μ^{+} decay at rest. See also ATHANASSOPOULOS 98B.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| | = = | | | | | |
|----------------------------|---------------------|---------|------------------------------------|-------------|---------|---------------------------------------|
| $VALUE$ (units 10^{-3}) | | CL% | DOCUMENT ID | | TECN | COMMENT |
| < | 3.0 | 90 | ¹⁸² LOVERRE | 96 | | CHARM/CDHS |
| < | 2.5 | 90 | AMMOSOV | 88 | HLBC | SKAT at Serpukhov |
| • • | • We do not use the | followi | ng data for averages, | fits, | limits, | etc. • • • |
| | 0.0005 to 0.03 | 95 | $^{183}\mathrm{ATHANASSO}_{\odot}$ | .98 | LSND | $ u_{\mu} ightarrow u_{\mathbf{e}}$ |
| < | 9.4 | 90 | VILAIN | | | CERN SPS |
| < | 5.6 | 90 | ¹⁸⁴ VILAIN | 94 C | CHM2 | CERN SPS |
| < | 16 | 90 | BLUMENFELD | 89 | CNTR | |
| < | 8 | 90 | | 88 | CHRM | $\Delta(m^2) \geq 30 \text{ eV}^2$ |
| | | | ¹⁸⁵ LOVERRE | 88 | RVUE | |
| < | 10 | 90 | AHRENS | 87 | CNTR | BNL AGS |
| < | 15 | 90 | _ | 87 | CNTR | FNAL |
| < | 20 | 90 | ¹⁸⁶ ANGELINI | 86 | HLBC | BEBC CERN PS |

¹⁷⁹LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.

¹⁸⁰ LOVERRE 88 reports a less stringent, indirect limit based on theoretical analysis of neutral to charged current ratios.

¹⁸¹ 15ft bubble chamber at FNAL.

| 20 | to 40 | | ¹⁸⁷ BERNARDI | 86 B | CNTR | $\Delta(m^2) = 5-10$ |
|-------|-------|----|-------------------------|-------------|------|----------------------|
| < 11 | | 90 | ¹⁸⁸ BRUCKER | 86 | HLBC | 15-ft FNAL |
| < 3.4 | | 90 | AHRENS | 85 | CNTR | BNL AGS E734 |
| <240 | | 90 | BERGSMA | 84 | CHRM | |
| < 10 | | 90 | ARMENISE | 81 | HLBC | GGM CERN PS |
| < 6 | | 90 | BAKER | 81 | HLBC | 15-ft FNAL |
| < 10 | | 90 | ERRIQUEZ | 81 | HLBC | BEBC CERN PS |
| < 4 | | 95 | BLIETSCHAU | 78 | HLBC | GGM CERN PS |
| < 10 | | 95 | BELLOTTI | 76 | HLBC | GGM CERN PS |

- ¹⁸²LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.
- ¹⁸³ ATHANASSOPOULOS 98 report $(0.26 \pm 0.10 \pm 0.05)\%$ for the oscillation probability; the value of $\sin^2 2\theta$ for large Δm^2 is deduced from this probability. See footnote in preceding table for further details, and see the paper for a plot showing allowed regions. If effect is due to oscillation, it is most likely to be intermediate $\sin^2 2\theta$ and Δm^2 . See also ATHANASSOPOULOS 98B.
- 184 VILAIN 94C limit derived by combining the ν_{μ} and $\overline{\nu}_{\mu}$ data assuming *CP* conservation.
- 185 LOVERRE 88 reports a less stringent, indirect limit based on theoretical analysis of neutral to charged current ratios.
- ¹⁸⁶ ANGELINI 86 limit reaches 13×10^{-3} at $\Delta(m^2) \approx 2 \text{ eV}^2$.
- ¹⁸⁷ BERNARDI 86B is a typical fit to the data, assuming mixing between two species. As the authors state, this result is in conflict with earlier upper bounds on this type of neutrino oscillations.
- 188 15ft bubble chamber at FNAL.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| <i>VALUE</i> (eV ²) | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------|---------|--------------------------|-------------|---------|-------------|
| <0.14 | 90 | ¹⁸⁹ FREEDMAN | 93 | CNTR | LAMPF |
| ullet $ullet$ We do not use the | followi | ng data for averages, | , fits, | limits, | etc. ● ● |
| 0.05-0.08 | 90 | ¹⁹⁰ ATHANASSO | | LSND | LAMPF |
| 0.048-0.090 | 80 | ¹⁹¹ ATHANASSO | .95 | | |
| < 0.07 | 90 | ¹⁹² HILL | 95 | | |
| < 0.9 | 90 | VILAIN | 94 C | CHM2 | CERN SPS |
| < 3.1 | 90 | BOFILL | 87 | CNTR | FNAL |
| < 2.4 | 90 | | 83 | HLBC | 15-ft FNAL |
| < 0.91 | 90 | ¹⁹³ NEMETHY | 81 B | CNTR | LAMPF |
| <1 | 95 | BLIETSCHAU | 78 | HLBC | GGM CERN PS |

- ¹⁸⁹ FREEDMAN 93 is a search at LAMPF for $\overline{\nu}_e$ generated from any of the three neutrino types ν_μ , $\overline{\nu}_\mu$, and ν_e which come from the beam stop. The $\overline{\nu}_e$'s would be detected by the reaction $\overline{\nu}_e \, p \to e^+ \, n$. FREEDMAN 93 replaces DURKIN 88.
- ATHANASSOPOULOS 96 is a search for $\overline{\nu}_e$ 30 m from LAMPF beam stop. Neutrinos originate mainly from π^+ decay at rest. $\overline{\nu}_e$ could come from either $\overline{\nu}_\mu \to \overline{\nu}_e$ or $\nu_e \to \overline{\nu}_e$; our entry assumes the first interpretation. They are detected through $\overline{\nu}_e \, p \to e^+ \, n$ (20 MeV $<\!E_{e^+} <\!$ 60 MeV) in delayed coincidence with $np \to d\gamma$. Authors observe 51 \pm 20 \pm 8 total excess events over an estimated background 12.5 \pm 2.9. ATHANASSOPOULOS 96B is a shorter version of this paper.
- 191 ATHANASSOPOULOS 95 error corresponds to the 1.6σ band in the plot. The expected background is 2.7 ± 0.4 events. Corresponds to an oscillation probability of

 $(0.34^{+0.20}_{-0.18}\pm0.07)\%$. For a different interpretation, see HILL 95. Replaced by ATHANASSOPOULOS 96.

 192 HILL 95 is a report by one member of the LSND Collaboration, reporting a different conclusion from the analysis of the data of this experiment (see ATHANASSOPOULOS 95). Contrary to the rest of the LSND Collaboration, Hill finds no evidence for the neutrino oscillation $\overline{\nu}_{\mu} \rightarrow \ \overline{\nu}_{e}$ and obtains only upper limits.

¹⁹³ In reaction $\overline{\nu}_e p \rightarrow e^+ n$.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| ` , | . , | |
|---------------------------------|---------|-------------------------------------------------|
| VALUE | CL% | DOCUMENT ID TECN COMMENT |
| <0.004 | 95 | BLIETSCHAU 78 HLBC GGM CERN PS |
| ullet $ullet$ We do not use the | followi | ing data for averages, fits, limits, etc. • • • |
| $0.0062 \pm 0.0024 \pm 0.0010$ | | ¹⁹⁴ ATHANASSO96 LSND LAMPF |
| 0.003-0.012 | 80 | ¹⁹⁵ ATHANASSO95 |
| < 0.006 | 90 | ¹⁹⁶ HILL 95 |
| <4.8 | 90 | VILAIN 94c CHM2 CERN SPS |
| < 5.6 | 90 | ¹⁹⁷ VILAIN 94C CHM2 CERN SPS |
| < 0.024 | 90 | ¹⁹⁸ FREEDMAN 93 CNTR LAMPF |
| < 0.04 | 90 | BOFILL 87 CNTR FNAL |
| < 0.013 | 90 | TAYLOR 83 HLBC 15-ft FNAL |
| < 0.2 | 90 | ¹⁹⁹ NEMETHY 81B CNTR LAMPF |
| | | |

 194 ATHANASSOPOULOS 96 reports $(0.31\pm0.12\pm0.05)\%$ for the oscillation probability; the value of $\sin^2 2\theta$ for large $\Delta(m^2)$ should be twice this probability. See footnote in preceding table for further details, and see the paper for a plot showing allowed regions.

 195 ATHANASSOPOULOS 95 error corresponds to the 1.6σ band in the plot. The expected background is 2.7 ± 0.4 events. Corresponds to an oscillation probability of $(0.34^{+0.20}_{-0.18}\pm0.07)\%$. For a different interpretation, see HILL 95. Replaced by ATHANASSOPOULOS 96.

 196 HILL 95 is a report by one member of the LSND Collaboration, reporting a different conclusion from the analysis of the data of this experiment (see ATHANASSOPOULOS 95). Contrary to the rest of the LSND Collaboration, Hill finds no evidence for the neutrino oscillation $\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}$ and obtains only upper limits.

 $^{197}\, {\rm VILAIN}$ 94C limit derived by combining the ν_{μ} and $\overline{\nu}_{\mu}$ data assuming CP conservation.

¹⁹⁸ FREEDMAN 93 is a search at LAMPF for $\overline{\nu}_e$ generated from any of the three neutrino types ν_μ , $\overline{\nu}_\mu$, and ν_e which come from the beam stop. The $\overline{\nu}_e$'s would be detected by the reaction $\overline{\nu}_e \, p \to e^+ \, n$. FREEDMAN 93 replaces DURKIN 88.

¹⁹⁹ In reaction $\overline{\nu}_e p \rightarrow e^+ n$.

$$--- \nu_{\mu}(\overline{\nu}_{\mu}) \rightarrow \nu_{e}(\overline{\nu}_{e})$$
 $----$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| <i>VALUE</i> (eV ²) | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------|-----|-------------|----|------|----------|
| <0.075 | 90 | BORODOV | 92 | CNTR | BNL E776 |

• • • We do not use the following data for averages, fits, limits, etc. • • • <1.6 90 200 ROMOSAN 97 CCFR FNAL

200 ROMOSAN 97 uses wideband beam with a 0.5 km decay region.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| <i>VALUE</i> (units 10 ⁻³) | CL% | DOCUMENT ID | | TECN | COMMENT |
|----------------------------------------|--------|--------------------------|--------|-----------|------------|
| <1.8 | 90 | ²⁰¹ ROMOSAN | 97 | CCFR | FNAL |
| • • • We do not use the | follow | ing data for averages | , fits | , limits, | etc. • • • |
| <3.8 | 90 | ²⁰² MCFARLAND | 95 | CCFR | FNAL |
| <3 | 90 | BORODOV | 92 | CNTR | BNL E776 |

 201 ROMOSAN 97 uses wideband beam with a 0.5 km decay region.

 202 MCFARLAND 95 state that "This result is the most stringent to date for 250< $\Delta(m^2)$ <450 eV 2 and also excludes at 90%CL much of the high $\Delta(m^2)$ region favored by the recent LSND observation." See ATHANASSOPOULOS 95 and ATHANASSOPOU-LOS 96.

- $u_{\mu} ightarrow u_{ au}$ -

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| <i>VALUE</i> (eV ²) | CL% | DOCUMENT ID TECN COMMENT |
|---------------------------------|------------------------|----------------------------------------------|
| < 1.1 (CL = 90%) | $[< 0.9 \text{ eV}^2]$ | (CL = 90%) OUR 1998 BEST LIMIT] |
| < 1.1 | 90 | ²⁰³ ESKUT 98B CHRS CERN SPS |
| • • • We do not use | the followi | ng data for averages, fits, limits, etc. ● ● |
| < 1.2 | 90 | ²⁰⁴ ASTIER 99 NOMD CERN SPS |
| < 1.4 | 90 | ²⁰⁵ ALTEGOER 98B NOMD CERN SPS |
| < 1.5 | 90 | 206 ESKUT 98 CHRS CERN SPS |
| < 3.3 | 90 | ²⁰⁷ LOVERRE 96 CHARM/CDHS |
| < 1.4 | 90 | MCFARLAND 95 CCFR FNAL |
| < 4.5 | 90 | BATUSOV 90B EMUL FNAL |
| <10.2 | 90 | BOFILL 87 CNTR FNAL |
| < 6.3 | 90 | BRUCKER 86 HLBC 15-ft FNAL |
| < 0.9 | 90 | USHIDA 86C EMUL FNAL |
| < 4.6 | 90 | ARMENISE 81 HLBC GGM CERN SPS |
| < 3 | 90 | BAKER 81 HLBC 15-ft FNAL |
| < 6 | 90 | ERRIQUEZ 81 HLBC BEBC CERN SPS |
| < 3 | 90 | USHIDA 81 EMUL FNAL |

- ²⁰³ ESKUT 98B search for $\tau^- \to \mu^- \nu_\tau \overline{\nu}_\mu$ or $h^- \nu_\tau \overline{\nu}_\mu$, where h^- is a negatively charged hadron. The μ^- sample is somewhat larger than in ESKUT 98, which this result supersedes. Bayesian limit.
- $^{204}\,\mathrm{ASTIER}$ $^{\circ}\!99$ limits are based on data corresponding to \sim 950000 $\nu_{\mu}\mathrm{CC}$ interactions in the 1995, 1996, and (most) 1997 runs. This is a "blind" analysis using the FELD-MAN 98 classical CL approach, and other algorithms have also been improved since ALTEGOER 98B.
- 205 ALTEGOER 98B is the NOMAD 1995 data sample result, searching for events with $au^-
 ightarrow e^-
 u_{ au} \overline{
 u}_e$, hadron $u_{ au}$, or $u_{ au}^- = u_{ au}^+ = u_{ au}^-$ decay modes using classical CL approach of FELDMAN 98.
- $206\, {\sf ESKUT}$ 98 search for events with one μ^- with indication of a kink from τ^- decay in the nuclear emulsion. No candidates were found in a 31,423 event subsample.
- ²⁰⁷LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| <u>VALUE</u> | CL% | DOCUMENT ID | | TECN | COMMENT |
|-------------------------|------------|-------------------------|-------------|-------------|---------------|
| <0.0012 (CL = 90%) | [<0.004] | | 1998 | BEST I | _IMIT] |
| <0.0012 | 90 | ²⁰⁸ ASTIER | 99 | NOMD | CERN SPS |
| • • • We do not use the | ie followi | ng data for averages | , fits, | limits, | etc. ● ● ● |
| < 0.0042 | 90 | ²⁰⁹ ALTEGOER | 98 B | NOMD | CERN SPS |
| < 0.0035 | 90 | ²¹⁰ ESKUT | 98 | CHRS | CERN SPS |
| < 0.0018 | 90 | ²¹¹ ESKUT | 98 B | CHRS | CERN SPS |
| < 0.006 | 90 | ²¹² LOVERRE | 96 | | CHARM/CDHS |
| < 0.0081 | 90 | MCFARLAND | 95 | CCFR | FNAL |
| < 0.06 | 90 | BATUSOV | 90 B | EMUL | FNAL |
| < 0.34 | 90 | BOFILL | 87 | CNTR | FNAL |
| < 0.088 | 90 | BRUCKER | 86 | HLBC | 15-ft FNAL |
| < 0.004 | 90 | USHIDA | 86 C | EMUL | FNAL |
| < 0.11 | 90 | BALLAGH | 84 | HLBC | 15-ft FNAL |
| < 0.017 | 90 | ARMENISE | 81 | HLBC | GGM CERN SPS |
| < 0.06 | 90 | BAKER | 81 | HLBC | 15-ft FNAL |
| < 0.05 | 90 | ERRIQUEZ | 81 | HLBC | BEBC CERN SPS |
| < 0.013 | 90 | USHIDA | 81 | EMUL | FNAL |
| | | | | | |

 208 ASTIER 99 limits are based on data corresponding to $\sim 950000\,\nu_{\mu}$ CC interactions in the 1995, 1996, and (most) 1997 runs. This is a "blind" analysis using the FELD-MAN 98 classical CL approach, and other algorithms have also been improved since ALTEGOER 98B.

ALTEGOER 98B. 209 ALTEGOER 98B is the NOMAD 1995 data sample result, searching for events with $\tau^- \to e^- \nu_{ au} \overline{\nu}_e$, hadron $\nu_{ au}$, or $\pi^- \pi^+ \pi^-$ decay modes using classical CL approach of FELDMAN 98.

²¹⁰ ESKUT 98 search for events with one μ^- with indication of a kink from τ^- decay in the nuclear emulsion. No candidates were found in a 31,423 event subsample.

²¹¹ ESKUT 98B search for $\tau^- \to \mu^- \nu_\tau \overline{\nu}_\mu$ or $h^- \nu_\tau \overline{\nu}_\mu$, where h^- is a negatively charged hadron. The μ^- sample is somewhat larger than in ESKUT 98, which this result supersedes. Bayesian limit.

²¹²LOVERRE 96 uses the charged-current to neutral-current ratio from the combined CHARM (ALLABY 86) and CDHS (ABRAMOWICZ 86) data from 1986.

$$\overline{
u}_{\mu}
ightarrow \overline{
u}_{ au}$$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| <i>VALUE</i> (eV ²) | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------|-------------|-------------------|--------|-----------|------------|
| <2.2 | 90 | ASRATYAN | 81 | HLBC | FNAL |
| • • • We do not use the | following o | lata for averages | , fits | , limits, | etc. • • • |
| <1.4 | 90 | MCFARLAND | 95 | CCFR | FNAL |
| < 6.5 | 90 | BOFILL | 87 | CNTR | FNAL |
| <7.4 | 90 | TAYLOR | 83 | HLBC | 15-ft FNAL |

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| <u>VALUE</u> | | <u>CL%</u> | <u>DOCUMENT ID</u> | | <u>TECN</u> | <u>COMMENT</u> | |
|--------------|---------------------------|------------------|--------------------|--------|-------------|----------------|--|
| <4.4 | $\times 10^{-2}$ | 90 | ASRATYAN | 81 | HLBC | FNAL | |
| • • • W | e do not u | se the following | data for averages | , fits | , limits, | etc. • • • | |
| < 0.0081 | L | 90 | MCFARLAND | 95 | CCFR | FNAL | |
| < 0.15 | | 90 | BOFILL | 87 | CNTR | FNAL | |
| <8.8 | \times 10 ⁻² | 90 | TAYLOR | 83 | HLBC | 15-ft FNAL | |

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$$----
u_{\mu}(\overline{\nu}_{\mu}) \rightarrow \nu_{\tau}(\overline{\nu}_{\tau})$$
 $-----$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| <i>VALUE</i> (eV ²) | CL% | DOCUMENT ID | | TECN | COMMENT | |
|---------------------------------|-----|-------------|----|------|----------|--|
| <1.5 | 90 | 213 GRUWE | 93 | CHM2 | CERN SPS | |

²¹³ GRUWE 93 is a search using the CHARM II detector in the CERN SPS wide-band neutrino beam for $\nu_{\mu} \rightarrow \nu_{\tau}$ and $\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{\tau}$ oscillations signalled by quasi-elastic ν_{τ} and $\overline{\nu}_{\tau}$ interactions followed by the decay $\tau \rightarrow \nu_{\tau} \pi$. The maximum sensitivity in $\sin^2 2\theta$ (< 6.4 × 10⁻³ at the 90% CL) is reached for $\Delta(m^2) \simeq 50 \text{ eV}^2$.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| VALUE (units 10^{-3}) | CL% | DOCUMENT ID | | TECN | COMMENT |
|--------------------------|-----|-------------|----|------|----------|
| <8 | 90 | 214 GRUWE | 93 | CHM2 | CERN SPS |

²¹⁴ GRUWE 93 is a search using the CHARM II detector in the CERN SPS wide-band neutrino beam for $\nu_{\mu} \rightarrow \nu_{\tau}$ and $\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{\tau}$ oscillations signalled by quasi-elastic ν_{τ} and $\overline{\nu}_{\tau}$ interactions followed by the decay $\tau \rightarrow \nu_{\tau} \pi$. The maximum sensitivity in $\sin^2 2\theta$ (< 6.4 × 10⁻³ at the 90% CL) is reached for $\Delta(m^2) \simeq 50 \text{ eV}^2$.

This is a limit on lepton family-number violation and total lepton-number violation. $(\overline{\nu}_e)_L$ denotes a hypothetical left-handed $\overline{\nu}_e$. The bound is quoted in terms of Δ (m^2) , $\sin(2\theta)$, and α , where α denotes the fractional admixture of (V+A) charged current.

$\alpha\Delta(m^2)$ for $\sin^2(2\theta)=1$

| <i>VALUE</i> (eV ²) | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------|-----|----------------|----|------|---------|
| <0.14 | 90 | 215 FREEDMAN 9 | 93 | CNTR | LAMPF |

• • • We do not use the following data for averages, fits, limits, etc. • • •

<7 90 ²¹⁶ COOPER 82 HLBC BEBC CERN SPS

²¹⁵ FREEDMAN 93 is a search at LAMPF for $\overline{\nu}_e$ generated from any of the three neutrino types ν_μ , $\overline{\nu}_\mu$, and ν_e which come from the beam stop. The $\overline{\nu}_e$'s would be detected by the reaction $\overline{\nu}_e \, p \to e^+ \, n$.

 216 COOPER 82 states that existing bounds on V+A currents require lpha to be small.

$\alpha^2 \sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| VALUE | CL% | DOCUMENT ID | | TECN | COMMENT |
|-------------------------|---------|-------------------------|------|-----------|------------|
| <0.032 | 90 | ²¹⁷ FREEDMAN | 93 | CNTR | LAMPF |
| • • • We do not use the | followi | ng data for averages, | fits | , limits, | etc. • • • |

< 0.05 90 218 COOPER 82 HLBC BEBC CERN SPS

²¹⁸COOPER 82 states that existing bounds on V+A currents require α to be small.

²¹⁷ FREEDMAN 93 is a search at LAMPF for $\overline{\nu}_e$ generated from any of the three neutrino types ν_μ , $\overline{\nu}_\mu$, and ν_e which come from the beam stop. The $\overline{\nu}_e$'s would be detected by the reaction $\overline{\nu}_e p \rightarrow e^+ n$.

$$--- \nu_{\mu} \rightarrow (\overline{\nu}_e)_L$$
 $----$

See note above for $u_e
ightarrow (\overline{
u}_e)_L$ limit

$\alpha\Delta(m^2)$ for $\sin^2(2\theta)=1$

<u>CL%</u> <u>DOCUMENT ID</u> <u>TECN</u> <u>COMMENT</u> 90 ²¹⁹ FREEDMAN 93 CNTR LAMPF VALUE (eV²)

• • • We do not use the following data for averages, fits, limits, etc. • •

220 COOPER < 0.7

82 HLBC BEBC CERN SPS

²¹⁹ FREEDMAN 93 is a search at LAMPF for $\overline{\nu}_e$ generated from any of the three neutrino types ν_μ , $\overline{\nu}_\mu$, and ν_e which come from the beam stop. The $\overline{\nu}_e$'s would be detected by the reaction $\overline{
u}_e \, p \, o \, e^+ \, n$. The limit on $\Delta(m^2)$ is better than the CERN BEBC experiment, but the limit on $\sin^2\theta$ is almost a factor of 100 less sensitive.

 $^{220}\,\mathrm{COOPER}$ 82 states that existing bounds on V+A currents require α to be small.

$\alpha^2 \sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| VALUE | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------|---------------|----------------------|------|--------|---------------|
| <0.001 | 90 | 221 COOPER | 82 | HLBC | BEBC CERN SPS |
| • • • We do not use | the following | ng data for averages | fits | limits | etc • • • |

²²² FREEDMAN 93 CNTR LAMPF

²²¹ COOPER 82 states that existing bounds on V+A currents require lpha to be small.

(I) Disappearance experiments with accelerator & radioactive source neutrinos

$$--- \nu_e
eq \nu_e$$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| VALUE (eV²) | <u>CL%</u> | DOCUMENT ID | | IECN | COMMENT | |
|---------------------|--------------|-----------------------|----------|-----------|-------------------------|--|
| < 0.18 (CL = 90%) | [<0.17 e\ | V^2 (CL = 90%) C | UR 19 | 98 BES | T LIMIT] | |
| < 0.18 | 90 | ²²³ HAMPEL | 98 | GALX | ⁵¹ Cr source | |
| • • • We do not use | the followir | ng data for averag | es. fits | . limits. | etc. • • • | |

| <40 | 90 | ²²⁴ BORISOV | 96 | CNTR | IHEP-JINR detector |
|------------|----|------------------------|-------------|------|--------------------|
| <14.9 | 90 | BRUCKER | 86 | HLBC | 15-ft FNAL |
| < 8 | 90 | BAKER | 81 | HLBC | 15-ft FNAL |
| < 56 | 90 | DEDEN | 81 | HLBC | BEBC CERN SPS |
| <10 | 90 | ERRIQUEZ | 81 | HLBC | BEBC CERN SPS |
| <2.3 OR >8 | 90 | NEMETHY | 81 B | CNTR | LAMPF |

²²³ HAMPEL 98 analyzed the GALLEX calibration results with ⁵¹Cr neutrino sources and updates the BAHCALL 95 analysis result. They also gave 95% and 99% CL limits of < 0.2 and < 0.22, respectively.

²²² FREEDMAN 93 is a search at LAMPF for $\overline{\nu}_e$ generated from any of the three neutrino types ν_μ , $\overline{\nu}_\mu$, and ν_e which come from the beam stop. The $\overline{\nu}_e$'s would be detected by the reaction $\overline{\nu}_e p \to e^+ n$. The limit on $\Delta(m^2)$ is better than the CERN BEBC experiment, but the limit on $\sin^2\theta$

²²⁴ BORISOV 96 exclusion curve extrapolated to obtain this value; however, it does not have the right curvature in this region.

$\sin^2(2\theta)$ for "Large" $\Delta(m^2)$

| VALUE | <u>CL%</u> | <u>DOCUMENT ID</u> | | TECN | COMMENT |
|-------------------------|------------|-------------------------|----|------|-----------------------------|
| $< 7 \times 10^{-2}$ | 90 | ²²⁵ ERRIQUEZ | 81 | HLBC | BEBC CERN SPS |
| • • • We do not use the | | | | | |
| < 0.4 | 90 | ²²⁶ HAMPEL | 98 | GALX | ⁵¹ Cr source |
| < 0.115 | 90 | ²²⁷ BORISOV | 96 | CNTR | $\Delta(m^2)=175~{ m eV}^2$ |
| < 0.54 | 90 | BRUCKER | 86 | HLBC | 15-ft FNAL |
| < 0.6 | 90 | BAKER | 81 | HLBC | 15-ft FNAL |
| < 0.3 | 90 | ²²⁵ DEDEN | 81 | HLBC | BEBC CERN SPS |

²²⁷ BORISOV 96 sets less stringent limits at large $\Delta(m^2)$, but exclusion curve does not have clear asymptotic behavior.

$- \nu_{\mu} eq \nu_{\mu} -$

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

| <i>VALUE</i> (eV ²) | CL% | DOCUMENT ID | | TECN | COMMENT |
|---------------------------------------|---------------|---------------------|--------|------------|------------|
| <0.23 OR >1500 OU | JR LIMIT | | | | |
| <0.23 OR >100 | 90 | DYDAK | 84 | CNTR | |
| <13 OR >1500 | 90 | STOCKDALE | 84 | CNTR | |
| ● ● We do not use | the following | g data for averages | , fits | s, limits, | etc. • • • |
| < 0.29 OR >22 | 90 | BERGSMA | 88 | CHRM | |
| <7 | 90 | BELIKOV | 85 | CNTR | Serpukhov |
| <8.0 OR >1250 | 90 | STOCKDALE | 85 | CNTR | |
| <0.29 OR >22 | 90 | BERGSMA | 84 | CHRM | |
| <8.0 | 90 | BELIKOV | 83 | CNTR | |

$\sin^2(2\theta)$ for $\Delta(m^2) = 100 \text{eV}^2$

| VALUE | CL70 | DOCUMENT ID | | IECIV | COMMENT |
|-------------------------------------------|--------|--------------------------|--------|-----------|------------|
| <0.02 | 90 | ²²⁸ STOCKDALE | | | |
| ● ● We do not use the | follow | ing data for averages | , fits | , limits, | etc. • • • |
| < 0.17 | 90 | ²²⁹ BERGSMA | | CHRM | |
| < 0.07 | 90 | ²³⁰ BELIKOV | 85 | CNTR | Serpukhov |
| < 0.27 | 90 | ²²⁹ BERGSMA | 84 | CHRM | CERN PS |
| < 0.1 | 90 | ²³¹ DYDAK | 84 | CNTR | CERN PS |
| < 0.02 | 90 | ²³² STOCKDALE | 84 | CNTR | FNAL |
| < 0.1 | 90 | ²³³ BELIKOV | 83 | CNTR | Serpukhov |

²²⁸ This bound applies for $\Delta(m^2) = 100 \text{ eV}^2$. Less stringent bounds apply for other $\Delta(m^2)$;

²³³ Bound holds for $\Delta(m^2) = 20-1000$ eV².

 $^{^{225}\,\}text{Obtained}$ from a Gaussian centered in the unphysical region. updates the BAHCALL 95 analysis result. They also gave 95% and 99% CL limits of < 0.45 and < 0.56, respectively.

these are nontrivial for $8 < \Delta(m^2) < 1250 \text{ eV}^2$. 229 This bound applies for $\Delta(m^2) = 0.7$ –9. eV². Less stringent bounds apply for other

 $[\]Delta(m^2)$; these are nontrivial for $0.28 < \Delta(m^2) < 22 \text{ eV}^2$. 230 This bound applies for a wide range of $\Delta(m^2) > 7 \text{ eV}^2$. For some values of $\Delta(m^2)$, the value is less stringent; the least restrictive, nontrivial bound occurs approximately at

the value is less stringent, the least restrictive, nontrivial bound occurs approximately at $\Delta(m^2)=300~{\rm eV}^2$ where $\sin^2(2\theta)<0.13$ at ${\rm CL}=90\%$. $231~{\rm This}$ bound applies for $\Delta(m^2)=1.-10.~{\rm eV}^2$. Less stringent bounds apply for other $\Delta(m^2)$; these are nontrivial for $0.23<\Delta(m^2)<90~{\rm eV}^2$. $232~{\rm This}$ bound applies for $\Delta(m^2)=110~{\rm eV}^2$. Less stringent bounds apply for other $\Delta(m^2)$;

these are nontrivial for $13 < \Delta(m^2) < 1500 \text{ eV}^2$.

$\Delta(m^2)$ for $\sin^2(2\theta) = 1$

VALUE (eV²) CL% DOCUMENT ID TECN

<7 OR >1200 OUR LIMIT

<7 OR >1200 90

STOCKDALE 85 CNTR

$\sin^2(2\theta)$ for 190 eV² < $\Delta(m^2)$ < 320 eV²

VALUE CL% DOCUMENT ID TECN COMMENT

<0.02 90 234 STOCKDALE 85 CNTR FNAL

²³⁴ This bound applies for $\Delta(m^2)$ between 190 and 320 or = 530 eV². Less stringent bounds apply for other $\Delta(m^2)$; these are nontrivial for $7 < \Delta(m^2) < 1200 \text{ eV}^2$.

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| BAHCALL | 95 | PL B348 121 | | J.N. Bahcall, P.I. Krastev, I | |
| BAHRAN | 95 | PL B354 481 | | M.Y. Bahran, G.R. Kalbfleis | |
| BALYSH BARABASH | 95 95 | PL B356 450 PL B345 408 | | A. Balysh <i>et al.</i> A.S. Barabash <i>et al.</i> | (MPIH, KIAE, SASSO) (ITEP, SCUC, PNL+) |
| BILGER | 95 | PL B363 41 | | R. Bilger <i>et al.</i> | (TUBIN, KARLE, PSI) |
| BURACHAS | 95 | PAN 58 153 | \/A.E. =0 | S.F. Burachas et al. | (KIEV) |
| DANEVICH | 95 | Translated from PL B344 72 | YAF 58 | F.A. Danevich <i>et al.</i> | (KIEV) |
| DASSIE | 95 | PR D51 2090 | | D. Dassie et al. | (NEMO Collab.) |
| DAUM DAUM | 95 95B | ZPHY C66 417 PL B361 179 | | K. Daum <i>et al.</i> M. Daum <i>et al.</i> | (FREJUS Collab.) |
| EJIRI | 95B | JPSJ 64 339 | | H. Ejiri <i>et al.</i> | (PSI, VIRG) (OSAK, KIEV) |
| FARGION | 95 | PR D52 1828 | | D. Fargion et al. | (ROMA, KIAM, MPEI) |
| GALLAS | 95 | PR D52 6 | | E. Gallas <i>et al.</i> | (MSU, FNAL, MIT, FLOR) |
| GARCIA GEORGADZE | 95 95 | PR D51 1458 PAN 58 1093 | | E. Garcia <i>et al.</i> | (ZARA, SCUC, PNL) |
| | | Translated from | YAF 58 | | |
| HAGNER | 95 05 | PR D52 1343 | | C. Hagner et al. | (MUNT, LAPP, CPPM) |
| HIDDEMANN HILL | 95 95 | JP G21 639 PRL 75 2654 | | K.H. Hiddemann, H. Daniel J.E. Hill | , O. Schwentker (MUNT) (PENN) |
| KOBAYASHI | 95 | NP A586 457 | | M. Kobayashi, M. Kobayash | |
| MCFARLAND | 95 | PRL 75 3993 | | K.S. McFarland et al. | (CCFR Collab.) |
| VILAIN Also | 95C 95 | PL B351 387 PL B343 453 | | P. Vilain <i>et al.</i> P. Vilain <i>et al.</i> | (CHARM II Collab.) (CHARM II Collab.) |
| VYRODOV | 95 | JETPL 61 163 | | V.N. Vyrodov <i>et al.</i> | (KIAE, LAPP, CDEF) |
| ADDUDACIII | 0.4 | Translated from | ZETFP | 61 161. | (SACE Callab.) |
| ABDURASHI BALYSH | 94 94 | PL B328 234 PL B322 176 | | J.N. Abdurashitov <i>et al.</i> A. Balysh <i>et al.</i> | (SAGE Collab.) (MPIH, KIAE, SASSO) |
| BECK | 94 | PL B336 141 | | M. Beck <i>et al.</i> | (MPIH, KIAE, SASSO) |
| DECLAIS | 94 | PL B338 383 | | Y. Declais et al. | (// : 1 - 1 - 6 1 - 1 |
| FUKUDA KONOPLICH | 94 94 | PL B335 237 PAN 57 425 | | Y. Fukuda <i>et al.</i> R.V. Konoplich, M.Y. Khlop | (Kamiokande Collab.) pov (MPEI) |
| PDG | 94 | PR D50 1173 | | L. Montanet <i>et al.</i> | (CERN, LBL, BOST+) |
| PIEPKE | 94 | NP A577 493 | | A. Piepke et al. | (MPIH, ITEP) |
| SMIRNOV VIDYAKIN | 94 94 | PR D49 1389 JETPL 59 390 | | A.Y. Smirnov, D.N. Spergel G.S. Vidyakin <i>et al.</i> | l, J.N. Bahcall (IAS+) (KIAE) |
| | J-T | Translated from | ZETFP | | (NIAL) |
| VILAIN | 94C | ZPHY C64 539 | | P. Vilain et al. | (CHARM II Collab.) |
| ALSTON | 02 | | | | ` |
| ARTEMEV | 93 93 | PRL 71 831 JETPL 58 262 | | M. Alston-Garnjost <i>et al.</i> V.A. Artemiev <i>et al.</i> | ` (LBL, MTHO+) (ITEP, INRM) |

| DALIDAN | | DD D -= D== - | M D L C D K H d L L | (0)((.4) |
|--------------------|-----------|-------------------------------------|--------------------------------------------------------------|----------------------------------|
| BAHRAN | 93 | PR D47 R754 | M. Bahran, G.R. Kalbfleisch | (OKLA) |
| BAHRAN | 93B | PR D47 R759 | M. Bahran, G.R. Kalbfleisch | (OKLA) |
| BARANOV | 93 | PL B302 336 | S.A. Baranov et al. | (JINR, SERP, BUDA) |
| BERNATOW | | PR C47 806 | T. Bernatowicz et al. | (WUSL, TATA) |
| FREEDMAN | 93 | PR D47 811 | S.J. Freedman <i>et al.</i> | (LAMPF E645 Collab.) |
| GRUWE | 93 | PL B309 463 | M. Gruwe et al. | (CHARM II Collab.) |
| KALBFLEISCH | | PL B303 355 | G.R. Kalbfleisch, M.Y. Bahran | (OKLA) |
| KAWASHIMA | 93 | PR C47 R2452 | A. Kawashima, K. Takahashi, A. | |
| MORTARA | 93 | PRL 70 394 | J.L. Mortara <i>et al.</i> | (ANL, LBL, UCB) |
| OHSHIMA | 93 | PR D47 4840 | T. Ohshima <i>et al.</i> | (KEK, TUAT, RIKEN+) |
| VUILLEUMIER | | PR D48 1009 | J.C. Vuilleumier et al. | (NEUC, CIT, VILL) |
| ABREU | 92B | PL B274 230 | P. Abreu et al. | (DELPHI Collab.) |
| BAHRAN | 92 | PL B291 336 | M.Y. Bahran, G.R. Kalbfleisch | (OKLA) |
| BALYSH | 92 | PL B283 32 | A. Balysh et al. | (MPIH, KIAE, SASSO) |
| BECKER-SZ | | PRL 69 1010 | R.A. Becker-Szendy et al. | (IMB Collab.) |
| BECKER-SZ | | PR D46 3720 | R.A. Becker-Szendy et al. | (IMB Collab.) |
| BEIER | 92 | PL B283 446 | E.W. Beier <i>et al.</i> | (KAM2 Collab.) |
| Also | 94 | PTRSL A346 63 | E.W. Beier, E.D. Frank | (PENN) |
| BERNATOW | 92 92 | PRL 69 2341 | T. Bernatowicz <i>et al.</i> | (WUSL, TATA) |
| BLUM | 92 92 | PL B275 506 | D. Blum et al. | (NEMO Collab.) |
| BORODOV | - | PRL 68 274 | L. Borodovsky <i>et al.</i> | (CÔLU, JHU, ILL) |
| BRITTON | 92 94 | PRL 68 3000 PR D49 28 | D.I. Britton <i>et al.</i> D.I. Britton <i>et al.</i> | (TRIU, CARL) |
| Also | 94 92B | PR D46 R885 | | (TRIU, CARL) |
| BRITTON ELLIOTT | 92B 92 | PR C46 1535 | D.I. Britton <i>et al.</i> S.R. Elliott <i>et al.</i> | (TRIU, CARL) |
| HIRATA | 92 | PL B280 146 | K.S. Hirata <i>et al.</i> | (UCI) (Kamiokande II Collab.) |
| KAWAKAMI | 92 | PL B287 45 | H. Kawakami <i>et al.</i> | (INUS, KEK, SCUC+) |
| KETOV | 92 | JETPL 55 564 | S.N. Ketov <i>et al.</i> | (MOS, RER, SCOCT) (KIAE) |
| ILLI OV | 32 | Translated from ZETFP | | (147,12) |
| MORI | 92B | PL B289 463 | M. Mori et al. | (KAM2 Collab.) |
| ALEXANDER | 91F | ZPHY C52 175 | G. Alexander et al. | (OPAL Collab.) |
| AVIGNONE | 91 | PL B256 559 | F.T. Avignone et al. | (SCUC, PNL, ITEP+) |
| BELLOTTI | 91 | PL B266 193 | E. Bellotti <i>et al.</i> | (MILA, INFN) |
| CASPER | 91 | PRL 66 2561 | D. Casper et al. | (IMB Collab.) |
| DELEENER | | PR D43 3611 | N. de Leener-Rosier <i>et al.</i> | (LOUV, ZURI+) |
| EJIRI | 91 | PL B258 17 | H. Ejiri <i>et al.</i> | (OSAK) |
| HIRATA KUVSHINN | 91 91 | PRL 66 9 | K.S. Hirata <i>et al.</i> A.A. Kuvshinnikov <i>et al.</i> | (Kamiokande II Collab.) |
| MANUEL | 91 | JETPL 54 253 JP G17 S221 | O.K. Manuel | (KIAE) (MISSR) |
| REUSSER | 91 | PL B255 143 | D. Reusser <i>et al.</i> | (NEUC, CIT, PSI) |
| SATO | 91 | PR D44 2220 | N. Sato et al. | (Kamiokande Collab.) |
| SUHONEN | 91 | NP A535 509 | J. Suhonen, S.B. Khadkikar, A. F | |
| TOMODA | 91 | RPP 54 53 | T. Tomoda | (3.11) |
| TURKEVICH | 91 | PRL 67 3211 | A. Turkevich, T.E. Economou, G. | A. Cowan (CHIC+) |
| YOU | 91 | PL B265 53 | K. You et al. | (BHEP, CAST+) |
| ADEVA | 90S | PL B251 321 | B. Adeva et al. | ` (L3 Collab.) |
| BATUSOV | 90B | ZPHY C48 209 | Y.A. Batusov et al. | (JINR, ITEP, SERP) |
| BERGER | 90B | PL B245 305 | C. Berger et al. | (FREJUS Collab.) |
| BURCHAT | 90 | PR D41 3542 | P.R. Burchat <i>et al.</i> | (Mark II Collab.) |
| DECAMP | 90F | PL B236 511 | D. Decamp et al. | (ALEPH Collab.) |
| HIRATA | 90 | PRL 65 1297 | K.S. Hirata et al. | (Kamiokande II Collab.) |
| JUNG | 90 | PRL 64 1091 | C. Jung et al. | (Mark II Collab.) |
| MILEY | 90 | PRL 65 3092 | H.S. Miley et al. | (SCUC, PNL) |
| STAUDT | 90 | EPL 13 31 | A. Staudt, K. Muto, H.V. Klapdo | / |
| VASENKO | 90 | MPL A5 1299 | A.A. Vasenko <i>et al.</i> | (ITEP, YERE) |
| VIDYAKIN | 90 | JETP 71 424 Translated from ZETF 98 | G.S. Vidyakin <i>et al.</i> 3 764 | (KIAE) |
| ABRAMS | 89C | PRL 63 2447 | G.S. Abrams <i>et al.</i> | (Mark II Collab.) |
| AGLIETTA | 89 | EPL 8 611 | M. Aglietta <i>et al.</i> | (FREJUS Collab.) |
| BAHCALL | 89 | Neutrino Astrophysics | J.N. Bahcall | (IAS) |
| Cambridge | | . , | | , |
| BLUMENFELD | | PRL 62 2237 | B.J. Blumenfeld et al. | (COLU, ILL, JHU) |
| DAVIS | 89 | ARNPS 39 467 | R. Davis, A.K. Mann, L. Wolfens | tein $(BNL, PENN+)$ |
| ENQVIST | 89 | NP B317 647 | K. Enqvist, K. Kainulainen, J. Ma | |
| FISHER | 89 | PL B218 257 | P.H. Fisher <i>et al.</i> | (CIT, NEUC, PSI) |
| MUTO | 89 | ZPHY A334 187 | K. Muto, E. Bender, H.V. Klapdo | |
| OYAMA | 89 | PR D39 1481 | Y. Oyama et al. | (Kamiokande II Collab.) |
| AFONIN | 88 | JETP 67 213 | A.I. Afonin <i>et al.</i> | (KIAE) |
| | | Translated from ZETF 94 | + 1, ISSUE ∠. | |

| AKERLOF | 88 | PR D37 577 | C.W. Akerlof et al. | (HRS Collab.) |
|----------------|-----|---------------------------------|-----------------------------------|------------------------|
| AMMOSOV | 88 | ZPHY C40 487 | V.V. Ammosov et al. | (SKAT Collab.) |
| BERGSMA | 88 | ZPHY C40 171 | F. Bergsma <i>et al.</i> | (CHARM Collab.) |
| BERNARDI | 88 | PL B203 332 | G. Bernardi <i>et al.</i> | (PARIN, CERN, INFN+) |
| BIONTA | 88 | PR D38 768 | R.M. Bionta <i>et al.</i> | |
| | | PRL 61 510 | D.O. Caldwell <i>et al.</i> | (IMB Collab.) |
| CALDWELL | 88 | | | (UCSB, UCB, LBL) |
| DURKIN | 88 | PRL 61 1811 | L.S. Durkin et al. | (OSU, ANL, CIT+) |
| ENGEL | 88 | PR C37 731 | J. Engel, P. Vogel, M.R. Zirnba | |
| LOVERRE | 88 | PL B206 711 | P.F. Loverre | (INFN) |
| OLIVE | 88 | PL B205 553 | K.A. Olive, M. Srednicki | (MINN, UCSB) |
| SREDNICKI | 88 | NP B310 693 | M. Srednicki, R. Watkins, K.A. | Olive (MINN, UCSB) |
| AFONIN | 87 | JETPL 45 257 | A.I. Afonin <i>et al.</i> | (KIAE) |
| | | Translated from ZETFF | 9 45 201. | |
| AHLEN | 87 | PL B195 603 | S.P. Ahlen <i>et al.</i> | (BOST, SCUC, $HARV+$) |
| AHRENS | 87 | PR D36 702 | L.A. Ahrens <i>et al.</i> | (BNL, BROW, UCI $+$) |
| BELLOTTI | 87 | EPL 3 889 | E. Bellotti <i>et al.</i> | ` (MILA) |
| BOEHM | 87 | Massive Neutrinos | A. Bohm, H. Vogel | `(CIT) |
| | | Press, Cambridge | | (511) |
| BOFILL | 87 | PR D36 3309 | J. Bofill <i>et al.</i> | (MIT, FNAL, MSU) |
| DAUM | 87 | PR D36 2624 | M. Daum <i>et al.</i> | (SIN, VIRG) |
| GRIEST | 87 | NP B283 681 | K. Griest, D. Seckel | |
| | | | | (UCSC, CERN) |
| Also | 88 | NP B296 1034 erratum | | (UCSC, CERN) |
| LOSECCO | 87 | PL B184 305 | J.M. LoSecco <i>et al.</i> | (IMB Collab.) |
| MISHRA | 87 | PRL 59 1397 | S.R. Mishra <i>et al.</i> | $(COLU,\;CIT,\;FNAL+)$ |
| OBERAUER | 87 | PL B198 113 | L.F. Oberauer, F. von Feilitzsch, | , R.L. Mossbauer |
| TALEBZADEH | 87 | NP B291 503 | M. Talebzadeh <i>et al.</i> | (BEBC WA66 Collab.) |
| TOMODA | 87 | PL B199 475 | T. Tomoda, A. Faessler | (TUBIN) |
| VIDYAKIN | 87 | JETP 66 243 | G.S. Vidyakin et al. | `(KIAE) |
| | | Translated from ZETF | | () |
| WENDT | 87 | PRL 58 1810 | C. Wendt <i>et al.</i> | (Mark II Collab.) |
| ABRAMOWICZ | | PRL 57 298 | H. Abramowicz et al. | (CDHS Collab.) |
| AFONIN | 86 | JETPL 44 142 | A.I. Afonin <i>et al.</i> | (KIAE) |
| 711 011111 | 00 | Translated from ZETFF | | (147.12) |
| ALLABY | 86 | PL B177 446 | J.V. Allaby <i>et al.</i> | (CHARM Collab.) |
| ANGELINI | 86 | PL B179 307 | C. Angelini <i>et al.</i> | (PISA, ATHU, PADO+) |
| AZUELOS | 86 | PRL 56 2241 | G. Azuelos <i>et al.</i> | |
| | | | | (TRIU, CNRC) |
| BADIER | 86 | ZPHY C31 21 | J. Badier <i>et al.</i> | (NA3 Collab.) |
| BERNARDI | 86 | PL 166B 479 | G. Bernardi <i>et al.</i> | (CURIN, INFN, CDEF+) |
| BERNARDI | 86B | PL B181 173 | G. Bernardi <i>et al.</i> | (CURIN, INFN, CDEF+) |
| BRUCKER | 86 | PR D34 2183 | E.B. Brucker <i>et al.</i> | (RUTG, BNL, COLU) |
| DORENBOS | 86 | PL 166B 473 | J. Dorenbosch <i>et al.</i> | (CHARM Collab.) |
| USHIDA | 86C | PRL 57 2897 | N. Ushida <i>et al.</i> | (FNAL E531 Collab.) |
| ZACEK | 86 | PR D34 2621 | G. Zacek <i>et al.</i> | (CÌT-SIN-TUM Collab.) |
| AFONIN | 85 | JETPL 41 435 | A.I. Afonin et al. | ` (KIAE) |
| | | Translated from ZETFF | | () |
| Also | 85B | JETPL 42 285 | A.I. Afonin et al. | (KIAE) |
| | | Translated from ZETFF | 9 42 230. | , |
| AHRENS | 85 | PR D31 2732 | L.A. Ahrens et al. | (BNL, BROW, KEK+) |
| ALBRECHT | 85I | PL 163B 404 | H. Albrecht et al. | ` (ARGUS Collab.) |
| APALIKOV | 85 | JETPL 42 289 | A.M. Apalikov et al. | (ITEP) |
| 7.1.7.12.11.00 | 00 | Translated from ZETFF | 2 42 233. | (=.) |
| BELIKOV | 85 | SJNP 41 589 | S.V. Belikov et al. | (SERP) |
| | | Translated from YAF 4 | 1 919. | ` , |
| COOPER | 85 | PL 160B 207 | A.M. Cooper-Sarkar et al. | (CERN, LOIC+) |
| COWSIK | 85 | PL 151B 62 | R. Cowsik | ` (TATA) |
| MARKEY | 85 | PR C32 2215 | J. Markey, F. Boehm | ` (CIT) |
| OHI | 85 | PL 160B 322 | T. Ohi <i>et al.</i> | (TOKY, INUS, KEK) |
| STOCKDALE | 85 | ZPHY C27 53 | I.E. Stockdale <i>et al.</i> | (ROCH, CHIC, COLU+) |
| ZACEK | 85 | PL 164B 193 | V. Zacek <i>et al.</i> | (MUNI, CIT, SIN) |
| | | | | |
| BALLAGH | 84 | PR D30 2271 | H.C. Ballagh <i>et al.</i> | (UCB, LBL, FNAL+) |
| BERGSMA | 84 | PL 142B 103 | F. Bergsma <i>et al.</i> | (CHARM Collab.) |
| CAVAIGNAC | 84 | PL 148B 387 | J.F. Cavaignac <i>et al.</i> | (ISNG, LAPP) |
| DYDAK | 84 | PL 134B 281 | | , DORT, HEIDH, SACL+) |
| FREESE | 84 | NP B233 167 | K. Freese, D.N. Schramm | (CHIC, FNAL) |
| GABATHULER | 84 | PL 138B 449 | K. Gabathuler et al. | (CIT, SIN, MUNI) |
| HAXTON | 84 | PPNP 12 409 | W.C. Haxton, Stevenson | . , |
| MINEHART | 84 | PRL 52 804 | R.C. Minehart et al. | (VIRG, SIN) |
| SCHRAMM | 84 | PL 141B 337 | D.N. Schramm, G. Steigman | (FNAL, BART) |
| STOCKDALE | 84 | PRL 52 1384 | I.E. Stockdale <i>et al.</i> | (ROCH, CHIC, COLU+) |
| AFONIN | 83 | JETPL 38 436 | A.I. Afonin <i>et al.</i> | (KIAE) |
| , OIVIIV | 00 | Translated from ZETFF | | (INAL) |
| BELENKII | 83 | JETPL 38 493 | S.N. Belenky <i>et al.</i> | (KIAE) |
| | | Translated from ZETFF | | () |
| | | · · · · · · · · · · · · · · · · | * * | |

| BELIKOV | 83 | JETPL 38 661 | S.V. Belikov <i>et al.</i> | (SERP) |
|--------------------|----------|---------------------------------------|--------------------------------------------------------|-----------------------------------------------|
| BLLINOV | 03 | Translated from ZETFP | | (SEINI) |
| BERGSMA | 83 | PL 122B 465 | F. Bergsma <i>et al.</i> | (CHARM Collab.) |
| BERGSMA | 83B | PL 128B 361 | F. Bergsma et al. | (CHARM Collab.) |
| BRYMAN | 83B | PRL 50 1546 | D.A. Bryman et al. | (TRIU, CNRC) |
| Also | 83 | PRL 50 7 | D.A. Bryman et al. | (TRIU, CNRC) |
| DEUTSCH GRONAU | 83 83 | PR D27 1644 PR D28 2762 | J.P. Deutsch, M. Lebrun, R. Pried M. Gronau | els (LOUV) (HAIF) |
| KIRSTEN | 83 | PRL 50 474 | T. Kirsten, H. Richter, E. Jessber | ` , |
| Also | 83B | ZPHY 16 189 | T. Kirsten, H. Richter, E.K. Jessler | |
| SCHRECK | 83 | PL 129B 265 | K. Schreckenbach <i>et al.</i> | (ISNG, ILLG) |
| TAYLOR | 83 | PR D28 2705 | G.N. Taylor et al. | (HAWA, LBL, FNAL) |
| COOPER | 82 | PL 112B 97 | A.M. Cooper et al. | (RL) |
| HAYANO | 82 | PRL 49 1305 | R.S. Hayano et al. | (TOKY, KEK, TSÙK) |
| OLIVE | 82 | PR D25 213 | K.A. Olive, M.S. Turner | (CHIC, UCSB) |
| VUILLEUMIER | | PL 114B 298 | J.L. Vuilleumier <i>et al.</i> | (CIT, SIN, MUNI) |
| ABELA | 81 | PL 105B 263 | R. Abela <i>et al.</i> | (SIN) |
| ARMENISE | 81 | PL 100B 182 | N. Armenise <i>et al.</i> | (BARI, CERN, MILA+) |
| ASANO Also | 81 81 | PL 104B 84 PR D24 1232 | Y. Asano <i>et al.</i> (KEł R.E. Shrock | (STON) |
| ASRATYAN | 81 | PL 105B 301 | A.E. Asratyan <i>et al.</i> | (STON) (ITEP, FNAL, SERP+) |
| BAKER | 81 | PRL 47 1576 | N.J. Baker <i>et al.</i> | (BNL, COLU) |
| Also | 78 | PRL 40 144 | A.M. Cnops et al. | (BNL, COLU) |
| BERNSTEIN | 81 | PL 101B 39 | J. Bernstein, G. Feinberg | (ŠTEV, COLU) |
| BOLIEV | 81 | SJNP 34 787 | M.M. Boliev et al. | ` (INRM) |
| CALADDICE | 01 | Translated from YAF 34 | | (DDIN IND) |
| CALAPRICE DEDEN | 81 81 | PL 106B 175 PL 98B 310 | F.P. Calaprice <i>et al.</i> H. Deden <i>et al.</i> | (PRIN, IND) (BEBC Collab.) |
| ERRIQUEZ | 81 | PL 102B 73 | O. Erriquez <i>et al.</i> | (BARI, BIRM, BRUX+) |
| KWON | 81 | PR D24 1097 | H. Kwon <i>et al.</i> | (CIT, ISNG, MUNI) |
| NEMETHY | 81B | PR D23 262 | P. Nemethy <i>et al.</i> | (YALE, LBL, LASL+) |
| SHROCK | 81 | PR D24 1232 | R.E. Shrock | (STON) |
| SHROCK | 81B | PR D24 1275 | R.E. Shrock | (STON) |
| SILVERMAN | 81 | PRL 46 467 | D. Silverman, A. Soni | (UCI, UCLA) |
| USHIDA | 81 | PRL 47 1694 | | FNAL, KOBE, SEOU+) |
| AVIGNONE | 80 | PR C22 594 | F.T. Avignone, Z.D. Greenwood | (SCUC) |
| BOEHM FRITZE | 80 80 | PL 97B 310 PL 96B 427 | | LLG, CIT, ISNG, MUNI) N, CERN, LOIC, OXF+) |
| REINES | 80 | PRL 45 1307 | F. Reines, H.W. Sobel, E. Pasierb | |
| Also | 59 | PR 113 273 | F. Reines, C.L. Cowan | (LASL) |
| Also | 66 | PR 142 852 | F.A. Nezrick, F. Reines | (CASE) |
| Also | 76 | PRL 37 315 | F. Reines, H.S. Gurr, H.W. Sobel | |
| SHROCK | 80 | PL 96B 159 | R.E. Shrock | (SŤON) |
| DAVIS | 79 | PR C19 2259 | R. Davis <i>et al.</i> | (CIT) |
| BLIETSCHAU | 78 | NP B133 205 | J. Blietschau et al. | (Gargamelle Collab.) |
| CROUCH | 78 | PR D18 2239 | M.F. Crouch <i>et al.</i> | (CASE, UCI, WITW) |
| VYSOTSKY | 77 | JETPL 26 188 Translated from ZETFP | M.I. Vysotsky, A.D. Dolgov, Y.B. 26 200 | Zeldovich (ITEP) |
| BELLOTTI | 76 | LNC 17 553 | E. Bellotti <i>et al.</i> | (MILA) |
| SZALAY | 76 | AA 49 437 | A.S. Szalay, G. Marx | (EOTV) |
| SZALAY | 74 | APAH 35 8 | A.S. Szalay, G. Marx | (EOTV) |
| COWSIK | 72 | PRL 29 669 | R. Cowsik, J. McClelland | (UCB) |
| MARX | 72 | Nu Conf. Budapest | G. Marx, A.S. Szalay | (EOTV) |
| GERSHTEIN | 66 | JETPL 4 120 Translated from ZETFP | S.S. Gershtein, Y.B. Zeldovich | (KIAM) |
| | | Translated HOIII ZETTT | 1 100. | |